REVIEW

Obstructive sleep apnoea/hypopnoea syndrome: relationship with obesity and management in obese patients

La sindrome da apnee notturne: correlazione con l'obesità e gestione del paziente obeso

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SUMMARY

Obstructive sleep apnoea/hypopnoea syndrome (OSAHS) is a disease characterised by upper airway obstruction during sleep, quite frequent in the general population, even if underestimated. Snoring, sleep apnoea and diurnal hypersomnia are common in these patients. Central obesity plays a key role: it reduces the size and changes the conformation of the upper airways, besides preventing lung expansion, with consequent reduction of lung volumes. Furthermore, obese people are also resistant to leptin, which physiologically stimulates ventilation; as a result, this causes scarce awakening during apnoea. OSAHS diagnosis is based on the combination of clinical parameters, such as apnoea/hypopnoea index (AHI), medical history, physical examination and Mallampati score. The first objective reference method to identify OSAHS is polysomnography followed by sleep endoscopy. Therapy provides in the first instance reduction of body weight, followed by continuous positive airway pressure (CPAP), which still remains the treatment of choice in most patients, mandibular advancement devices (MAD) and finally otolaryngology or maxillofacial surgery. Among surgical techniques, central is barbed reposition pharyngoplasty (BRP), used in the field of multilevel surgery.

KEY WORDS: OSAHS, obesity, CPAP, pharyngoplasty, orthognatic surgery

RIASSUNTO

La sindrome da apnee notturne è una malattia caratterizzata da ostruzione delle vie aeree superiori durante il sonno, abbastanza frequente nella popolazione generale, anche se sottovalutata. Russamenti, apnee notturne e ipersonnia diurna sono comuni in questi pazienti. L'obesità svolge un ruolo chiave: riduce le dimensioni e modifica la conformazione delle vie aeree superiori, oltre a prevenire l'espansione polmonare, con conseguente riduzione dei volumi polmonari. Le persone obese sono anche resistenti alla leptina, che stimola fisiologicamente la ventilazione; di conseguenza, questo provoca uno scarso risveglio durante l'apnea. La diagnosi si basa sulla combinazione di parametri clinici, come indice di apnea / ipopnea (AHI), anamnesi, valutazione clinica e Mallampati score. La prima indagine strumentale per identificare pazienti OSAHS è la polisonnografia seguita dalla sleep endoscopy. La terapia prevede in primo luogo la riduzione del peso corporeo, seguita dalla ventilazione a pressione positiva continua delle vie aeree (CPAP), che rimane ancora ad oggi il trattamento di scelta nella maggior parte dei pazienti, dispositivi di avanzamento mandibolare (MAD) e infine diversi approcci chirurgici. Tra le tecniche chirurgiche la faringoplastica (BRP), rappresenta la tecnica prescelta.

PAROLE CHIAVE: OSAHS, obesità, CPAP, faringoplastica, chirurgia ortognatica

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Introduction

Obstructive sleep apnoea/hypopnoea syndrome, also known as OSAHS, is a disease characterised by intermittent and repeated episodes of complete or partial upper airway obstruction during sleep: these episodes are more than five per hour and are usually associated with recurrent oxyhaemoglobin desaturations, sympathetic hyperactivity and variation of intrathoracic pressures, which eventually lead to sleep fragmentation, tiredness and excessive diurnal sleepiness ^{1,2}.

As demonstrated by several epidemiologic studies, OS-AHS is a fairly common condition in the general population, with a prevalence of 24% in men and 9% in women aged between 30 and 60 years; in the paediatric population, OSAHS may appear at all ages, from neonatal to adolescence, with an estimated prevalence included between 2 and 5.7%. However, despite this frequency, OSAHS is an under-reported condition, identified only in 5-10% of affected subjects ³⁻⁵.

OSAHS symptomatology is dominated by snoring, in addition to frequent awakenings, choking, disrupted sleep and insomnia ⁶ and to a lesser extent nocturia ⁷. Chronic fatigue, daytime sleepiness and hypersomnia, secondary to sleep fragmentation, are the most significant diurnal symptoms¹, which are potentially disabling and dangerous: in fact, excessive diurnal sleepiness represents a significant cause of motor vehicle crashes, which in OSAHS population are two-fold and up to seven-fold greater than a control population ⁸.

