

Junction of three off-critical quantum Ising chains and two-channel Kondo effect in a superconductor

Domenico Giuliano^{1,2,a}, Gabriele Campagnano³, and Arturo Tagliacozzo^{3,2}

¹ Dipartimento di Fisica, Università della Calabria Arcavacata di Rende, 87036 Cosenza, Italy

² I.N.F.N., Gruppo collegato di Cosenza, Arcavacata di Rende, 87036 Cosenza, Italy

³ Dipartimento di Fisica, Università di Napoli “Federico II” and CNR-SPIN, Monte S. Angelo-Via Cintia, 80126 Napoli, Italy

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Abstract. We show that a junction of three off-critical quantum Ising chains can be regarded as a quantum spin chain realization of the two-channel spin-1/2 overscreened Kondo effect with two superconducting leads. We prove that, as long as the Kondo temperature is larger than the superconducting gap, the equivalent Kondo model flows towards the two channel Kondo fixed point. We argue that our system provides the first controlled realization of two channel Kondo effect with superconducting leads. Besides its theoretical interest, this result is of importance for potential applications to a number of contexts, including the analysis of the quantum entanglement properties of a Kondo system.

1 Introduction

The Kondo effect [1] and superconductivity [2] are among the most remarkable effects of many-body correlations in condensed matter systems. Specifically, in the former case itinerant electrons conspire to screen a localized magnetic impurity in a conducting media to an isolated spin singlet; in the latter case, electrons pair in two-particle Cooper pairs, and eventually condense in a collective ordered state, in which single-particle excitations are fully gapped, with the dependence of the gap on the momentum determined by the specific superconducting state which is created. Remarkably, the simultaneous presence of the two effects gives rise to an interesting competition: indeed, it is well-known that Kondo effect is strictly related to low-energy singularities in the single-fermion scattering amplitude of the magnetic impurities, close to the Fermi surface. This clearly conflicts with the presence of an energy gap in the single-fermion spectrum in the superconducting phase, which makes the density of states in the vicinity of the Fermi level equal to 0. Nevertheless, despite the gap, Kondo effect is not necessarily suppressed by the onset of superconductivity. This is due to the well-known result that the fermions effectively screening the impurity are the ones at energies (measured with respect to the Fermi level) ranging from the half-bandwidth all the way down to $k_B T_K$, with T_K being the Kondo temperature and k_B the Boltzmann constant [3]. Therefore, Kondo effect is expected to persist even in a superconducting medium with

gap Δ , provided $k_B T_K \gg \Delta$, which makes the gap itself immaterial for the screening of the magnetic impurity [4].

In the last two decades, the enormous progress in the fabrication techniques of nanostructures made it possible to realize Kondo effect in a controlled way in e.g. quantum dots at Coulomb blockade [5,6] or in single magnetic impurities [7] in contact with metallic leads. More generally, Kondo effect in low-dimensional systems has been explored [8], especially in view of its relation to remarkable many-body collective effects [9], such as, for instance the electronic shake-up after a single-electron emission [10,11]. This motivated further proposals for studying the coexistence/competition between Kondo effect and superconductivity in a quantum dot coupled to superconducting leads, also in a Josephson-junction arrangement (dot connected to two superconducting leads at zero voltage bias and fixed phase difference), which should be able to evidence the crossover between π -junction (no Kondo effect) and 0-junction (onset of the Kondo effect) [12–15]. On the experimental side, a remarkable scaling law of the dc-conductance, which results to be a universal function of $\Delta/(k_B T_K)$, has been observed in a single quantum dot contacted laterally to a superconducting reservoir [16]. While there is still some debate about nonuniversal features, it is basically established that the onset of Kondo effect is effective whenever $K_B T_K/\Delta \gg 1$ and that the fixed point as $T \rightarrow 0$ should correspond to the perfectly screened Nozières fermi liquid [1]. Other issues which have been studied using quantum dots connected to superconductors are, for instance, the interplay between Kondo effect and Andreev reflection in dots coupled to one normal

^a e-mail: domenico.giuliano@fis.unical.it

and one superconducting lead [17], or in a dot coupled to topological superconducting leads [18].

