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Anna Visvizi
Orlando Troisi
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Research and Innovation Forum 2021

Managing Continuity, Innovation,
and Change in the Post-Covid World:
Technology, Politics and Society

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Anna Visvizi · Orlando Troisi ·
Kawther Saeedi
Editors

Research and Innovation Forum 2021

Managing Continuity, Innovation, and Change
in the Post-Covid World: Technology, Politics
and Society

Editors

Anna Visvizi 
SGH Warsaw School of Economics
Warsaw, Poland

Orlando Troisi 
University of Salerno
Fisciano, Italy

Kawther Saeedi 
King Abdulaziz University
Jeddah, Saudi Arabia

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Preface

Research and Innovation Forum 2021: Managing Continuity, Innovation, and Change in the Post-Covid World: Technology, Politics, and Society

The **Research and Innovation Forum** (Rii Forum) is an annual conference that brings together researchers, academics, and practitioners in conceptually sound inter- and multi-disciplinary, empirically driven debate on key issues influencing the dynamics of social interaction today. Technology, innovation, and education define the rationale behind the Rii Forum and are at the heart of all discussions held during the conference. The COVID-19 pandemic and its social, political, and economic implications had confirmed that a more thorough debate on these issues and topics was needed. For this reason, the Rii Forum 2021 was devoted to the broadly defined question of the short- and long-term impact of the pandemic on our societies. Indeed, the Rii Forum 2021 served as a virtual arena for debate on how sophisticated information and communication technology (ICT), including artificial intelligence (AI), blockchain, big data, cloud and edge computing, 5G, Internet of Things (IoT), and social networking, could help stakeholders to manage continuity, innovation, and change in the post-Covid world.

As an inter- and multi-disciplinary conference that offers a broad view on the issues and topics related to advances in ICT, the Rii Forum 2021 sought to encourage insights from a range of research domains and academic disciplines. For this reason, the conceptual foundations of the Rii Forum 2021 were drawn from social sciences, management science, computer science, as well as education science and humanities.

Rii Forum 2021 was organized under conditions of immense uncertainty driven by the ever-changing dynamics of the COVID-19 pandemic and its implications. Indeed, COVID-19 has redefined not only the way business is conducted, but also how culture is “delivered” to entire societies. The pandemic and the quarantine that the majority of our societies were subjected to altered several social interactions, in many ways fostering a new quality of human–computer interactions. For these reasons, the Rii Forum 2021 aimed at stimulating a discussion on the multiple

facets the pandemic's impact on technology, the society, the business sector, and the art of management as well as on political systems, including democracy.

In the original open call for panels and papers, the following topics and issues were highlighted as of particular interest of the steering and the program committees: the establishment and consolidation of alliances among diverse stakeholders; the use of data and data-driven strategies to inform policy-making at local, regional, national, and global levels; COVID-19 driven new divisions between the Global North and Global South; social implications of the COVID-19 pandemic: migration, exclusion, and poverty; a weakening of democracy, transparency, and civic and human rights; the management of pandemics and their implications through the use of AI, and its value in health care, education, smart cities, economics, and foreign policy. A closer insight into the actual application of technology was also encouraged. The topics of particular interest included: blockchain and its application to the post-Covid world; social networking sites and digital space vs politics, economics, and business; information management in times of uncertainty; COVID-19 and the international economy in the AI-era: crisis, rupture, and new opportunities. In response to the initial call for panel and paper proposals, the following panels were proposed and successfully executed.

- Panel 1: Technology, education and online learning in times of the pandemic
- Panel 2: Immersive technologies as a tool for coping with COVID-19 in higher education
- Panel 3: Health and well-being in smart cities: urban design and urban planning in the COVID-19 era
- Panel 4: Smart Cities in the COVID-19 era: SDGs and resilience
- Panel 5: Smart cities, public health and infectious diseases
- Panel 6: Cultural heritage and ICT
- Panel 7: Depopulation, the global competition for talent, and the digital diaspora
- Panel 8: Democracy in the post-Covid world
- Panel 9: AI in the international and global perspective
- Panel 10: Data-driven decision making and strategy in business
- Panel 11: Human–human and human–machine interaction amid the COVID-19 pandemic