Although all these symptoms affect the quality of life, the clinical relevance of OSAHS is mainly due to its strong association with hypertension, metabolic syndrome, diabetes, heart failure, coronary artery disease, arrhythmias, stroke, pulmonary hypertension ⁹, neurocognitive disorders, especially those regarding attention and concentration domains ¹⁰, and mental disorders, such as depression and anxiety.

All OSAHS symptoms are due to the pharyngeal muscles hypotonia, especially during REM sleep ¹¹, which is the cause of an initial subobstruction with increased resistance of upper airways, responsible for hypopnoea and snoring; at a later time the obstruction turns complete, with respiratory airflow arrest and therefore obstructive apnoea ¹².

The airflow obstruction becomes clinically relevant if anatomic or neuromuscular factors acting on pharynx are present ¹³: accordingly, risk factors in the onset of OSAHS are all anatomical conditions that reduce the calibre of upper airways, such as septum deviation, nasal polyps, turbinate, adenotonsillar and lingual tonsil hypertrophy, tumours, retrognathia and inferior displacement of the hyoid bone ¹⁴⁻¹⁶, but also obesity ¹⁷. On the other hand, neuromuscular factors can also cause airway obstruction in OSAHS: among them, sleeping in the supine position, which facilitates the occurrence of apnoea because of posterior repositioning of the tongue by gravitational effect ¹⁸, and alcohol and smoking, which promote relaxation of airway muscles ¹⁹.

In this pathophysiological context, however, particular attention must be paid to obesity, about which this review is concerned.

The interactions between OSAHS and obesity

Nowadays obesity is widely recognised as the most important risk factor for OSAHS: a 10% weight gain increases the risk of developing this disease by six-times ²⁰ and according to recent estimates more than half of obese people are affected by OSAHS ⁴. Particularly at risk are people affected by central or visceral obesity, with prevalent fat deposition in the trunk and neck area, also known as android obesity, because typically male ²¹.

The higher prevalence of OSAHS in obese subjects is not limited to adults, but also to children and adolescents: in fact, obese children have a 46% prevalence of OSAHS²², a finding further aggravated by the obesity epidemic in paediatric age²³. In turn, children and adolescents with OSA have more than a six-fold increased risk of metabolic syndrome compared to children and adolescents without OS-AHS. The presence of obesity and daytime hypoventilation (PaCO₂³ 45 mmHg) configure another syndrome defined as Obesity Hypoventilation Syndrome (OHS). In more than 90% of cases OHS is linked with OSAHS; however, the presence of OSAHS is not necessary for diagnosis of OHS²⁴.

Obesity may alter the normal mechanics of the upper airways and thus contribute to the pathophysiology of OS-AHS mainly in two ways: through the lipid backlog in peripharyngeal tissues and the increased respiratory effort related to abdominal weight ¹³. In addition, a role in the relationship between obesity and OSAHS is also played by leptin and other factors, such as hypoxia, increased sympathetic tonus, oxidative stress, inflammation and endothelial dysfunction ²⁵.

Lipid backlog in pharyngeal structures

Upper airway structural alterations in obesity are in first place related to adipose deposition in peripharyngeal tissues and in pharyngeal lumen, lateral wall and muscles: this consequently leads to collapse of lateral, rather than anterior, pharyngeal structures and to a greater susceptibility to OSAHS ²⁶.

The fatty deposits responsible of this enhanced collapsibility are particularly pronounced in men with central obesity compared to women with peripheral adiposity. During sleep, when neuromuscular activity is reduced, central obesity then can obliterate the pharyngeal lumen, increasing surrounding tissue pressures and leading to elevations in pharyngeal collapsibility ¹¹: these patients will have a very low sleep quality, as well as a high risk of cardiovascular disease ²⁷.

In addition, patients with OSAHS show an oval shape of pharyngeal lumen and this shape further reduces the ability of muscles to dilate the pharynx ²⁸.

Reduction of lung volumes

Obesity, and especially central obesity, also acts on lung volumes, causing their reduction. Indeed, fat accumulating in the thorax and abdomen prevents regular lung expansion: this provokes a fall of functional residual capacity (FRC)¹¹, with a subsequent loss of caudal traction on upper airway structures ²⁹. As caudal traction decreases, pharyngeal and global airway collapsibility substantially grow ³⁰, leading to a reduction in axial tension within the pharyngeal wall³¹, but also to a decrease in ventilation of the lung bases, to ventilation-perfusion mismatch and to enlargement of the alveolar-arterial PO2 difference ³². Low FRC also predisposes to instability of the respiratory control system. In the long run, the presence of recurrent airway obstruction, intermittent hypoxia and associated arousals causes oscillation of systemic and pulmonary arterial blood pressures, triggering or exacerbating heart disease ³³.

