

Article

# Exploiting Recurring Patterns to Improve Scalability of Parking Availability Prediction Systems

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**Abstract:** Parking Guidance and Information (PGI) systems aim at supporting drivers in finding suitable parking spaces, also by predicting the availability at driver's Estimated Time of Arrival (ETA), leveraging information about the general parking availability situation. To do these predictions, the most of the proposals in the literature dealing with on-street parking require to train a model for each road segment, with significant scalability issues when deploying a city-wide PGI. By investigating a real dataset we found that on-street parking dynamics show a high temporal auto-correlation. In this paper we present a new processing pipeline that exploits these recurring trends to improve the scalability. The proposal includes two steps to reduce both the number of required models and training examples. The effectiveness of the proposed pipeline has been empirically assessed on a real dataset of on-street parking availability from San Francisco (USA). Results show that the proposal is able to provide parking predictions whose accuracy is comparable to state-of-the-art solutions based on one model per road segment, while requiring only a fraction of training costs, thus being more likely scalable to city-wide scenarios.

**Keywords:** Internet of Vehicles; Parking availability Predictions; Smart Mobility; Dataset Reduction; Clustering; Scalability

## 1. Introduction

Finding a parking space is one of the main concerns of urban mobility, as it is well recognised that a significant fraction of the traffic in crowded urban areas is originated by drivers cruising in search of a parking space [1]. The motivation behind this problem lies in that drivers have no knowledge about where there could be a free parking space matching their expectations. Thus, they have to roam, with significant consequences in terms of additional traffic, pollution, and drivers' wasted time [1,2]. Moreover, parking search also affects road safety, since drivers cruising for parking are distracted, and thus more likely to hit other road users [3].

Among the various types of Intelligent Transportation Systems, the *Parking Guidance and Information* (PGI) solutions, integrated within in-vehicle navigation systems or intended as mobile apps, aim at significantly reduce this problem, by guiding drivers directly towards streets (or parking facilities) with current or future higher availability of free spaces. To this aim, PGIs require parking availability information to work. When dealing with on-street parking, this information can be collected from stationary sensors, or by means of participatory or opportunistic crowd-sensing solutions from mobile apps [4,5] or probe vehicles [6–8]. The collected availability information is then aggregated on a remote back-end, to get a dynamic map of the parking infrastructure. This up-to-date map can be either pushed to the interested PGI users, or used to feed some prediction algorithms, to forecast the

33 parking availability at the Estimated Time of Arrival (ETA) of a PGI user [9,10], to allow drivers to  
34 better organise their transport before their departures or during their trips [2].

35 In the last years, the availability of sensor techniques to collect real on-street parking availability  
36 data triggered many researches on proposing solutions to predict parking availability (e.g.: [9–13]),  
37 mostly using advanced machine/deep learning approaches. Results are encouraging, with prediction  
38 errors of available stalls in the range of 10 - 15%, on a city-wide scale (e.g [9,10]). Nevertheless,  
39 most of these approaches require to train one model for each road segment with parking stalls, with  
40 significant scalability issues when dealing with large urban maps, which can likely comprise hundreds  
41 of thousands of them. The problem was firstly highlighted by Zheng et al., who investigated the  
42 effectiveness and the computational requirements of three Machine Learning techniques, being even  
43 unable to obtain results for some settings “due to the long computation time [...]” [13, p.5]. To the best  
44 of our knowledge, only one paper introduced a preliminary solution to reduce the computational  
45 requirements for a service of on-street parking availability prediction [14], based on simple clustering  
46 solutions.

47 To fill this gap, in this paper we present the results of an investigation meant to devise a  
48 pre-processing technique, leveraging the recurring patterns found in the dataset, to reduce the  
49 computational load required by an on-street parking prediction system, by minimising the prediction  
50 models and the training examples. More in detail, as a starting point we analysed the availability  
51 trends in a real on-street parking dataset from the municipality of San Francisco (USA), and we found  
52 that each road segment has a high temporal auto-correlation over itself, and a high cross-correlation  
53 among different trends. From this finding, we propose a pre-processing pipeline for parking prediction  
54 system where we firstly group together road segments showing a high similarity in parking availability  
55 trends, by means of a hierarchical clustering technique. The next step should be to train a shared  
56 prediction model on each of these clusters, to forecast future parking availability, but, as each of  
57 these clusters might include hundreds of road segments, with a potentially overwhelming number of  
58 training examples, we propose the use of the *Kennard-Stone* algorithm [15], to prune the training set,  
59 by maintaining only the most representative examples. Only after this training set filtering, on top  
60 of these reduced examples, we train a regressor, like for instance an SVR or a Deep Neural Network.  
61 As an additional observation, we found that on-street parking dynamics can be very fast: since each  
62 road segment has a limited number of parking spaces<sup>1</sup>, each change in the sensed availability has a  
63 deep impact on the occupancy percentage. Therefore, a misreading leads to abrupt changes in sensed  
64 availability, that are not related to the actual state. This is a common scenario, as current state-of-the  
65 art on-street sensing technologies suffer of an intrinsic amount of misreadings, quantifiable in at  
66 least 10% probability [16–18]. Thus, this kind of data is challenging for machine learning techniques,  
67 both for model training and performance evaluation, since these time series exhibiting strong, abrupt  
68 and frequent changes from one sampling instant to the other. To cope with this *noise* in the data,  
69 masking the general trend underlying the measurements, which is the real information [12], in our  
70 pre-processing pipe-line we propose also the use of an optional filtering step, performed by means of  
71 specifically configured Kalman filters [19].

72 To assess the effectiveness of the proposed solution, we conducted an empirical evaluation on  
73 a real dataset of five weeks of on-street parking data from the SFPark project in San Francisco [20],  
74 covering 321 road segments, available at [21]. We evaluated the prediction performances of a Support  
75 Vector Regressor, with an horizon of 30 minutes, considering the solution with and without Kalman  
76 filters, in combination with three different filtering levels of the *Kennard-Stone* algorithm. Let us note  
77 that more advanced prediction techniques might provide better prediction performances, but the focus  
78 of this investigation is to understand and quantify the impact of the proposed pipeline to prune the

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<sup>1</sup> For instance, over 500 road segments in San Francisco (USA) downtown, the most frequent number of parking stalls per road segment is 6

79 dataset, rather than achieving the best possible predictions. Results show that the proposed on-street  
80 parking availability prediction solution performs in a way that is comparable with state-of-the-art  
81 techniques based on a model per segment, while requiring a fraction of the computational efforts.  
82 Indeed, by grouping the 321 segments in just five clusters, each with 4000 training examples of filtered  
83 data, provided practically the same prediction error (in terms of RMSE) of a model for each of the 321  
84 models, each with more than 6000 examples, thus reducing by almost two orders of magnitude the  
85 required training efforts.

86 The main contributions of the paper are:

- 87 1. We provide the first analysis, to the best of our knowledge, on the temporal auto-correlation  
88 phenomenon for on-street parking availability.
- 89 2. We propose a technique to highly reduce the computational requirements of a parking  
90 availability prediction service, making it potentially scalable to a city-wide level, providing  
91 empirical evidence that it is able to provide parking predictions whose error is comparable with  
92 state-of-the-art solutions, based on one model per segment, at a fraction of their training costs.
- 93 3. We provide empirical evidence that, by applying a fast filtering step, the computational  
94 requirements for training can be further reduced.

95 The remainder of this paper is structured as follows: in Section 2 we present the related work on  
96 data-driven parking space prediction. In Section 3 we provide a detailed analysis on the temporal  
97 dynamics of parking availability. In Section 4 we present the approaches to predict the parking  
98 availability based on training data reduction. In Section 5 we describe the experiment design to  
99 assess the proposed approach, with the results we obtained. Finally, in Section 6 some conclusions are  
100 outlined, together with some future research directions.