Recently, novel possible realizations of Kondo effect have been proposed at junctions of interacting quantum wires and topological superconductors [19–22], in an SNS-junction made with topological superconductors (where it should be detected by looking at the scaling of the current with the system size) [23,24], or in junctions of quantum spin chains [25–28]. In particular, the quantum spin chain realization of the Kondo effect presents a number of theoretically interesting features, such as the possibility of realizing in a “natural” way the symmetry between channels in the many-channel version of the effect [26] or, on the theoretical side, the exact integrability of some specific models [29,30]. On the applicative side, it appears particularly intriguing, due to the possibility of realizing in a controlled way devices behaving as spin chains and/or as junctions of spin chains by means, for instance, of pertinently engineered superconducting quantum wires [31], or of quantum Josephson junction networks [32–34].

In a junction of quantum spin chains the magnetic impurity is determined by the coupling between the chains. Formally, this is evidenced by extending to the junction the Jordan-Wigner transformation [35], by means of which one realizes quantum spin-1/2 operators in terms of lattice spinless fermion operators (and vice versa). When applied to a junction of more than two chains, the Jordan-Wigner transformation requires introducing ancillary fermionic degrees of freedom, to preserve the correct commutation relations between corresponding operators. At a three-chain junction, this determines an effective spin-1/2 magnetic impurity which is topological, due to the nonlocal character of the ancillary degrees of freedom [25]. The Jordan-Wigner fermion can, therefore, act to realize Kondo effect by screening this effective magnetic impurity. Along this correspondence, in order to recover a gapless single-fermion spectrum, an important requisite is that the chains forming the junction are all tuned at a quantum critical point, either corresponding to the paramagnetic-ferromagnetic phase transition in the quantum-Ising chains [26,27] or in the XY quantum spin chains [28], or belonging to a critical line of gapless points, such as in the junction of quantum XX spin chains [25].

In this paper we rather focus onto the Kondo effect at a junction of three off-critical (on either the paramagnetic, or the ferromagnetic side) quantum Ising chains, with a nonzero gap in the single-fermion spectrum. Specifically, by going through a rigorous mapping between the off-critical junction of Ising chains and the model for a spin-1/2 magnetic impurity interacting with two superconducting baths, we prove that our system can be regarded as a model for two-channel Kondo effect with superconducting leads.

A first important feature of the system we consider is that it hosts a remarkable realization of overscreened, spin-1/2 two-channel Kondo effect with superconducting electronic baths which, so far, has never emerged in realistic devices based on e.g. quantum dots with superconducting leads. Moreover, our system naturally presents

a symmetry between channels, which is typically hard to recover in “standard” condensed matter-based many-channel Kondo systems [26,36]. Finally, the very fact that our system is based on a junction of spin chains makes it possible to use it for potentially countless numerically- and analytically-based applications, such as, for instance, probing the effects of superconductivity on the entanglement structure of the system [37] and, more generally, verifying how the Kondo interaction affects the entanglement of the spin chains close to their “bulk” quantum critical point [38,39].

The paper is organized as follows:

- in Section 2, we introduce the model Hamiltonian for the junction of three quantum spin chains and map it onto a pertinent fermionic Hamiltonian by employing an adapted version [25] of the Jordan-Wigner transformation;
- in Section 3, we rigorously trace out the mapping between the Jordan-Wigner fermionic representation of the junction Hamiltonian and a model for a quantum spin-1/2 impurity interacting with two superconducting baths (“channels”);
- in Section 4, we analyze the onset of Kondo regime by means of a pertinently adapted version [28] of poor man’s renormalization group approach to Kondo problem [3], finding the necessary conditions to which the Kondo coupling and the single-fermion energy gap must obey, in order to actually recover the Kondo effect;
- in Section 5, we discuss whether, and how, Majorana-fermion-like excitations arising at the endpoints of the chains in the magnetically ordered phase affect the Kondo effect, proving that their are basically irrelevant for what concerns Kondo physics;
- in Section 6, we describe the strongly coupled Kondo fixed point of the system using a variational approach [15,40], adapted to the specific case of gapped leads. We conclude that the gap does not substantially affect the structure of the Kondo fixed point, provided the conditions for the onset of Kondo regime are met;
- in Section 7, we provide our main conclusions, together with a discussion of possible further developments of our work;
- in the Appendix, we present mathematical details about the exact solution of a quantum Ising chain with open boundary conditions in terms of Jordan-Wigner fermions.