Considering that the COVID-19 pandemic persisted throughout 2020, and in early Spring 2021 only few travel restrictions were lifted, the **Rii Forum 2021** was held online, i.e., via a specialized online communication platform. Once again, the Rii Forum 2021 conference attracted delegates from nearly all continents, including North and South America, Asia, the Arab Peninsula, Europe and Sub-Saharan Africa. The conference was held on April 7–9, 2021, and consisted of sixteen panel sessions. In addition, two keynote speeches were delivered, and a roundtable discussion was held. With regard to the keynote speeches. On April 7, 2021, the Rii Forum 2021 delegates had the opportunity to listen to Professor Erkki Ormala, Senior Fellow, Innovation Management, School of Business, Aalto University. The title of his speech was “Successful innovations drive economic growth”. On April

8, 2021, the Rii Forum 2021 delegates were invited to join the keynote speech, titled “Bottom-Up Culture Creates Born Global Success Stories: The Case of Helsinki Uusimaa Region”, by Mr. Ari Huczkowski, the CEO of LUMINTEL. The roundtable debate held on Friday, April 9, 2021, was organized jointly by Rii Forum and the Institute for Democracy and Electoral Assistance (IDEA). The topic of the roundtable discussion was “Global democratic trends before and during the COVID-19 pandemic” [1]. The speakers, and corresponding titles of the opening presentations, included:

- Alberto Fernandez, IDEA, “Taking stock of global democratic trends before and during the COVID-19 pandemic,”
- Lucy J. Parry, Ph.D., Centre for Deliberative Democracy and Global Governance, University of Canberra, Canberra, Australia & Webster Vienna Private University, Wien, Austria, “Democracy in flux: a systemic view on the impact of COVID-19” [2], and
- Miguel Angel Lara Otaola, Ph.D., IDEA, “Elections and COVID-19: Safeguarding health, integrity and trust.”
- The discussion was moderated by Professor Anna Visvizi.

Nearly 100 extended paper proposals were submitted in response to the initial call for papers. Of these proposals, as a result of the stringent review process, only 58 papers are included in the proceedings. This suggests that the acceptance–rejection ratio stands at 60:40. All papers were subjected to several rounds of a double-blind peer review process (at least two reviews in each round of the review process). Reflecting the inter- and multi-disciplinary focus and scope of the Rii Forum 2021, the papers included in this volume are grouped into four broad thematic sections. These include:

- Technology-enhanced teaching and learning in times of COVID-19
- Smart cities in times of COVID-19
- COVID-19 and the business sector
- COVID-19, the political system, migration, well-being

The papers included in these **Rii Forum 2021 proceedings** serve as evidence that in times of uncertainty more inter- and multi-disciplinary research is needed to inform the decision- and the policy-making process. This volume offers a unique view not only of the impact of COVID-19 on our societies but also of ways of navigating the challenges that emerged.

We are indebted to the keynote speakers, Professor Erkki Ormala and Mr Ari Huczkowski for sharing their precious time and unique expertise with the **Rii Forum 2021** delegates. We remain grateful to the **Rii Forum Steering Committee** and the **Rii Forum Program Committee** for their commitment, sound judgment, and hard work in the process of organizing the **Rii Forum 2021** and then successfully moving the conference to the virtual space. We would like to say “thank you” to all contributing authors for their hard work and their patience in subsequent rounds of the “revise and resubmit” process. It would not be possible without the

reviewers who devoted countless hours to evaluate papers submitted to this volume. Finally, we would like to express our gratitude to the entire Springer team and the Editors of Complexity for their continued support and guidance.

We remain hopeful that the forthcoming Rii Forum 2022 will be held onsite and that the online mode of the conference delivery will be just an option. Please check the **Rii Forum** web site (<https://rii-forum.org>) for updates.

Sincerely,

Anna Visvizi
Orlando Troisi
Rii Forum 2021 Chairs

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The Rii Forum 2021 Chairs would like to extend their heartfelt “thank you” to the Rii Forum 2021 keynote speakers, i.e., Professor Erkki Ormala, Senior Fellow, Innovation Management, School of Business, Aalto University, and Mr Ari Huczkowski, the CEO of LUMINTEL. Insiders understand very well how a poignant distinction it is to be able to host both speakers. We are grateful for that.