## 101 2. Related Work

102 Parking Guidance and Information (PGI) systems require detailed parking space availability  
103 information [4,5] in order to support drivers. Occupancy information can be easily obtained for  
104 multi-storey car parks (also known as parking garages, or *off-street* parking) with controlled accesses  
105 [5,22], and consequently the most of the data-driven researches addressing the problem to predict  
106 parking space availability in the near future deal with this kind of facilities **by means of different types  
107 of prediction techniques** [23–26]. On the other hand, monitoring in real-time parking occupancy for  
108 on-street spaces is an open issue, with many challenges still to be faced [2].

### 109 2.1. IoT solutions to Sense On-Street Parking Availability

110 To date, two main on-street parking availability monitoring strategies are reported in the literature,  
111 both based on *Internet of Things* (IoT) approaches: one based on stationary sensors, and one on mobile  
112 sensors [2]. In the former group there are devices like magnetometers installed in the roadway below  
113 each on-street parking spot [27], or cameras on poles, overseeing parking lanes [28]. This approach  
114 produces availability information at a constant rate, but it is very expensive to deploy and maintain  
115 on a city-wide scale [4,29]. The other strategy exploits participatory or opportunistic crowd-sensing  
116 [30,31] via mobile apps [5] or probe vehicles [18]. Opportunistic mobile apps use smartphone sensors  
117 to estimate the subject state [32], or mode of transportation (e.g. driving or walking) and, from this  
118 information, to infer parking availability [22]. These apps are cheap to deploy, but require very high  
119 penetration rates to obtain an adequate amount of parking availability information [7]. On the other  
120 hand, probe vehicles, giving rise to *Internet of Vehicles*, can represent an advantageous trade-off between  
121 deployment costs and coverage of on-street parking monitoring. Many works proved that standard  
122 equipment on modern vehicles, like side-scanning ultrasonic sensors [18,33] or windshield-mounted  
123 cameras [34], can be used to detect free parking spaces along their routes, with a pretty high accuracy  
124 [17,18].

125 Despite specific pros and cons, all these IoT-based approaches to monitor on-street parking  
126 data are characterised by issues in the quality of the data coming from sensors, which can present

127 a significant amount of noise and sudden variability. An empirical evidence of these problems can  
128 be drawn by the large experimental parking project *SFPark* project, ran in 2011 by the San Francisco,  
129 whose costs exceeded \$46 million. In the project, about 8,000 parking spaces were equipped with  
130 specific sensors embedded in the asphalt, broadcasting availability information [27]. At the end of  
131 the project, many problems with the sensors were reported. As an example of misdetections, they  
132 found that "*High levels of electromagnetic interference from overhead wires, underground utilities, and other*  
133 *sources made it more difficult than expected for the magnetometer sensors to properly detect vehicles. [...]*  
134 *During three years of operation, interference remained pervasive and unpredictable.*" [16]. As for the abrupt  
135 changes in the values, changes observed at each time sample are reflected as steps in a square wave  
136 with a magnitude of about 10%. Spikes and changes of direction due to cars leaving and arriving at  
137 subsequent observation times or due to the reported electromagnetic interference are visible, too. This  
138 problem becomes exacerbated when considering road segments with a very small number of parking  
139 stalls, which are pretty common in the dataset from San Francisco that we used for our experiments,  
140 described in details in Section 3.1. Indeed, in that dataset, the average number of parking stalls per  
141 segment is 6, meaning that each parking/leaving event produces a change in the relative availability  
142 of about 17%. This scenario is in contrast with what is theoretically and experimentally known in the  
143 literature on parking, i.e. that there is a strong temporal correlation in the availability, which should  
144 not change drastically within around 30 minutes (e.g.: [9]). The consequences of this noise are twofold.  
145 On one hand, it becomes difficult to train a generalised model for meaningful predictions. On the  
146 other hand, it becomes also problematic to evaluate the prediction performances obtained by such a  
147 model, since the test set is noisy, too.

## 148 2.2. Solutions for On-Street Parking Availability Predictions

149 Focusing on researches conducted on predicting on-street availability, they are by far less than  
150 those of off-street, for two main reasons: (I) it is hard to find suitable datasets for the experiments,  
151 and (II) "*the prediction of parking availability for on-street parking is more difficult than off-street parking*  
152 *since the variance of on-street parking is relatively higher*"[35]. Zheng et al. [13] compared three different  
153 prediction techniques, Regression Trees, Neural Networks and Support Vector Regression, on the  
154 dataset from SFpark and from the municipality of Melbourne. Differently from current work, they  
155 applied SVR on raw data, with a single prediction horizon of 15 minutes. Rajabioun and Ioannou  
156 [10] proposed a technique to predict on-street parking availability based on the SFpark project data,  
157 by using multivariate autoregressive models considering both spatial and temporal correlations of  
158 parking availability. More recently, Monteiro and Ioannou [9] compared four different techniques to  
159 predict on-street parking availability, based on a new dataset coming from the municipality of Los  
160 Angeles. In [12] we preliminary investigated the idea of reducing noise in the data before running  
161 predictions. By means of a 2-step technique, including a specifically-customised Support Vector  
162 Regression smoother, we were able to outperform, in a statistically significant way, parking availability  
163 predictions obtained using standard regression techniques, as the one presented in [13]. In a subsequent  
164 paper ([36]) we extended that work by defining and assessing two smoothing techniques, characterised  
165 by significantly different computational requirements. Moreover, we considered also new prediction  
166 techniques, including one of those described in [9], to better evaluate the achievable performances of  
167 the entire solution. The solution we propose in the current paper includes the smoothing solutions  
168 defined in [36].

169 It is worth noting that the most of the related works in the literature propose the use of advanced  
170 prediction techniques to get good predictions, with strong generalizability properties, like Support  
171 Vector Regression (SVR), Neural Networks [13], Autoregressive models [10], and so on. The drawback  
172 is that these methods have high computational requirements, making difficult to deploy these solutions  
173 to a nation-wide scale. For example, Zheng et al. [13] were unable to produce results with SVR on  
174 few hundreds of road segments in San Francisco, "*due to the long computation time*". Another key  
175 denominator of all these papers is that they use a number of models which is close or equal to the

176 number of road segments with parking stalls. This also leads to significant computational issues,  
177 making these solutions pretty hard to scale up to a nation-wide, or even to a city-wide level.

178 To the best of our knowledge, the only paper investigating how to reduce the number of models  
179 needed to predict on-street parking availability is the one presented by Richter et al. [14] in 2014. In  
180 that paper, the authors evaluated different strategies to predict parking space availability, using a  
181 sample of data from the SFpark project, with the goal to minimise the number of prediction models,  
182 and thus the total space required to store data, by using different spatial and temporal clustering  
183 strategies. Nevertheless, that paper was meant for a totally different system architecture. Indeed,  
184 authors focused on proposing something suitable to be fitted in the on-board navigation device of  
185 a vehicle, meant as an off-line solution, based exclusively on historical data, without any dynamic  
186 update. Moreover, they designed the solution as a classification problem, predicting a range of parking  
187 availability (high, medium, low), rather than as a regression model, which can lead to much more  
188 refined solutions.

### 189 3. An Analysis of an On-street Parking Availability Dataset

190 Recurring dynamics, in time series, present an important opportunity to be exploited for  
191 prediction systems. Indeed, even if machine learning algorithms are capable of capturing these  
192 dynamics, by knowing in advance the existence of significant temporal regularities in the data, a  
193 system designer may develop more efficient processing pipelines. More in detail, in this scenario,  
194 many techniques are available in the literature to help reduce the size of the training sets and/or the  
195 number of needed prediction models, thus reducing the computational requirements of the processing  
196 pipeline. These techniques are often employed for traffic predictions (e.g.: [37,38]), but, to the best of our  
197 knowledge, they have been applied to on-street parking predictions only in one preliminary paper [14],  
198 also due to the lack of investigations focused on qualitative analyses of parking dynamics. Specifically,  
199 in [14], the presence of day-by-day, and weekdays/weekend recurring patterns was highlighted.