The role of leptin

Metabolic and humoral factors that determine the distribution of adiposity may be responsible for OSAHS. Among these there is leptin, a satiety hormone produced by adipocytes that, in physiological conditions, is released into the circulation in proportion to body adiposity ³⁴: in fact, it is produced in abundance by subcutaneous adipose tissue, particularly in women, and limits central obesity. From adipose tissue, leptin circulates to the brain, where it interacts with receptors in the hypothalamus to suppress appetite and regulate body composition and adiposity distribution ³⁵.

Obese subjects have elevated levels of circulating leptin, on one hand essentially because of the increase of adipocytes and fat mass, but on the other for central resistance to leptin ^{12,36}: consequently, in obesity the central satiety effects of leptin is abrogated ¹².

Leptin resistance is defined as a failure of high-circulating levels of leptin to decrease hunger and promote energy ex-

penditure ³⁷. At least three possible mechanisms have been proposed to mediate leptin resistance, including a failure of circulating leptin to reach its targets in the brain because of limited permeability of the blood-brain barrier; a down-regulation of the leptin receptors on the cell surface; and/ or an inhibition of the leptin-receptor signalling pathway ³⁸. Besides the action on satiety, leptin is also a potent ventilation stimulant acting on central respiratory control nuclei ¹²: from this point of view, leptin resistance is implicated in the pathogenesis of OSAHS through impaired central regulation of upper airway patency and diaphragmatic control ³⁹. Furthermore, high levels of plasma leptin are also related to obesity hypoventilation ⁴⁰.

However, hyperleptinaemia is associated with OSAHS itself ⁴¹, with a positive correlation between plasma leptin levels and severity of disease and independence from BMI or waist circumference ⁴².

Inflammation

Adipose tissue is an abundant source of pro-inflammatory cytokines, including tumour necrosis factor (TNF- α) and interleukin-6 (IL-6) ⁴³. These cytokines may be responsible of defects in neuromuscular control of the upper airway leading to OSAHS seen especially in patients with visceral obesity ⁴⁴. TNF- α , in particular, exerts somnogenic effects on the central nervous system ⁴⁵ stimulating membrane expression and release of its soluble receptor TNF- α receptor I (TNF α RI). The levels of TNF α RI in plasma fall with the use of CPAP ⁴⁶, which corroborates the potential role of inflammation in the natural history of OSAHS.

OSAHS and obesity: a biunivocal relationship

Although obesity is the main risk factor for the development of OSAHS, some authors have suggested that OSAHS can cause weight gain and therefore obesity ⁴⁶: in fact, it seems that these two conditions form a vicious cycle where each results in the worsening of the other ⁴⁷ and whose main actors include reduced physical activity, insulin resistance and increased leptin and ghrelin levels ²² (Fig. 1).

One of the most prominent symptoms of OSAHS is excessive daytime somnolence ¹, which may result in decreased physical activity, in turn responsible for weight gain if not associated with reduced caloric intake ⁴⁸: patients with OSAHS are at high risk of obesity, with consequent worsening of their apnoeas ⁴⁸. This relationship is also confirmed by the fact that OSAHS treatment improve alertness and daytime activity, while untreated patients tend to gain weight ^{49,50}.

The appearance of obesity in patients affected by OSAHS



 $\ensuremath{\textit{Figure 1.}}\xspace$ Possible mechanisms at the base of relationship between OSAHS and obesity.

is also potentially influenced by a change in dietary habits in favour of high caloric items, such as refined carbohydrates, which leads to positive energy balance seen in this population ³⁸, maybe as a form of stress response ⁵¹.