2 The model Hamiltonian for the junction

The possibility of realizing two-channel Kondo (2CK) effect at a junction of three critical ferromagnetic quantum Ising chains (QIC)s was originally put forward by Tsvetlik [26] who, later on, also proved the exact solvability of the model, taken in the continuum limit [29]. Here, we consider the generic situation of a junction of three, non (necessarily) critical QICs. Following Tsvetlik’s construction, we focus onto a junction of three equal chains,

each one consisting of ℓ sites. The three (disconnected) chains are described by the model Hamiltonian

$$H_{\text{Chain}} = \sum_{\lambda=1}^3 \left\{ -J \sum_{j=1}^{\ell-1} S_{j+1,\lambda}^x S_{j,\lambda}^x + h \sum_{j=1}^{\ell} S_{j,\lambda}^z \right\}. \quad (1)$$

In equation (1), $S_{j,\lambda}^x$ and $S_{j,\lambda}^z$ are quantum, spin-1/2 operators acting on site- j of chain- λ , J (>0) is the ferromagnetic exchange strength between spins on nearest neighboring sites, h is the applied magnetic field in the z -direction. With the normalization we chose in equation (1), the chains become quantum critical at $J = \pm h/2$ [41]. The junction is constructed by connecting the three spins at the endpoints of the three chains by means of a ferromagnetic coupling $J_{\Delta} < J$. The corresponding boundary Hamiltonian is therefore given by:

$$H_{\Delta} = -J_{\Delta} \sum_{\lambda=1}^3 S_{1,\lambda+1}^x S_{1,\lambda}^x, \quad (2)$$

with periodicity in the index λ understood, that is, $S_{1,\lambda+3}^x = S_{1,\lambda}^x$. The whole system is described by the model Hamiltonian $H = H_{\text{Chains}} + H_{\Delta}$. The mapping of the spin-chain junction onto a fermionic Kondo-like Hamiltonian is based onto a generalization of the Jordan-Wigner (JW) fermionization procedure for a single chain with open boundary conditions, which we review in the Appendix. Specifically, in order to preserve the correct (anti)commutation relations between operators acting on different chains, one has to introduce a set of Jordan-Wigner spinless lattice fermions per each chain, $\{a_{j,\lambda}, a_{j,\lambda}^{\dagger}\}$, in analogy to what is typically done for a single chain (see the Appendix for details) and, in addition, three real-fermionic Klein factors (KF)'s σ^{λ} , one per each chain [25]. By definition, each σ^{λ} anticommutes with all the $a_{j,\lambda'}$, $a_{j,\lambda'}^{\dagger}$. On introducing the KFs, the JW transformations in equation (A.2) of the Appendix are generalized to [25,26,28]

$$\begin{aligned} S_{j,\lambda}^+ &= ia_{j,\lambda}^{\dagger} e^{i\pi \sum_{r=1}^{j-1} a_{r,\lambda}^{\dagger} a_{r,\lambda}} \sigma^{\lambda} \\ S_{j,\lambda}^- &= ia_{j,\lambda} e^{i\pi \sum_{r=1}^{j-1} a_{r,\lambda}^{\dagger} a_{r,\lambda}} \sigma^{\lambda} \\ S_{j,\lambda}^z &= a_{j,\lambda}^{\dagger} a_{j,\lambda} - \frac{1}{2}. \end{aligned} \quad (3)$$