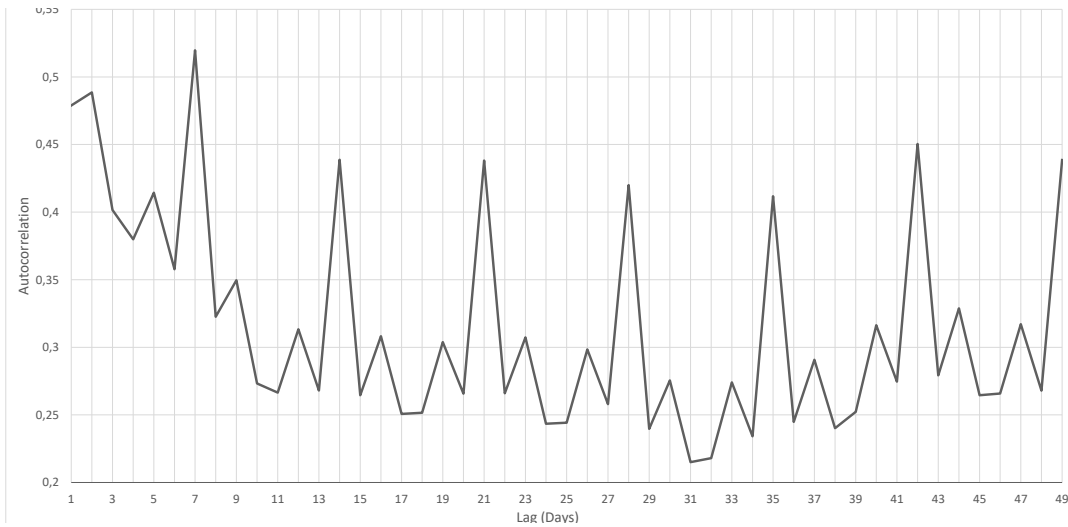
200 As a consequence, in this paper we start by providing an analysis on real data about on-street  
201 parking availability dynamics, to verify and quantify the presence of recurring temporal patterns in  
202 the data. In the following, we describe the dataset we collected about on-street parking availability  
203 from the Municipality of San Francisco (USA). We made available a part of the which is an extension  
204 of the one provided in [21]. Then we discuss the analysis of these data, that allowed us to get some  
205 insights on parking dynamics, motivating the proposal presented in this paper.

#### 206 3.1. The Considered Dataset

207 A common problem when conducting experimental evaluations for approaches dealing with  
208 the on-street parking domain is the lack of suitable datasets. Indeed, while many smart cities are  
209 collecting parking data (e.g. Santander (Spain) [39] or Los Angeles (USA) [9]), usually these data  
210 are not publicly available. For our study, on-street parking availability data was collected from  
211 the SFpark project [27]. In 2011 the San Francisco Municipal Transportation Agency started a large  
212 experimental smart parking project, called SFpark. The main focus of this project, whose costs exceeded  
213 \$46 million, was the improvement of on-street parking management in San Francisco, mostly by means  
214 of demand-responsive price adjustments [27].

215 One of the key points of the project was the collection of information about parking availability  
216 in six districts in San Francisco between 2011 and 2014. To this aim, about 8,000 parking spaces were  
217 equipped with specific sensors embedded in the asphalt of some pilot and control areas, periodically  
218 broadcasting availability information. Even though 8,000 equipped stalls is a remarkable number,  
219 this is less than 3% of the total number of on-street legal parking spaces in San Francisco [27]. These  
220 numbers make clear the problems and the costs to scale the instrumentation of on-street parking stalls  
221 to a city-wide dimension.

222 The SFpark project made available a public REST API, returning the number of free parking  
223 spaces and total number of provided parking spaces, for each involved street segment in the pilot



**Figure 1.** Average autocorrelation values of all road segments during period 3.

224 areas. By exploiting those APIs, we collected parking availability data from middle of June, 2013  
 225 to end of December, 2013. In some cases, due to malfunctions in the collection procedure, we lost  
 226 some weeks, giving rise to three trunks of data. Thus, the final dataset we used in our investigation  
 227 consists of three subsets of data including, respectively, 5 weeks (Period 1), 6 weeks (Period 2) and  
 228 14 weeks (Period 3). Only road segments having at least 4 parking spaces are considered, in this  
 229 work. Also, road segments that were never occupied for more than 85% of their capacity or showed  
 230 missing/constant readings for more than 3 days were removed from the dataset, as we assumed that  
 231 sensors were severely malfunctioning. The final number of considered segments is 321.

232 As for the distribution of provided parking spaces per road segment<sup>2</sup>, the most frequent number  
 233 of parking stalls per road segment is six, (8.8% of the total), while the average is about 7.9. These  
 234 numbers show that long parking lanes seldom exist in the evaluation regions and therefore each  
 235 parking/leaving event has a relevant impact on the *parking availability rate*, which is defined as the  
 236 ratio between the free and total stalls.

237 The reader interested in further statistical details on the distribution of available/free parking  
 238 spaces per segment is referred to our previous work [21].

### 239 3.2. Recurring Patterns in the Dataset

240 Starting from the observations in [14], we looked for temporal regularities in the data considering  
 241 a temporal granularity at a day level. More in detail, we used the *autocorrelation* operator to detect  
 242 recurring patterns for each road segment. This operator is used to evaluate at which lag a signal  
 243 is maximally similar to itself. In presence of periodic dynamics, the autocorrelation plot will show  
 244 strong local peaks, corresponding to lags at which the signal has a high recurrence. In our analysis,  
 245 we searched for lags in a range from one day up to half the days available in each considered data  
 246 collection period. This is to keep the number of superimposing samples sufficiently high to obtain  
 247 reliable autocorrelation values. As an example, Figure 1 shows the plot of the average autocorrelation  
 248 values for all road segments in San Francisco during Period 3. The spikes due to the recurring patterns  
 249 at 7 days lag are clearly visible in the autocorrelation curve, indicating that the on-street parking  
 250 phenomenon has a recurring dynamic with a period of one week.

<sup>2</sup> In the context of the SFpark project, a *road segment* (also named *block face*) is defined as one side of a road between two intersections