OSAHS may predispose individuals to obesity also because of disrupted metabolism. In fact, sleep fragmentation and deprivation are associated with increased glucose tolerance and insulin resistance, with consequent increased risk for obesity and diabetes ⁵². The exact mechanism leading to insulin resistance in patients with OSAHS is not fully understood: a proposed hypothesis is that sleep deprivation itself determines peripheral decreased insulin sensitivity, with a long-term progressive exhaustion of its reserves in pancreatic islands; on the other hand, another potential mechanism of insulin resistance may involve the elevated sympathetic activity in OSAHS ⁵³. However, it has been observed that insulin sensitivity improves after almost three months of treatment with continuous positive airway pressure (CPAP), one of cornerstones of OSAHS therapy ⁵⁴.

It has been suggested that hyperleptinaemia may be a prognostic marker for OSAHS⁴²; at same time, several studies have confirmed that OSAHS may directly influence leptin metabolism. Because in patients with OSAHS leptin levels are high independently of body fat content, it was postulated that OSAHS is associated with resistance to the weightreducing effects of leptin⁴¹, with increased appetite and body weight. These effects cease when treatment with nasal CPAP is initiated ^{55,56} and reduction in circulating leptin levels was not restricted to the CPAP therapy only, but also reported after surgical intervention with uvulopalatopharyngoplasty ⁵⁷.

Another relatively recent discovered hormone that regulates appetite and body weight is ghrelin, a hormone which stimulates appetite and whose levels are increased in OS-AHS patients ⁵⁸: therefore, in these patients it contributes to higher caloric intake and obesity.

Diagnosis of OSAHS

The first step to diagnose OSAHS and understand its severity is to obtain the apnoea-hypopnoea index (AHI), which is the mean number of sleep apnoeas and hypopneas per hour. Based on the AHI, OSAHS is then defined as 5 or more episodes of apnoea or hypopnoea per hour of sleep with associated symptoms (e.g., excessive daytime sleepiness, fatigue, impaired cognition) or 15 or more obstructive apnoea-hypopnea events per hour of sleep without symptoms ^{59,60}: in this way, OSAHS is classified as "mild" between 5 and 14 events, "moderate" between 15 and 29 and "severe" when more than 30 episodes occur per hour of sleep ⁵⁹. It should be emphasised that the diagnosis of OSAHS does not change between obese and non-obese patients. Obesity is only a causal factor for the syndrome that can worsen the pathological picture. It is implicated that it has shown bidirectional causality with each other, and that it may have mutual interaction with the other metabolic dysregulations.

However, medical history and physical examination are the cornerstones of clinical diagnosis. Patients should be interrogated about their nocturnal and daytime symptoms, extending questions to bedpartner to know other important information about the patient's sleep. Furthermore, given the close association between OSAHS and cardiovascular disease, OSAHS should be suspected in individuals affected by systemic or pulmonary hypertension, metabolic syndrome, heart failure, or arrhythmias ¹.

Physical examination of affected patients should include respiratory, cardiovascular and neurologic systems ⁶⁰ and, especially in case of obese patients, should be comprehensive of determination of some anthropometric parameters, which, if increased, raise the probability of OSAHS and pharyngeal obstruction. The most important of these parameters is body mass index (BMI), which becomes an alarm value if over 29.9. The measurement of neck circumference allows estimating the risk of suffering from OS-AHS: this risk is clearly increased if the neck circumference is greater than 41 cm in women and 43 cm in men⁶¹. Furthermore, with a simple inspection of the oral cavity, it is possible to evaluate the Mallampati score and to quantify the degree of tonsillar hypertrophy ⁶²: in general, if the Mallampati score is low, the degree of tonsillar hypertrophy will be greater, with a consequently higher risk of OSAHS. After clinical evaluation of the patient, it is necessary to confirm the suspicion of OSAHS, in order to assess its severity and guide therapeutic choices: the gold standard is polysomnography, rather than night cardiorespiratory monitoring and endoscopy, including sleep endoscopy.

Objective sleep studies

First step: polysomnography

One method used to screen obstructive sleep apnoea is the continuous recording of oxygen saturation during sleep. This method is economic and easily practicable; however, it is often not sufficiently sensible or specific and its utility in clinical practice is poor 63 .

On the contrary, polysomnography is the gold standard for the diagnosis of OSAHS, because it consents to identify affected patients and to stratify disease severity, in addition to recognition of co-existing sleep disorders, including other forms of sleep-disordered breathing. Usually performed in a laboratory during the sleep of patient, the exam allows measurement and recording of several physiological variables, including pulse oximetry, electroencephalogram, electro-oculogram, nasal and oral airflow measurements, chest wall movements, electromyogram and electrocardiogram. An obstructive apnoea is defined as a cessation of airflow for at least 10 sec despite ongoing inspiratory effort; instead, hypopnea is defined by one of the following three features: more than 50% airflow reduction, moderate airflow reduction (50%) associated with oxyhaemoglobin desaturation and moderate airflow reduction with electroencephalographic evidence of awakening 57.