We would also like to thank our dear colleagues and friends, who responded to the initial call for panels and papers, thus making the Rii Forum 2021 a truly inter- and multi-disciplinary conference. Even more so, we would like to thank all Rii Forum 2021 delegates for creating a friendly and welcoming atmosphere at the conference; an atmosphere filled with respect, self-discipline (think of the presentation time!), as well as rich in critical and constructive feedback. We cannot thank you enough.

We would also like to extend our gratitude to the Rii Forum 2021 reviewers. You did an amazing work. Thank you!

Finally, we would like to thank the Publisher, Springer Nature, and its entire team for granting us the opportunity to collaborate on this volume. We appreciate it.

Very kind regards,

Anna Visvizi
Orlando Troisi
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New Shades on the Smart City Paradigm During Covid-19: A Multiple Case Study Analysis of Italian Local Governments



Gennaro Maione and Francesca Loia

Abstract Due to the chaotic circumstances related to the pandemic, new challenges and opportunities are emerging in several sectors, including the urban context. From the point of view of local governments, there could be repercussions in terms of digital growth and increased effort in the creation of smart, sustainable, accountable, and interconnected cities. The main purpose of this paper is to contribute to the debate on the new connotations of the smart city paradigm due to the spread of Covid-19, in particular in identifying new key elements of the smart cities initiatives emerged by the current scenario, in addition to those identified by the consolidated literature. To meet this knowledge requirement, this study is based on a qualitative investigation approach that follows the multiple case study model. Interviews with directors, managers, and technicians of local governments shed light on new categories connected to the smart city paradigm due to the pandemic situation which, combined together, allow “transforming” the acronym of S.M.A.R.T. into a new one here proposed: Safety; Mitigation; Accountability; Resilience; Traceability. In this sense, the study offers several insights, potentially capable of generating useful implications for both researchers and professionals in the public sector.

Keywords Smart city • Local governments • Digital technologies • Covid-19 • Resilience • Multiple case study

G. Maione

Department of Business Science - Management and Innovation Systems, University of Salerno, Fisciano, Italy

F. Loia (✉)

Department of Economics, Management and Institutions, University of Naples ‘Federico II’, Naples, Italy

e-mail: francesca.loia@unina.it

1 Introduction

Covid-19 is definitely changing the way we live on the planet but at the same time it is also shedding new light on the benefits that digital revolution can bring to our society [10, 28]. Especially from the point of view of local governments, there could be repercussions in terms of digital growth and increased effort in the creation of smart, sustainable, accountable, and interconnected cities [14–16].

The purpose of this paper is to contribute to the debate on the new connotations of the smart city paradigm due to the spread of Covid-19, in particular in identifying new key elements and common areas of application of the smart cities initiatives emerged by the current scenario, in addition to those identified by the consolidated literature [1]. This study is based on a qualitative investigation approach that follows the multiple case study model [20]. The local governments of four Italian cities considered to be leaders in the field of smart city development are analyzed. Interviews with directors, managers, and technicians shed light on new categories connected to the smart city paradigm due to the pandemic situation which, combined together, allow “transforming” the acronym of S.M.A.R.T. into a new one here proposed: Safety; Mitigation; Accountability; Resilience; Traceability.

The paper is structured as follows: Sect. 2 offers a detailed overview about the paradigm of smart city; Sect. 3 introduces the methodology adopted based on a qualitative analysis; Sect. 4 discusses the results obtained; Sect. 5 lists main theoretical and practical implications and it also draws main conclusions.