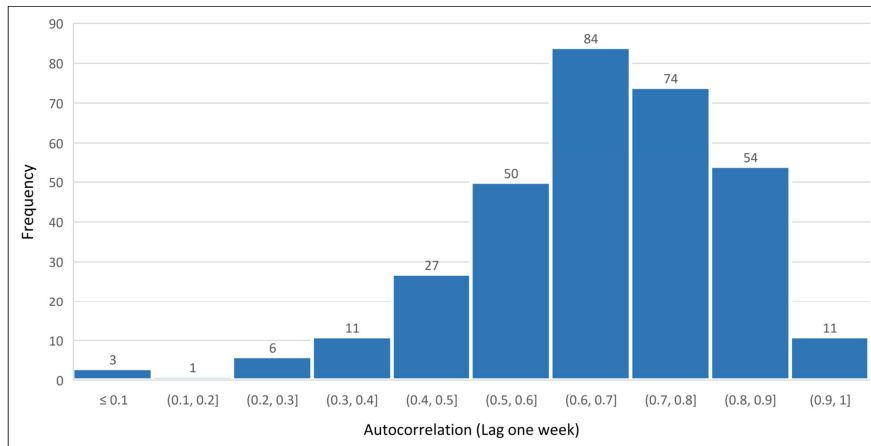


Figure 2. Autocorrelation frequency distribution over Period 1

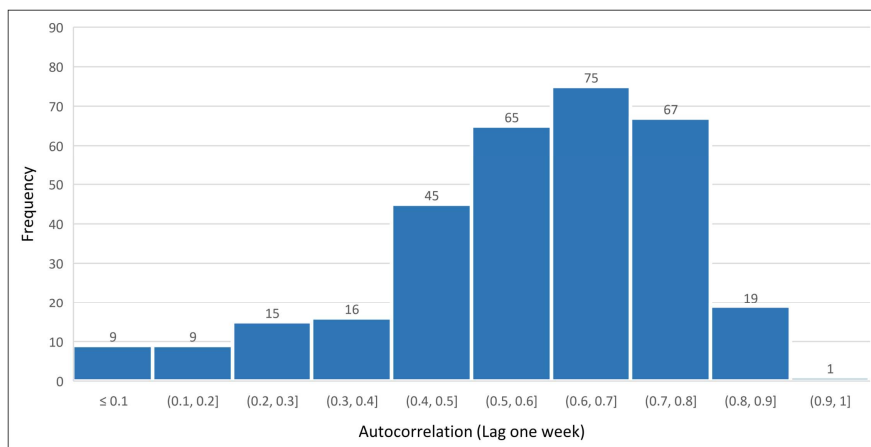


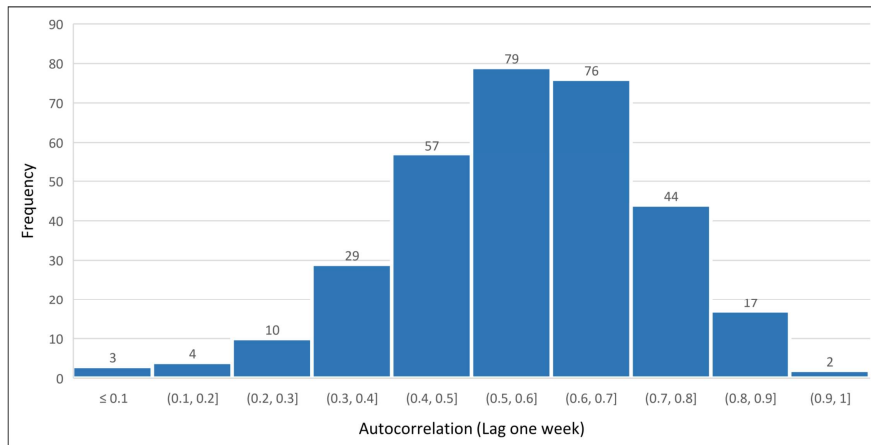
Figure 3. Autocorrelation frequency distribution over Period 2

251 Considering the whole set of segments in the dataset and a 7 days lag, histograms shown in  
 252 Figures 2, 3 and 4 highlight that the majority of the road segments present a consistent pattern repeating  
 253 itself at 1 week period.

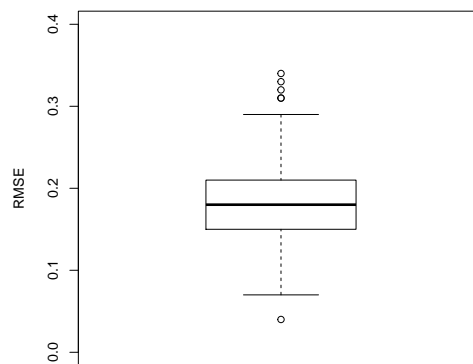
254 Having confirmed that the most of the road segment has a recurring pattern over a 1 week lag,  
 255 an immediate conclusion that may be derived from this analysis is that it could be possible to predict  
 256 the occupancy value for the current time and day by replicating the observation collected at the same  
 257 time during the same day of the preceding week. Should this strategy pay off, it would be useless to  
 258 proceed with machine learning at all. A simple preliminary experiment testing this hypothesis was,  
 259 therefore, conducted to assess the possibility that the *naive* strategy is adequate to predict occupancy  
 260 rate. The boxplot of the RMSE value obtained using this strategy is shown in Figure 5 and it highlights  
 261 that the prediction error, is more than two times the one found in [36], which used the same dataset.  
 262 Moreover, also the distribution of the RMSE value is very large, making the predictions unreliable. As  
 263 a consequence, even if recurring trends are present, there is still the need for more advanced prediction  
 264 approaches. In the following we propose a parking availability prediction technique meant to exploit  
 265 this characteristic, in terms of a strategy aimed at significantly reducing computational requirements.

#### 266 4. The Proposed Processing Pipeline

267 Many solutions presented in the on-street parking prediction literature use a pipeline like the  
 268 one shown in Figure 6 [9,10,13,35]. In detail, a dataset of historical parking availability contains the  
 269 examples to train a supervised predictive model. Depending on the employed prediction technique,  
 270 for each road segment, the dataset is windowed to generate a set of records, i.e. the *features* for the

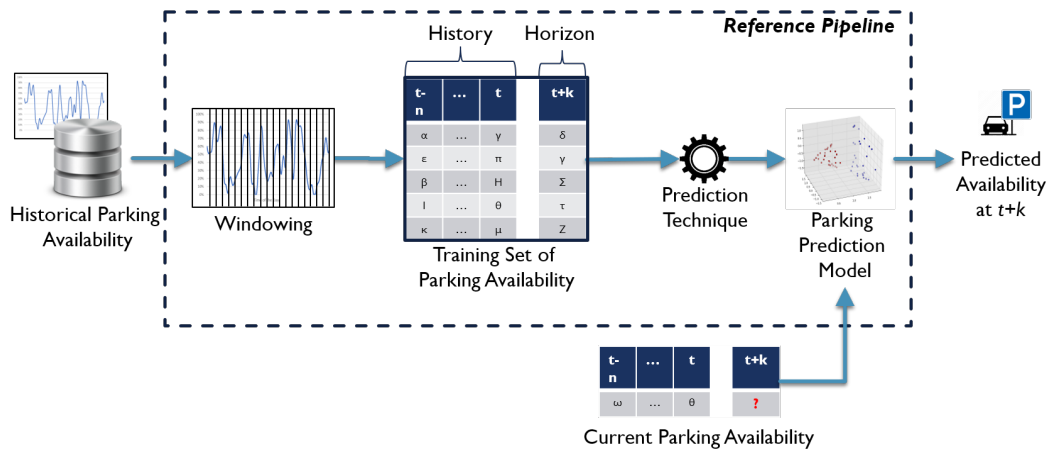


**Figure 4.** Autocorrelation frequency distribution over Period 3



**Figure 5.** Boxplot of the RMSE obtained by replicating the occupancy value, for each street segment, of the observation found at the same day and time of the previous week





**Figure 6.** The reference parking prediction processing pipeline, as adopted in many related works. This pipeline is instantiated for each per road segment.

271 regressor, containing a sequence of parking availability information in the time interval  $[t - n, t]$ ,  
 272  $X = \{A_{t-n}, \dots, A_{t-1}, A_t\}$ , referred to as *history* in the rest of the paper.

273 A further point  $Y = A_{t+k}$  in the record represent the observed availability at  $t + k$ , which is the  
 274 target value for regressor, referred to as *prediction horizon*. The regression technique is thus trained  
 275 to learn, for each road segment, a *model* representing the relationship between the parking history  
 276  $[t - n, t]$  and the prediction horizon  $t + k$  on these examples. Specifically, the historical data can be  
 277 windowed at the desired length (for example using a history of 60 minutes in the past and predict  
 278 availability at 30 minutes in the future), to generate the examples (i.e. the training set) on top of which  
 279 a regressor can be trained, as proposed by Zheng et al. [13]. Once a PGI user requests a prediction  
 280 of parking availability at a given time  $t + k$  in the future for a given road segment, the PGI queries  
 281 the prediction model with the parking data collected from sensors in the last  $n$  time frames for that  
 282 segment, and obtains as output the availability prediction for  $t + k$ . Let us note that training data in  
 283 this scenario can be either raw or smoothed. In the rest of the paper, this *Reference Pipeline* will be  
 284 referred to as RP.

285 The key limitation of RP is that a model is required for each segment to be monitored. Most of the  
 286 related papers deal with a few hundred road segments, still highlighting computational issues (e.g.  
 287 [13]). To give a reference, the map of the urban area of San Francisco from OpenStreetMap includes  
 288 more than 200,000 road segments, making it very hard for the solutions proposed in the literature to  
 289 scale up to a city-wide dimension. To face this issue, we propose a strategy, intended as an evolution  
 290 of RP, by adding two pre-processing steps:

- 291 1. Reduce the number of models, by clustering road segments with similar parking availability  
 292 dynamics;
- 293 2. Reduce the number of training examples, for each cluster, by selecting the  $n$  most informative  
 294 ones.