Endoscopy

In patients with OSAHS, pharyngeal obstruction may be located at multiple levels (soft palate, lateral pharyngeal wall, tonsils, tongue base, epiglottis) and its identification is important for proper treatment. To date, to identify the site of upper airway obstruction nasopharyngolaryngos-copy is mandatory, because this exam allows for complete visualisation of the pharynx ¹³. Currently, there are two methods for performing endoscopy in OSAHS patients: during wakefulness with Müller's manoeuvre and during drug-induced sleep (the so-called sleep endoscopy).

Nasopharyngoscopy with Müller's manoeuvre (maximum inspiration with both the mouth and the nose occluded, in way to simulate apnoea) is routinely used to identify the sites responsible for increase in airflow resistance: in physiological conditions, after manoeuvre, the airway lumen remains patent (Müller -), while in OSAHS patients it narrows (Müller +). However, the use of endoscopy with Müller's manoeuvre is controversial and shows some limitations: in the first instance, is not an objective test because the degree of pharyngeal collapse closely depends on inspiratory effort during the manoeuvre; furthermore, it investigates the dynamic behaviour of the upper airway during wakefulness, whereas hypotonia and pharyngeal collapse typically occur during sleep ^{64,65}.

Given the limits of classic waking endoscopy, nowadays the gold standard for the diagnosis of obstructive sites in patients with OSAHS is drug-induced sleep/sedation endoscopy (DISE), i.e. endoscopy performed during induced sleep ⁶⁶ in an operating room ⁶⁷. It is a relatively quick and simple technique that can be performed on an outpatient basis, targeting possible vibration and obstruction sites ⁶⁸, in addition to degree and pattern of collapse ¹³. Several anaesthetic drugs may be used to induce sleep, alone or in association, among which the most used is propofol. DISE can be used in all patients with snoring or OSAHS; nevertheless, it is contraindicated in patients with severe respiratory pathology, while coronary or heart failure are relative contraindications to propofol ⁶⁹.

The obstruction implicated in abnormal respiratory events are clumsily attributable to four regions: retrovelar area, oropharynx, hypopharynx and larynx, each of which presents various structures that can induce obstruction ⁷⁰. Several systems are used to describe and classify obstructing and vibrating structures noticed with DISE: one is NOHL classification ⁷¹, which also includes nasal obstruction (N) that may equally well be assessed during wakefulness, in addition to the oropharynx (Fig. 2) and retropalatal area (O) (Fig. 3), hypopharynx and retrolingual area (H) (Fig. 4) and larynx (L) (Figs. 5, 6). For each district, NOHL classification evaluates collapse/vibration degree (from 0 if absent, to 4 if superior to 75%) and obstruction pattern (anteroposterior, transverse or circumferential). In addition, including nasal cavity, this classification introduces a therapeutic aspect unlike other classifications based on anatomic description alone: in fact, to understand the correct obstructive site and the type of obstructive pattern is mandatory for the choice of the proper surgical technique ¹³.



Figure 2. Sleep endoscopy showing oropharynx obstruction.



Figure 3. Sleep endoscopy showing retropalatal area obstruction.



Figure 5. Sleep endoscopy showing larynx collapse (type closing door).



Figure 4. Sleep endoscopy showing hypopharynx obstruction.

Therapeutic options for obese patients with OSAHS

The variety of symptoms and signs of OSAHS has made this disease the subject of study for many specialists including neurologists, pneumologists and otolaryngologists who have introduced the main surgical therapeutic options. Recently, the dentist and maxillofacial surgeon have been introduced in management of OSAHS and their contribution seems to play an increasingly important role. However, since this pathology involves many organs and systems, it is clear that many specialists are involved in it. All these specialists, including cardiologists, must be involved in a diagnostic and therapeutic path and a multidisciplinary strategy with the aim of obtaining satisfactory therapeutic results in the treatment of patients with



Figure 6. Sleep endoscopy showing larynx collapse (type closing book).