Due to the identity $(\sigma^{\lambda})^2 = 1$, it is easy to check that, when inserting equation (3) into equation (1), the KFs fully disappear from H_{Chain} and that, accordingly, one obtains

$$\begin{aligned} H_{\text{Chain}} &= \sum_{\lambda=1}^3 \left\{ -\frac{J}{4} \sum_{j=1}^{\ell-1} \{a_{j,\lambda}^{\dagger} a_{j+1,\lambda} + a_{j+1,\lambda}^{\dagger} a_{j,\lambda}\} \right. \\ &\quad - \frac{J}{4} \sum_{j=1}^{\ell-1} \{a_{j,\lambda} a_{j+1,\lambda} + a_{j+1,\lambda}^{\dagger} a_{j,\lambda}^{\dagger}\} \\ &\quad \left. + h \sum_{j=1}^{\ell} a_{j,\lambda}^{\dagger} a_{j,\lambda} \right\}. \end{aligned} \quad (4)$$

At variance, the KFs do explicitly appear in H_{Δ} , which takes the form

$$H_{\Delta} = \sum_{\lambda=1}^3 \mathcal{T}^{\lambda} \Sigma_1^{\lambda}, \quad (5)$$

with

$$\Sigma_j^{\lambda} = -\frac{i}{2} \sum_{\lambda',\lambda''} \epsilon^{\lambda,\lambda',\lambda''} [a_{j,\lambda'}^{\dagger} + a_{j,\lambda'}] [a_{j,\lambda''}^{\dagger} + a_{j,\lambda''}], \quad (6)$$

and the effective spin-1/2 operator \mathcal{T} being given by:

$$\mathcal{T}^{\lambda} = -\frac{i}{2} \sum_{\lambda',\lambda''} \epsilon^{\lambda,\lambda',\lambda''} \sigma^{\lambda'} \sigma^{\lambda''}. \quad (7)$$

The operator \mathcal{T} typically arises when employing the generalized JW fermionization procedure at a junction of three quantum spin chains [25,26,28]: it is regarded as a topological spin-1/2 operator because of its nonlocal character in both the chain and the site index, despite the fact that it only appears in the boundary Hamiltonian H_{Δ} , which is ‘‘concentrated’’ at the common boundary (the junction) at $j = 1$ [19,42]. As highlighted in the Appendix, H_{Chain} in equation (4) can be regarded as the sum of three Kitaev Hamiltonians for a one-dimensional p-wave superconductor: on this analogy we will ground most of the following discussion on our system.

3 Mapping onto the two-channel Kondo model with superconducting leads

We are now going to rigorously show that a junction of three off-critical quantum Ising chains can be mapped onto the Kondo problem for a spin-1/2 impurity in contact with two superconducting baths (‘‘channels’’). Specifically, we adapt to our problem the mapping procedure derived and discussed in reference [36] in the case of normal leads. The key step is to go through the expression of the boundary Hamiltonian in terms of Bogoliubov operators for a quasiparticle with energy ϵ , $\{\Gamma_{\epsilon}\}$. This can be done by inverting equations (A.5) of the Appendix and by considering that, in a spinless superconductor, one has the particle-hole correspondence encoded in the relation $\Gamma_{-\epsilon} = \Gamma_{\epsilon}^{\dagger}$, which can be explicitly checked from equations (A.5), (A.9) and (A.11) of the Appendix. Looking at the explicit formulas for the quasiparticle wavefunctions, equations (A.9) and (A.11), one therefore obtains

$$\begin{aligned} a_1^{\dagger} + a_1 &= \sum_{\epsilon \neq 0} \left[\frac{\sin(k + \varphi_k)}{\sqrt{\ell + 1}} \right] [\Gamma_{\epsilon} + \Gamma_{\epsilon}^{\dagger}] \\ &\quad + \left[\frac{\sqrt{2J^2 - 8h^2}}{J} \right] \Gamma_{0,L}, \end{aligned} \quad (8)$$