295 The key advantage of using a clustering technique is that the number of models to train grows  
 296 sub-linearly with the number of road segments to monitor, with clear computational advantages. Thus,  
 297 the final solution will be more likely to be able to scale to a city-wide level.

298 As in [36], this pipeline can include also an optional step to smooth data, to compensate the  
 299 potential presence of strong noise caused by the sensing solution.

#### 300 4.1. Clustering Road Segments

301 The first step to exploit recurrent temporal dynamics in the data consists in aggregating road  
 302 segments based on the correlations among their occupancy rate curves. Specifically, a cross-correlation

303 matrix  $C$  is computed considering the smoothed occupancy rate curves among all segments in the  
 304 dataset. Being  $C_{i,j}$  the cross-correlation value between the  $i$ -th and the  $j$ -th road segments, the  
 305 *Pairwise Distance Matrix*  $D$  is obtained by computing  $D_{i,j} = 1 - C_{i,j}$ , so that the higher the correlation,  
 306 the lower the distance among the considered segments. On the basis of the data contained in  $D$ , the  
 307 hierarchical clustering *Ward Variance Minimization Algorithm* is used to obtain the segments clusters.  
 308 A Hierarchical clustering approach was selected as the number of clusters is not known *a priori*. The  
 309 algorithm is used to iteratively group the road segments, by minimising the internal variance of each  
 310 cluster [40], where the distance between two clusters  $u$  and  $v$  is defined as follows:

$$d(u, v) = \sqrt{\frac{|v| + |s|}{T}d(v, s)^2 + \frac{|v| + |t|}{T}d(v, t)^2 - \frac{|v|}{T}d(s, t)^2} \quad (1)$$

311 where  $u$  is the new cluster generated by merging two clusters  $s$  and  $t$ ,  $v$  is every other cluster  
 312 different from  $u$ , on which we compute the distance from  $u$ , and  $T = |v| + |s| + |t|$ .

313 The output of the hierarchical clustering algorithm is a dendrogram, which can be cut at different  
 314 levels of similarity, to get different groupings, where the higher the cutting value, the lower is the  
 315 number of obtained clusters. Many strategies are described in the literature to select the cutting  
 316 threshold, often being domain-dependent [41]. In our case, we adopted a simple criterion, using the  
 317 default strategy implemented by both *SciPy* and *Matlab*, where the cutting threshold is computed as  
 318 70% of the maximum linkage distance among clusters.

319 Given the considered problem, through this clustering, we are able to group road segments that  
 320 behave in a similar way, from an on-street parking dynamics point of view. The subsequent problem is  
 321 how to train a single parking prediction model for each cluster, representative for all the segments in  
 322 that cluster. Indeed, for a single cluster, if we simply merge together all the windowed examples from  
 323 all the road segments belonging to that cluster, we will obtain a very large training set, containing a lot  
 324 of very similar examples, as the road segments were grouped together on the basis of the similarity  
 325 between their temporal dynamics: this will lead to very redundant datasets. While machine learning  
 326 algorithms are, of course, designed to manage this situation, computational requirements can be  
 327 greatly reduced if redundant information is filtered out of the dataset before the training phase. This is  
 328 what we propose in the subsequent step.

#### 329 4.2. Training Set Reduction

330 To obtain a sub-sample of the dataset in each cluster, that prioritises diversity with respect  
 331 to the amount of data, we propose the use of the Kennard-Stone [15] algorithm. This is a widely  
 332 used technique, designed to select the set of  $n$  most different examples from a given dataset, using  
 333 the Euclidean distance as a reference measure. The rationale behind the use of the Kennard-Stone  
 334 algorithm is to obtain a set of examples that is maximally informative for each cluster, rather than  
 335 uniformly distributed like the set that could have been achieved by random sub-sampling. Indeed,  
 336 this is also in line with the way Support Vector Machines represent prediction models, through the  
 337 identification of informative support vectors.

338 Thus, the procedure followed by the algorithm can be summarised as follows, for each cluster:

- 339 • Find the two most separated points in the original training set;
- 340 • For each candidate point, find the smallest distance to any already selected object;
- 341 • Select the point which has the largest of these smallest distances.

342 In this paper, we considered different values for  $n$  in order to evaluate how much the dataset used  
 343 to train the model dedicated to each cluster can be reduced while limiting performance drops.

#### 344 4.3. Kalman filters

345 As reported in the SFPark description, data provided by the sensors were affected by noise due  
 346 to multiple factors. In our previous works, we considered, for evaluation purposes, the trend line,

347 computed as an SVR model fitting the raw data, as a target for predictions [12]. This is because,  
348 at the decision level, it is more important to understand the underlying behaviour of the temporal  
349 series rather than predicting the exact occupancy of parking slots in a specific road segment. This is  
350 particularly important in the considered case, as the reported number of parking slots is affected by  
351 noise so that, by considering the occupancy rate, strong jumps in the series may be caused by random  
352 events. The SVR model representing the underlying trend, however, is computed using the full curve  
353 so that, while it is possible to use it as a prediction target, it is not possible to use it to provide features  
354 to machine learning algorithms. In order to approximate the trend line and filter out as much noise as  
355 possible, the proposed technique makes use of online Kalman filters.

356 Kalman filters are a well-known unsupervised approach to estimate systems' states in presence of  
357 missing and noisy observations [19]. While being relatively simple in their formulation, they possess  
358 a number of practical advantages. First of all, Kalman filters can be trained in a fast way without  
359 assuming the use of big data. Also, once the model is trained, it does not require significant memory  
360 space nor computational power to be queried and response time is fast. It is often useful, in the field,  
361 as it can handle missing observations and it can be continuously updated as data arrives. Kalman  
362 filters estimate the state of a system in terms of affine functions of state transitions and observations.  
363 A Kalman filter is entirely defined by its initial transition matrix  $A$  and by its covariance matrix  
364  $Q$ . Optionally, in the case of noisy observations, a covariance matrix  $R$  can be provided to describe  
365 Gaussian noise in the observations. These matrices are continuously updated as more data arrive  
366 and represent the model by themselves. It is therefore important to use domain knowledge, when  
367 designing Kalman filters, to provide an initial state that reasonably approximates the behaviour of the  
368 system, leaving fine tuning to training.

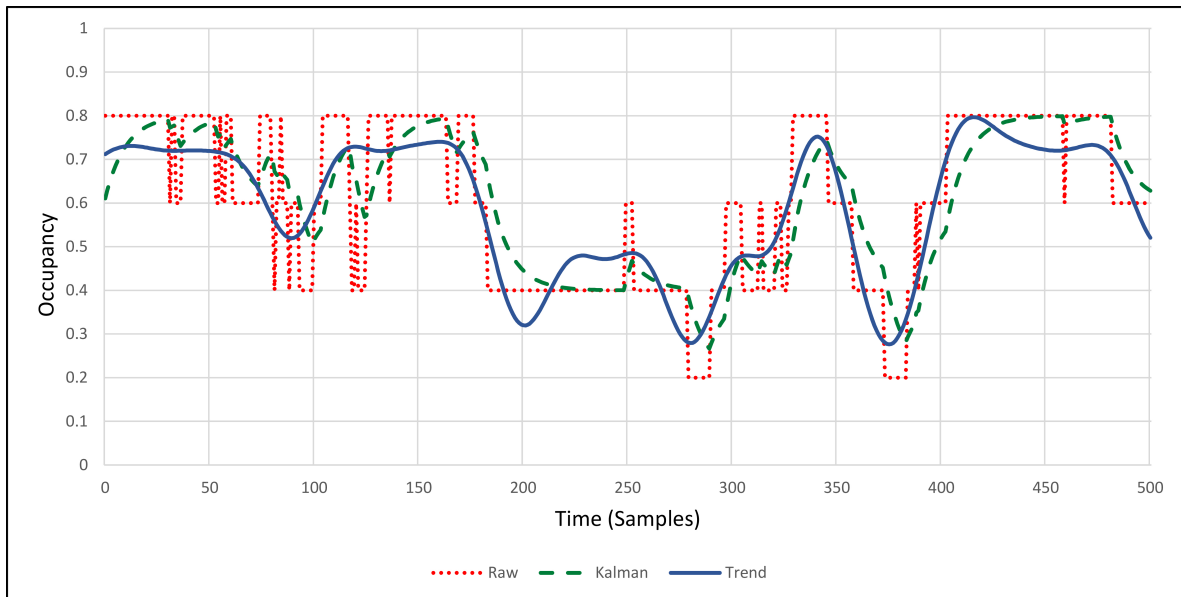
369 In this work, we use the same configuration of the Kalman filters we described in [36] to  
370 compensate the problem that, in the case of on-street parking, raw observations are affected by  
371 random events that end up *masking* the underlying dynamics of street segments. The filter uses,  
372 for each road segment, the total number of parking spaces to estimate the Gaussian falloff of the  
373 true state probability space, centred on the last observation. To estimate the transition covariance  
374 matrix using the dynamics of each road segment, as observed in the training set, we introduce use the  
375 Expectation-Maximisation approach. The parameters are then used, using a sliding windows approach,  
376 to simulate online state estimation with a Kalman filter on each road segment. The reader is referred to  
377 [36] for more details about the Kalman filters configuration. An average RMSE of 0.05 between the  
378 Kalman curve and the trend curve was obtained on the dataset and an example comparison of the  
379 three curves is presented in Figure 7.