OSAHS ^{72,73}. The ideal strategy is to tailoring surgery on every single case.

Behavioural options: lifestyle changes and weight loss

In the first instance, OSAHS therapy is behavioural, based on lifestyle changes and weight loss, that to date are cornerstones of treatment ²⁵. The most effective behavioural measure is weight loss ¹, which helps to reduce OSAHS severity up to arrive sometimes to its resolution ⁷⁴: in patients with mild disease, a weight loss of 10.7 kg is associated by 40% reduction in AHI, while in obese patients with moderate to severe sleep apnoea the reduction of AHI is of 67% and in patients with severe OSAHS benefit even more ⁷⁵. Weight loss also allows to attenuate cardiometabolic abnormalities associated to OSAHS, with beneficial changes in cholesterol, insulin resistance, leptin, inflammatory markers and endothelial function ^{76,77}.

The first way to achieve weight loss is through conservative strategies, such as diet, exercise and medications: in particular, in sedentary overweight/obese adults, exercise may be beneficial for treatment of OSAHS not only because it facilitates weight loss ⁷⁸, but also because it seems to rise respiratory drive and to stabilise muscle tone in the upper airway ⁷⁹. Exercise and the Mediterranean diet, however, have positive effects on the severity of OSAHS even independently of weight loss.

Nevertheless, in many cases weight loss is hard to achieve and maintain using conservative strategies, and bariatric surgery has emerged as an alternative treatment of severe or complicated obesity, which offers a dramatic weight reduction, often maintained for up to 10 years ⁸⁰, but also important results in reference to sleep apnoea severity and cardiometabolic disturbances. Obese patients undergoing bariatric surgery have shown a prevalence of OSAHS more than 70% and it has been demonstrated that at one year later gastric banding surgery they present significant increases in rapid eye movement and slow-wave sleep, with reduced daytime sleepiness. Overall, patients undergoing bariatric surgery (regardless of the type of intervention) show an average reduction of 15 kg/m² in BMI and 36 events/hour in the AHI, suggesting that every 1 unit reduction in BMI translates to a reduction of 2.3 units in the AHI. However, OSAHS resolves completely only in 4% of subjects treated with bariatric surgery, perhaps because most of these patients, despite significant reduction in weight, remain overweight.

Continuous positive airway pressure (CPAP)

Continuous positive airway pressure (CPAP) is now considered the treatment of choice for OSAHS, especially in severe forms, by virtue of its remarkable effectiveness in reducing symptoms and the possible sequelae of the disease ⁸¹⁻⁸⁴. It consists in continuous insufflation of air under pressure through nose during sleep, which prevent partial or complete collapse of the upper airway ¹.

Polysomnographic studies have demonstrated that treatment with CPAP is able to restore patency of the airway and to reverse apnoea and hypopnoea, with regression of symptoms, improvement of daytime sleepiness and neurocognitive performance, and clear reduction of AHI ⁸⁵. In addition, nasal CPAP may lead to reduced incidence of cardiovascular events, to improvement of blood pressure and metabolic abnormalities ¹ and to better glycaemic control and insulin sensitivity in patients with concomitant type 2 diabetes. In obese patients, CPAP treatment of OSAHS is also able to determine weight loss and decrease of intraabdominal fat, probably because of decreased daytime hypersomnolence and increased physical activity ¹. Reduction of visceral fat is also seen in patients without significant weight loss.

Despite all these benefits, noncompliance is evident in a significant proportion of patients ⁸⁶, for which CPAP is poorly tolerated because of size and noise of the equipment, but also for adverse effects, which include irritation, pain, rash and skin breakdown at mask contact points, dryness or irritation of the nasal and pharyngeal membranes, nasal congestion, rhinorrhoea and eye irritation ¹. Furthermore, the benefits of CPAP therapy on metabolic parameters in subjects with mild and moderate OSAHS also remain unclear. Up to now, no studies have determined whether the efficacy of CPAP is still adequate at more than 3 months after the start of the treatment ⁸⁷.

Mandibular advancement devices (MAD)

In case of failure or in patients who refuse CPAP, a therapeutic alternative is represented by mandibular advancement devices (MAD), which maintain the patency of the airways posterior to the tongue advancing and/or closing the jaw during the sleep ⁸⁷, promoting advancement of the tongue, increased tension of the pharyngeal walls, impossibility of the jaw to post-rotate and, consequently, prevent airway obstruction ⁸⁸.