2 The Smart City Paradigm Before Covid-19

In the last twenty years, the concept of “smart city” has been being the subject of significant attention in the field of governance, urban planning, managerial studies, and information technology [12, 21, 29]. This concept is rooted from the need to respond to current urban and social challenges, such as hasty population growth, increasing global consumption of energy and greenhouse gas emissions and so on. Smart city, in general, refers to a comprehensive view of the urban context which is able to merge information, sustainable development and digital platforms in only one framework [6, 23]. Smart city follows the revolution of the development and diffusion of Information and Communication Technologies (ICTs), being able to be defined as the result deriving from the combination of key factors such as technology (hardware and software infrastructures), people (inspiration, diversity and formation) and institutions (governance and politics) [21] (Bartels et al. [5]). Accordingly, the merging of computing, Internet technology and telecommunications has supported the development of sensory devices that work together across larger infrastructures, focusing on data processing, exchange, storage and security [17]. ICTs infrastructure is related to numerous emerging and optimized technologies which act as enablers of advanced smart city services [15, 30, 31].

Innovative approaches based on ICTs, if from one hand could ensure a more fluid use of the services offered to citizens (eGovernment), on the other hand encourage their active participation in the administrative life of the city (e-Democracy) [4]. Also, the ICT-based platforms offer a wide range of information and data on the city in relation to different areas and citizens can participate directly in the development and co-production of high value-added services [24, 31]. ICT infrastructures and innovative regulatory framework can encourage a renewal of public administrations' approaches in favor to more social accountable oriented practices in order to strengthen community-based initiatives and improve transparency and liability by guaranteeing access to information by stakeholders [19, 29]. Smart city thus becomes a co-designed city, result of a participatory process in which individuals rediscover the awareness that they can be co-authors of public policies [18].

However, the use of ICTs in an urban context is not sufficient to qualify a city as smart, given that in addition to the potential offered by the technological revolution, additional factors must be involved [9, 25], bringing together in an wide-ranging view the economic, social, governmental and environmental dimensions [1, 22, 27], with the aim of proposing a coherent program of urban improvement and services (see Fig. 1).

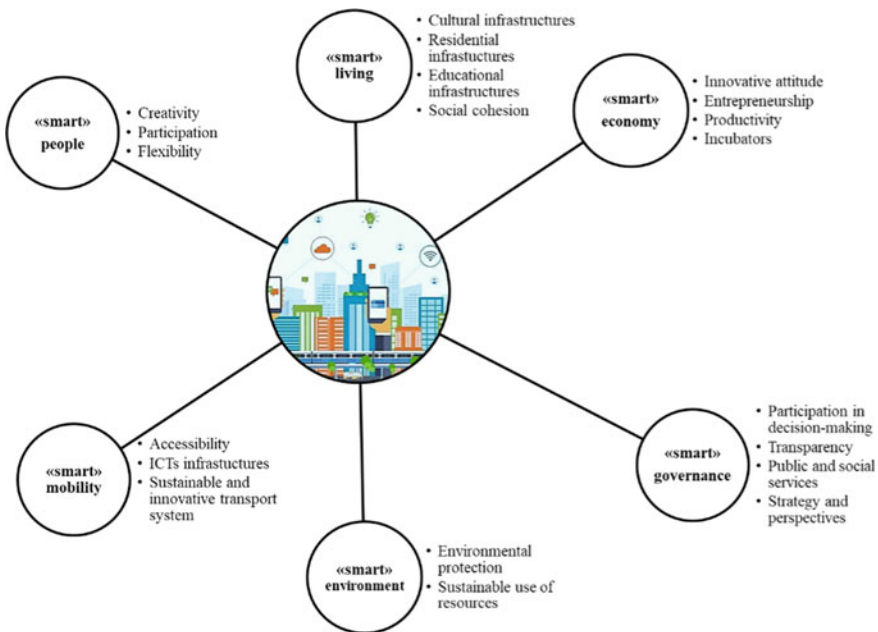


Fig. 1 Smart City’s key dimensions from Stratigea [27] and Albino et al. [1]