## 380 5. The Empirical Evaluation

381 In this section we describe the experimental protocol, in terms of experiment design and employed  
382 metrics. Then we present and discuss the obtained results.

### 383 5.1. Experimental Design and Configurations

384 To the best of our knowledge, in the literature there is no other work exploiting the dataset  
385 we used in our experiments that can be used as a benchmark. Rajabioun and Ioannou defined a  
386 spatio-temporal parking prediction model on data they collected from the SFPark APIs, but they used  
387 a different sampling rate and a different time frame of data collection w.r.t. to our dataset [10]. As  
388 a consequence, since no direct benchmark are available in the literature, to assess the effectiveness  
389 of the proposed approach, we had to define two **baselines**: the first one is trained on raw data, as  
390 described in the RP approach, while the second one is on smoothed data using Kalman filters. **As**  
391 **for the regression technique to adopt**, Zheng et al. compared the effectiveness of three solutions,  
392 namely Regression Trees, Support Vector Regression (SVR) (with RBF kernel and no hyper-parameter  
393 optimization), and Neural Networks (NN) on SFPark data. They found that the first two techniques  
394 performed very similarly, always outperforming NN. Consequently we chose to adopt SVR, in its



**Figure 7.** Comparison among raw data (red), SVR trend (blue) and Kalman online estimates (green)

395 implementation provided by the LibSVM library [42] to get the predictions, in combination with an  
 396 ad-hoc technique to tune hyper-parameters. In particular, to find the optimal combination of  $C$  and  $\gamma$   
 397 parameters, we performed an inner cross validation on the training set, where 20% of the training set  
 398 was used as development set. In this phase, we used a split validation protocol, so that the earlier part  
 399 of the curve was considered to train the candidate models and the later part was used to evaluate the  
 400 performance. The optimisation criterion we choose is the minimisation of the *Root Mean Square Error*  
 401 (RMSE) on the development set and the  $\epsilon$  parameter is fixed at the LibSVM default value (0.1). Once  
 402 the optimal combination of the parameters was found, the final SVR model was trained using them on  
 403 the full training set and evaluated on the test set.

404 We considered a combination of three different amounts of historical data (5, 30 and 60 minutes)  
 405 to predict parking availability with an horizon of 30 minutes. Other than this historical parking  
 406 availability data, we also associated the *TimeOfDay* feature to each data sample. By clustering together  
 407 road segments using the similarity of their temporal dynamics, the hypothesis is that the number of  
 408 examples needed to train a prediction model for each cluster is reduced. To evaluate if the expected  
 409 effect is present and its strength, we considered different sample sizes for the Kennard-Stone algorithm.  
 410 Specifically, results obtained using 1000, 4000 and 16000 samples per cluster are presented in the  
 411 following. As for the baselines, we tested the performance obtained both with the raw and with the  
 412 Kalman features.

## 413 5.2. Metrics

414 The prediction quality for decision level systems is not influenced only by the estimated average  
 415 error, but also by the expected stability of this error. When evaluating performances on the road  
 416 segments included in the entire dataset, it is important to be able to assume that the predictor's  
 417 performance on all segments is approximately the same, so that uniform management strategies can  
 418 be developed in an informed way. In this paper, we introduce a specific measure designed to take into  
 419 account, other than the expected prediction error, its stability, too. In this way, solutions leading to less  
 420 skewed distributions in RMSE values are preferred.

421 More in detail, let's consider the [0-0.2] interval to represent the distribution of RMSE values  
 422 obtained on each road segment in the dataset. This interval is discretised in 10 bins so that the  
 423 probability of each bin corresponds to the fraction of road segments for which the RMSE value falls

inside that bin. Formally, if  $x$  is vector of RMSE values and  $n_i$  the number of road segments showing an RMSE value falling inside the  $i$ -th bin, the probability of the  $i$ -th bin is computed as

$$p(\text{bin}_i) = \frac{n_i}{|x|} \quad (2)$$

The Normalised Entropy  $H_N$  is, then, defined as

$$H_N = \frac{-\sum_i (p(\text{bin}_i) \ln(p(\text{bin}_i)))}{\ln(N)} \quad (3)$$

where  $N$  is the total number of bins. Then, a quality measure based on the entropy of the RMSE distribution is defined as

$$Q_H = 1 - H_N \quad (4)$$

Similarly, a quality measure based on RMSE is defined as

$$Q_{RMSE} = 1 - RMSE \quad (5)$$

The final quality measure  $F$  is defined as the harmonic mean of  $Q_H$  and  $Q_{RMSE}$ , to privilege solution offering the best balance between average RMSE and distribution compression.

$$F = \frac{2}{\frac{1}{Q_H} + \frac{1}{Q_{RMSE}}} \quad (6)$$

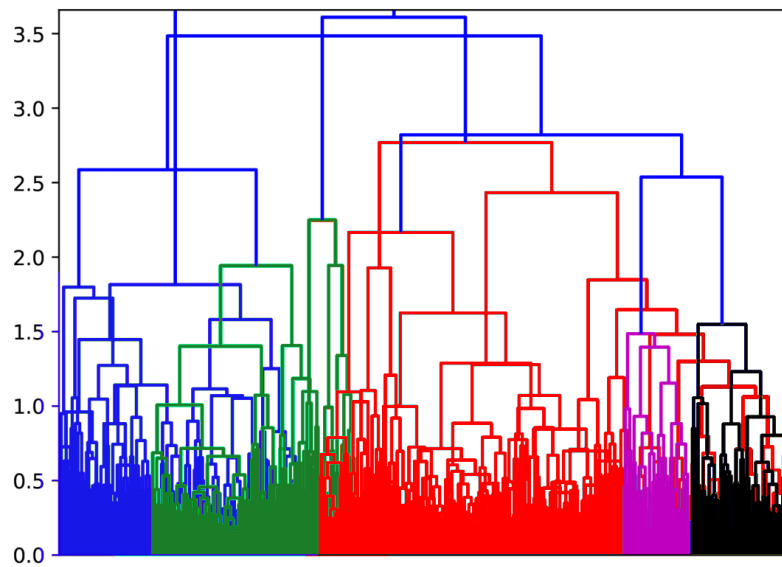
### 5.3. Results

The results of the two baseline prediction approaches are reported in Table 1, together with the RMSE, Entropy and  $F$  metrics, while the boxplots summarising the performance obtained with these configurations are shown in Figure 8. From these numbers, we can see that raw and smoothed solutions are very close in terms of RMSE. The introduction of Kalman filters reduces Entropy when using 5 minutes of historical data, and increase it at 60 minutes.