Ideally, the patient should be treated by the dentist with individualised and adjustable devices for his/her case, whereby the application is preceded by dental arch impressions or scans. Once applied, the device should allow progressive adjustment of the mandibular protrusion ⁸⁹, ensuring the maximum comfort, without algic symptoms affecting the muscle component or the temporomandibular joint (TMJ). However, it is important to start using the device gradually, increasing the time of use day by day. At the end of the gradual MAD adjustment period, which can take from 3 to 5 months, a new polysomnography should be performed to quantify the obtained gains ²⁰.

Although less effective than CPAP, MAD have given positive results in the treatment of OSAHS ⁹⁰, although limited to the moment in which patient is using the device ²⁰, which are preferentially indicated for mild or moderate OSAHS ⁹¹. Compared to CPAP, these devices have the advantage of being unobtrusive, make no noise and are potentially less expensive, and are generally preferred by patients. Prescinotto et al. reported a success rate of 64.3% using MAD ⁹². Nevertheless, they may be associated with some adverse effects: among these, one that occurs in practically every individual who wears a MAD for a prolonged period of time is tooth movement ⁹³.

Surgery

Some OSAHS cases require surgical modifications of the anatomy of the upper airway. In this case, appropriate patient selection and surgeon experience are crucial for therapeutic success ¹.

Surgical options include several procedures, with different degrees of invasiveness, with the aim to remove respiratory obstructive factors in the anatomical districts of ENT interest ¹: in over 75% of cases, however, these interventions are directed to the nose, palate and lateral walls of the pharynx and, among them, the most popular procedures are uvulopalatopharyngoplasty (UPPP) and lateral pharyngoplasty techniques ⁹⁴. On the other hand, soft tissue modifications can also be obtained by maxillofacial skeletal surgery, and more specifically maxillomandibular orthognathic surgery ²⁰.

Uvulopalatopharyngoplasty involves resection of the tonsils (if present), uvula, and posterior palate and reorientation of the tonsillar pillars, eventually with the help of harmonic scalpel ⁹⁵ or other devices to remove redundant mucosa and to create a neouvula. Another variant is laserassisted uvulopalatoplasty (LAUP), an outpatient surgical technique that involves a series of laser incisions and vaporisations designed to shorten the uvula and modify and tighten the soft palatal tissue ¹. Millman et al. ⁹⁶ reported a UPPP success rate ranging from 27% to 50%. UPPP has shown a significant reduction in AHI, unlike LAUP, which results in modest AHI reduction and little or no reduction in daytime symptoms.

Of the different lateral pharyngoplasty techniques used, barbed reposition pharyngoplasty (BRP) has recently become one of the most practiced palatal surgery techniques in different countries ⁹⁷: performed with barbed suture, i.e. knotless bidirectional resorbable suture, it allows obtaining suspension of the palatopharyngeal muscle to stable structures such as the pterygomandibular raphe, with consequent expansion of the lateral walls of the oropharynx without tissue resection of the soft palate region. The success rate reported for patients underwent BRP is 86% with a cure rate of 18% ⁹⁸. This technique is simple, quick, easy to learn and safe ^{98,99}, with promising results in the management of OSAHS in single and multilevel surgery and an important reduction of post-operative AHI.

Maxillo-mandibular advancement osteotomy (MMA) aims to enlarge the velo-orohypopharyngeal airway by advancing the anterior pharyngeal tissues (soft palate, tongue base and suprahyoid musculature) attached to the maxilla, mandible and hyoid bone ¹. Adjunctive procedures, such as cervical lipectomy, adenotonsillectomy and others, may also be done during the same surgical procedure ¹⁰⁰. The rate of success is 60% on a long-term basis using this surgical procedure ¹⁰⁰. MMA is particularly appropriate for patients with skeletal hypoplasia, retrognathia and severe OSAHS, since it ensures substantial and consistent reduction in AHI, greater than those obtained with UPPP, with few adverse events; nevertheless, it is relatively expensive ²⁰.

However, in the context of OSAHS surgery, other procedures are also included, such as radiofrequency ablation, whose purpose is to modify the anatomic site of the obstruction acting on nasal turbinates, tongue base and palate, with minimal invasiveness and very few risks. These procedures may be considered for patients whose main complaint is snoring, with little or no apnoea.

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