$\Gamma_{0,L}$ is the mode operator for the Majorana mode localized at the left-hand endpoint of the chain: the corresponding term in the mode expansion of equation (8) only appears in the topological phase of the Kitaev-like

Hamiltonian, corresponding to the magnetically ordered phase of the quantum Ising chain. As we discuss in the following, whether a term $\propto \Gamma_{0,L}$ is present in the mode expansion of equation (8), or not, does not substantially affect the Kondo physics of the system. Thus, in the following of this section we shall just disregard it and accordingly truncate the mode expansion of $a_1^\dagger + a_1$ to the first term at the right-hand side of equation (8). As a result, we eventually obtain

$$a_1^\dagger + a_1 = \sqrt{\frac{2}{\ell+1}} \sum_{\epsilon} [\sin(k + \varphi_k) [\Gamma_{\epsilon_k} + \Gamma_{\epsilon_k}^\dagger]]. \quad (9)$$

By means of an appropriate and straightforward generalization of equation (9), we therefore rewrite Σ_1^λ in equation (7) as

$$\begin{aligned} \Sigma_1^\lambda = & -\frac{i}{(\ell+1)} \sum_{\lambda', \lambda''} \sum_{\epsilon', \epsilon''} \epsilon^{\lambda, \lambda', \lambda''} \left[\frac{h^2 \sin(k') \sin(k'')}{\epsilon_{k'} \epsilon_{k''}} \right] \\ & \times [\Gamma_{\epsilon_{k'}, \lambda'} + \Gamma_{\epsilon_{k'}, \lambda'}^\dagger] [\Gamma_{\epsilon_{k'', \lambda''}} + \Gamma_{\epsilon_{k'', \lambda''}}^\dagger]. \end{aligned} \quad (10)$$

The ‘‘bulk’’ of the chains is instead described by the simple quadratic Hamiltonian given by:

$$H_{\text{Chain}} = \sum_{\lambda=1}^3 \sum_{\epsilon} \epsilon \Gamma_{\epsilon, \lambda}^\dagger \Gamma_{\epsilon, \lambda}. \quad (11)$$

As we are now going to show, by following the main recipe presented in reference [36], it is possible to readily recover the total Hamiltonian $H = H_{\text{Chain}} + H_{\Delta}$ in terms of an appropriate model Hamiltonian for two superconducting quasiparticle baths undergoing an appropriate Kondo-like interaction with the spin \mathcal{T} of an isolated spin-1/2 impurity. To do so, let us introduce two sets of quasiparticle annihilation and creation operators, $\{\gamma_{\epsilon, a}, \gamma_{\epsilon, a}^\dagger\}$, with $a = 1, 2$, obeying the anticommutation algebra $\{\gamma_{\epsilon, a}, \gamma_{\epsilon', a'}^\dagger\} = \delta_{\epsilon, \epsilon'} \delta_{a, a'}$. Also, we choose the energy levels ϵ to coincide with the eigenvalues of the single-chain Hamiltonian in equation (A.4), so that the Hamiltonian for the γ -modes is given by:

$$H_{\gamma} = \sum_{\epsilon} \sum_a \epsilon \gamma_{\epsilon, a}^\dagger \gamma_{\epsilon, a}. \quad (12)$$

Next, we define real-space lattice fermion operators $\{d_{j, a}\}$ as

$$\begin{aligned} d_{j, 1} &= \sum_{\epsilon} \{u_j^{\epsilon} \gamma_{\epsilon, 1} - v_j^{\epsilon} \gamma_{\epsilon, 2}^\dagger\} \\ d_{j, 2} &= \sum_{\epsilon} \{u_j^{\epsilon} \gamma_{\epsilon, 2} + v_j^{\epsilon} \gamma_{\epsilon, 1}^\dagger\}, \end{aligned} \quad (13)$$