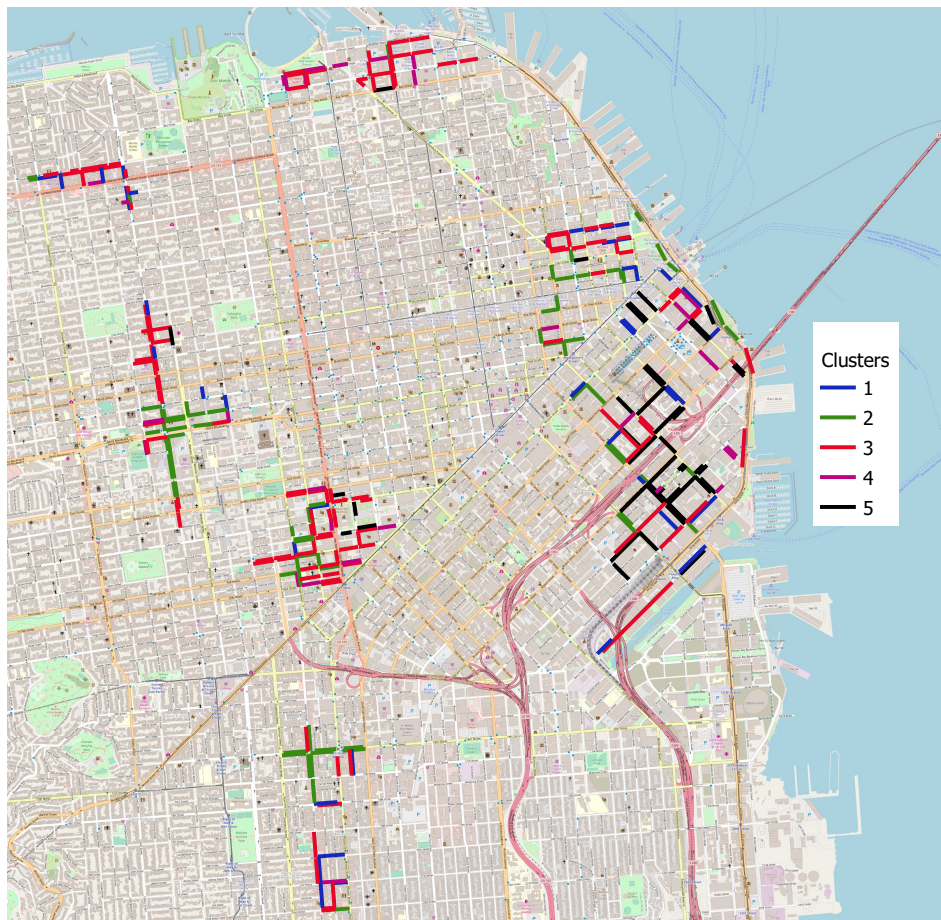
The application of the hierarchical clustering produces the dendrogram presented in Figure 10, with an automatically computed value of 5 as the number of recommended clusters, following the criterion described in Section 4. Figure 11 shows the clusters distribution over the map of San Francisco provided by OpenStreetMap: while spatial patterns can still be observed, as it is to be expected, the image shows that similar temporal dynamics can occur in different parts of the city, highlighting that the same trained model can be used to manage spatially distant road segments.

In the following we report the prediction performances of the proposal with the three considered values for the  $n$  parameter of the Kennard-Stone algorithm, namely 1000, 4000 and 16000. For the case of just 1000 training samples per cluster, a very minor fraction of the original dataset, both the tests with the raw and Kalman features are worse than the baselines: RMSE is just slightly higher than the reference values, but the entropy values highlight that the error distribution is larger, so that the reliability of the results is reduced for decision-level systems. The details of the results with a sample size of 1000 are shown in Table 2 while the corresponding boxplots are shown in Figure 9.

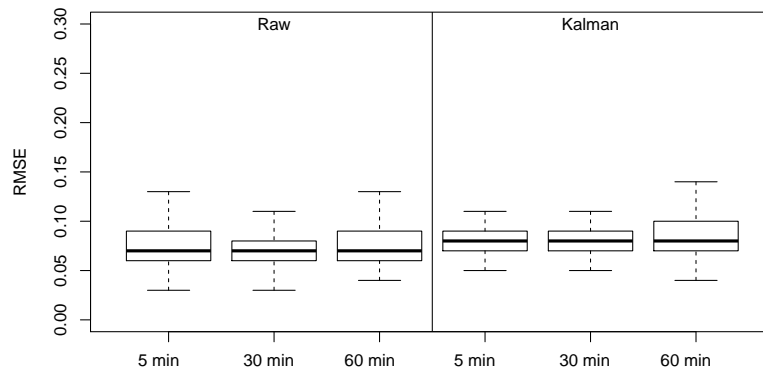
The configuration using 4000 training samples per cluster shows that, for the setup using raw features, the performance is still far from the reference one. The Kalman-based solution, on the other hand, is stable across the considered history configurations and very close to the reference one. As a matter of fact, the clustered configuration, using 60 minutes as history, performs better than the baseline. This may be explained by considering that with fewer samples of higher quality, less noise is introduced in the dataset when the highest number of input features is used, as the Kalman filter already compensates for it. The details of results with a sample size of 4000 are shown in Table 3 while the corresponding boxplots are shown in Figure 12.



**Figure 10.** Dendrogram provided by the hierarchical clustering algorithm



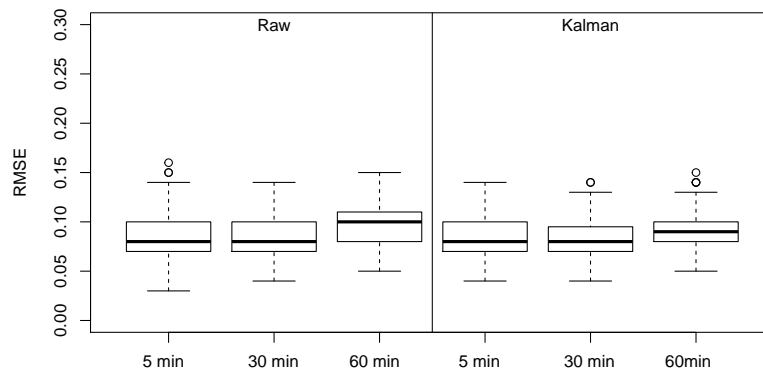
**Figure 11.** Geographic distribution of clusters among the considered road segments in the SFPark dataset



**Figure 8.** Boxplots of the baselines, considering one model for each road segment.

Feature Type	History	Entropy	Mean RMSE	F
Raw	5 min	0.56	0.07	0.59
	30 min	0.53	0.07	0.62
	60 min	0.56	0.07	0.59
Kalman	5 min	0.53	0.08	0.63
	30 min	0.53	0.08	0.62
	60 min	0.62	0.08	0.53

**Table 1.** Performance details of the baseline approaches, considering one model per road segment.

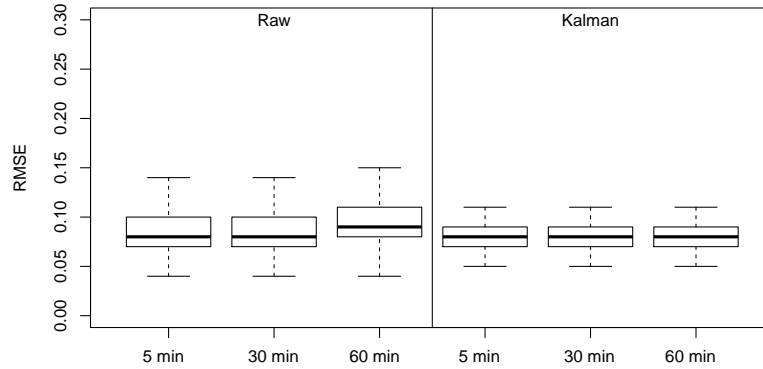


**Figure 9.** Performance boxplots with clustered segments and 1000 sample size.

Feature Type	History	Entropy	Mean RMSE	F
Raw	5 min	0.66	0.08	0.50
	30 min	0.62	0.08	0.53
	60 min	0.68	0.10	0.47
Kalman	5 min	0.64	0.08	0.52
	30 min	0.58	0.08	0.57
	60 min	0.61	0.09	0.53