3 Methods and Data

This paper follows a qualitative approach by means of the collection, analysis, and interpretation of unstructured and non-numerical data [8]. This approach offers several advantages such as the depth of analysis, high conceptual validity, understanding of context and process, and finally the possibility of promoting new hypotheses and new research questions [33]. The basic scheme of the interviews administered has been defined by enucleating and adapting the key concepts related to the expression “Smart city” from the Public Management literature by means of five open-ended questions: 1) “How do you define a smart city?”; 2) “What are the typical characteristics of each smart city?”; 3) “What are the limits of the current concept of smart city?”; 4) “How have smart cities reacted to the Covid-19 pandemic?”; 5) “Which direction should smart cities point in the near future?”. After, an email presenting the research project and a request for membership were sent to practitioners involved within an Italian smart city projects, such as directors, managers, and technicians. A total of 234 emails were sent but only 119 responded to the first email, most of whom asked to receive the interview before expressing their willingness to join. A second email was sent containing a file with the interview to be administered and 57 practitioners agreed to take part in the project by responding to the interview. However, only 41 of them returned the updated file with their responses. Overall, the data collection phase lasted about five months, from September 2020 to January 2021. The collected data were analyzed separately by the two authors. Subsequently, the comparison between the authors became necessary to better target the connotations of the smart city paradigm due to the spread of Covid-19.

4 Results and Discussion

Interviews with directors, managers, and technicians belonging to local governments have led to the detection of new categories connected to the smart city paradigm due to the pandemic situation which, combined together, allow “transforming” the acronym of S.M.A.R.T. into a new one: Safety; Mitigation; Accountability; Resilience; Traceability).

S – Safety

One of the main focus emerged by the analysis of the interviews is the issue about the safety and security in cities of the future. In the context of smart city, safety can include several important features such as healthcare, smart traffic systems, smart safety systems for surveillance, smart systems of crisis management to support decision making and so on [13]. However, safety issues have become particularly central during the spread of Covid-19. In fact, the virus outbreak from

an urban standpoint should push towards a standardization protocols for increased data sharing in the event of outbreaks or disasters, leading to better global understanding and management of the same (Allam and Jones [2]).

M – Mitigation

In the smart city model, mitigation strategies suggest a wider set of systemic interventions including security-by-design, remedial security patching and replacement, formation of core security and computer emergency response teams, a change in procurement procedures, and continuing professional development [11]. Especially during the Covid-19 emergency, mitigation approaches should be encouraged and complemented by market-based and governance-based incentives and regulation in order to develop a radical preventative strategy.

A – Accountability

Accountability in the smart city model has always gained attention. A good governance in smart cities aims to promote the shared interests of the city while creating accountability and trust by improving the transparency in data flow and decision-making, while ensuring that no social gaps occur in the access to shared data [3]. Especially, the current Covid-19 pandemic has had unprecedented impacts on the importance of accountability.

R – Resilience

The concept of resilience connected to urban context has been broadly discussed by the literature [26]: resilient city is able to tolerate disruptions before reorganising around new set of structures and is able to anticipate, prepare for, respond to and recover from a disturbance. The case of the Covid-19 virus has particularly emphasised the role of urban resilience which can be achieved thanks to achievement of standardized communication across and between smart cities (Allam and Jones [2]).

T – Traceability

In the smart city context, traceability covered an important role. In fact, thanks to emerging technologies it is possible to track and trace information in order to ensure the maximum circularity, speed, precision, and efficiency in the governance processes. This approach can be considered particularly crucial in Covid-19 context characterized by high complexity and variety. In fact, in this context several systems have been developed able to collect data and also ensure user privacy by including a decentralized traceability subsystem based on blockchain [7].

5 Final Remarks and Conclusions

This work has responded to several calls from the smart city literature to study how is changing smart city paradigm in the light of spread of COVID-19. From the qualitative analysis carried out emerges that pandemic crisis has given new evidence to the importance of digital infrastructure. Local governments can benefit from these findings for reevaluating the governance approach and programs in the light of innovative mechanism and technologies.

From a managerial point of view, the results of the analysis suggest that it is necessary to invest in the accountability of local governments initiatives in order to strengthen the trust of citizens by ensuring the transparency in data flow and decision-making initiatives [32]. Especially in light of Covid-19 pandemic, a coordinated response across different sectors based on cooperation mind-set, digital platforms, and service providers can definitely improve the global response to the crisis.

On the other hand, from a theoretical point of view, appears evident that the Covid-19 crisis has pushed the smart cities on to include resilience thinking into their recovery strategies. As a result, municipalities and the public health system should implement disruptive technologies along with a resilient thinking, with the aim to adopt digital approaches as well as to strengthen communities against future shocks and stresses—whether economic, social or environmental.

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