**Table 2.** Performance details with clustered segments and 1000 sample size.

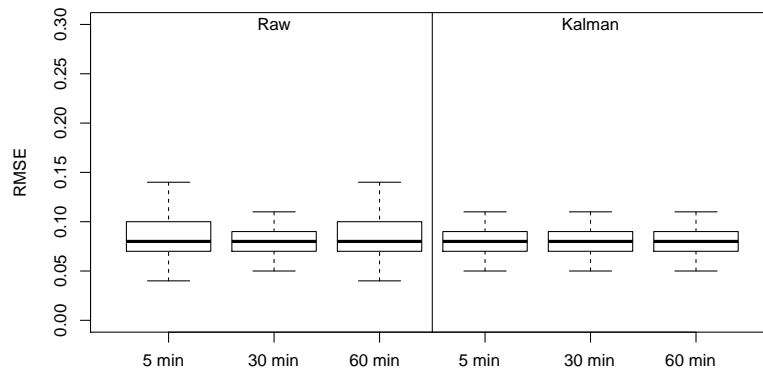
459 In the final configuration, considering 16000 training samples per cluster selected by the  
 460 Kennard-Stone algorithm, provides almost always the best performances. When using raw features,  
 461 in the case of 30 minutes of history, it provides basically the same results of the baseline. In the two  
 462 other cases, results are close but worse than the raw baseline. On the other hand, when considering the  
 463 Kalman features, this configuration is able to provide exactly the same performances of the baseline,  
 464 while using an amount of training data being two orders of magnitude smaller than the baseline.  
 465 The details of the experiments considering a sample size of 16000 are shown in Table 4 while the  
 466 corresponding boxplots are shown in Figure 13.



**Figure 12.** Performance boxplots with clustered segments and 4000 sample size.

<i>Feature Type</i>	<i>History</i>	<i>Entropy</i>	<i>Mean RMSE</i>	<i>F</i>
Raw	5 min	0.64	0.08	0.51
	30 min	0.62	0.08	0.53
	60 min	0.65	0.09	0.49
Kalman	5 min	0.53	0.08	0.62
	30 min	0.54	0.08	0.62
	60 min	0.54	0.08	0.62

**Table 3.** Performance details with clustered segments and 4000 sample size.



**Figure 13.** Performance boxplots with clustered segments and 16000 sample size.

<i>Feature Type</i>	<i>History</i>	<i>Entropy</i>	<i>Mean RMSE</i>	<i>F</i>
Raw	5 min	0.65	0.08	0.51
	30 min	0.54	0.08	0.62
	60 min	0.63	0.08	0.52
Kalman	5 min	0.53	0.08	0.62
	30 min	0.53	0.08	0.62
	60 min	0.53	0.08	0.62

**Table 4.** Performance details with clustered segments and 16000 sample size.



## 6. Discussion and Conclusions

Improving the effectiveness of on-street parking availability predictions is a key issue for Parking Guidance and Information (PGI) systems. The most of on-street parking availability prediction solutions presented in the literature are characterised by significant computational requirements, by learning a model for each road segment offering parking spaces, with considerable scalability issues.

The investigation we presented in this paper aims at evaluating if and how recurrent temporal patterns may be exploited to reduce the computational requirements of predictive approaches for on-street parking availability. Firstly, we have provided a quantitative and qualitative analysis of recurring patterns in the data collected from stationary sensors employed in a large experimental project in the Municipality of San Francisco (USA). This analysis highlighted that there are notable temporal recurrences in on-street parking availability dynamics, with an evident recurring pattern at 7 days lags. Anyhow, a *naive* replication strategy, where the parking availability prediction is obtained by repeating the situation sensed 7 days before, is not sufficient to obtain an adequate quality of the predictions.

We have, therefore, presented a processing pipeline to predict parking availability, meant to exploit these recurrences to lower computational requirements, by including clustering and training set reduction techniques. In particular, the clustering step is designed to group together segments with the similar temporal dynamics so that a shared model could be trained to predict parking availability for all the segments in the cluster. This implies that, in comparison with the strategy employed in similar works, training one model for each road segment, the number of models needed to cover the area of interest does not increase linearly with the number of segments, reaching volumes that may become hard to manage when large cities are considered. This provides important advantages from the scalability point of view: indeed, using temporal clustering allows to group together road segments that, although possibly far from a spatial point of view, exhibit similar dynamic occupancy patterns. This may be caused, for example, by qualitatively similar contextual situations, like the presence of residential or commercial areas.

Grouping road segments having similar (recurrent) occupancy patterns has the consequence that, when considering the windowed samples from all the segments included in a cluster, to form a single training set, many of these samples will be very similar to each other. To reduce the computational complexity of the training step, given a large dataset with redundant information, we applied a data reduction approach, using the Kennard-Stone algorithm, and investigated at which size the considered configurations of our system reach comparable performances with the ones obtained with the baseline approach.

The Kennard-Stone algorithm and the prediction quality can be significantly influenced by the amount of noise in the features. For this reason, we introduced an online Kalman filter to smooth the raw curve and reduce the influence of random events causing strong changes in the raw curve. The results we presented show that, with the Kalman-filtered data, the number of samples to be selected with the Kennard-Stone algorithm to reach the performance of the baseline is lower than the number needed using the raw features. This combination of temporal clustering, online filtering and data reduction techniques, therefore, allows to reach performances comparable to the ones obtained with the baseline approach while using a significantly lower number of models.

We conducted an experimental evaluation on a real on-street parking availability dataset from 321 road segments, in San Francisco, comparing our pipeline against a baseline where we trained a SVR model for each segment over 6,048 time frames, both for raw and filtered data. We had 5 clusters, and thus 5 models *vs* 321 of the baseline. Result shown that performances comparable with the baseline approach can be reached, when raw features are used, by selecting, using the Kennard-Stone algorithm, 16000 examples from the dataset obtained by merging all the data samples from all the roads included in a single cluster. Baseline performances, using Kalman-filtered features, can be reached by selecting 4000 examples, suggesting that a limited number of samples that are less affected by noise is sufficient to train supervised models when recurring patterns are present and shared among road segments.

517 This means that we had  $4,000 \times 5 = 20,000$  training examples *vs*  $6,048 \times 321 = 1,941,408$  of the original  
518 dataset, thus significantly reducing the computational complexity.

519 The limitations of this study are related to the dataset representing the specific situation of the  
520 San Francisco urban area, which may exhibit characteristics not found in other cities. The preliminary  
521 step of the procedure we followed here, using the autocorrelation operator to check the presence  
522 of recurring temporal dynamics in the considered road segments, remains necessary to deploy the  
523 approach in other situations. Potential differences may emerge due to different extensions of the  
524 considered urban areas or to specific geographical characteristics, as well as to the socio-economical  
525 background of the considered city, which may cause non-periodic recurrences that would not be  
526 detected through autocorrelation. Also, the temporal extension of the data available through the  
527 SFPark project is relatively limited and does not allow us to take into account possible changes due  
528 to seasonal variations through the whole year. Future work will, therefore, consist of re-applying  
529 the procedure to datasets collected from different cities and covering longer time periods, in order to  
530 evaluate, for example, if new clusters and/or new models should be trained to cover different times of  
531 the year, how long these time spans should be, and for how long a recurring pattern is present in the  
532 series.

533 We believe that the results of this work can be exploited for further replications/evolutions of the  
534 proposed pipeline. Indeed, as future work, we foresee the possibility that the obtained results can be  
535 improved by employing more advanced machine learning techniques, like CNN or LSTM on top of  
536 the proposed pipeline. Moreover, it would be interesting to replicate the experiment on other parking  
537 availability datasets, to understand if and how these recurrent patterns are common in other urban  
538 areas.

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