with the wavefunctions $u_j^{\epsilon}, v_j^{\epsilon}$ given in equations (A.9) and (A.11). Now, we notice that, going backwards to a possible lattice Hamiltonian formulation of our construction, we may construct the $\gamma_{\epsilon, a}$ -operators as eigenmodes of

the superconducting lattice Hamiltonian H_{Eff} , defined as:

$$\begin{aligned} H_{\text{Eff}} = & -\frac{J}{4} \sum_{a=1, 2} \sum_{j=1}^{\ell-1} \{d_{j, a}^\dagger d_{j+1, a} + d_{j+1, a}^\dagger d_{j, a}\} \\ & -\frac{J}{4} \sum_{j=1}^{\ell-1} \{d_{j, 1} d_{j+1, 2} - d_{j, 2} d_{j+1, 1} + d_{j+1, 2}^\dagger d_{j, 1}^\dagger \\ & - d_{j+1, 1}^\dagger d_{j, 2}^\dagger\} + h \sum_{a=1, 2} \sum_{j=1}^{\ell} d_{j, a}^\dagger d_{j, a}. \end{aligned} \quad (14)$$

As proposed in reference [36], we now use the modes of H_{Eff} to define two independent lattice isospin operators, \mathbf{S}_j and \mathbf{T}_j , respectively given by:

$$\mathbf{S}_j = \frac{1}{2} \begin{bmatrix} d_{j, 1}^\dagger d_{j, 2} + d_{j, 2}^\dagger d_{j, 1} \\ -i(d_{j, 1}^\dagger d_{j, 2} - d_{j, 2}^\dagger d_{j, 1}) \\ d_{j, 1}^\dagger d_{j, 1} - d_{j, 2}^\dagger d_{j, 2} \end{bmatrix}, \quad (15)$$

and by

$$\mathbf{T}_j = \begin{bmatrix} d_{j, 1}^\dagger d_{j, 2}^\dagger + d_{j, 2} d_{j, 1} \\ -i(d_{j, 1}^\dagger d_{j, 2}^\dagger - d_{j, 2} d_{j, 1}) \\ d_{j, 1}^\dagger d_{j, 1} + d_{j, 2}^\dagger d_{j, 2} - 1 \end{bmatrix}. \quad (16)$$

Any component of \mathbf{S}_j commutes with any component of \mathbf{T}_j : therefore, the two of them can be regarded as two independent spin-1/2 lattice density operators. Using them as independent channels to screen an isolated spin-1/2 impurity with spin \mathcal{T} , coupled to the site $j = 1$ by means of the antiferromagnetic Kondo coupling J_K , we may write the corresponding boundary Kondo Hamiltonian as

$$\begin{aligned} H_K &= J_K \sum_{\lambda=1}^3 \{[S_1^\lambda + T_1^\lambda] \mathcal{T}^\lambda\} \\ &= -\frac{iJ_K}{2} [i(d_{1, 1}^\dagger - d_{1, 1})][d_{1, 2}^\dagger + d_{1, 2}] \mathcal{T}^1 \\ &\quad + [d_{1, 2}^\dagger + d_{1, 2}][d_{1, 1}^\dagger + d_{1, 1}] \mathcal{T}^2 \\ &\quad + [d_{1, 1}^\dagger d_{1, 1}][i(d_{1, 1}^\dagger - d_{1, 1})] \mathcal{T}^3. \end{aligned} \quad (17)$$

From equation (17) and from the transformations from the γ - to the d -modes in equations (13), one eventually recovers the Hamiltonian H_{Δ} , once the following identifications are performed

$$\begin{aligned} \Gamma_{\epsilon, 1} + \Gamma_{\epsilon, 1}^\dagger &\leftrightarrow d_{1, 1}^\dagger + d_{1, 1} \\ \Gamma_{\epsilon, 2} + \Gamma_{\epsilon, 2}^\dagger &\leftrightarrow i(d_{1, 1}^\dagger - d_{1, 1}) \\ \Gamma_{\epsilon, 3} + \Gamma_{\epsilon, 3}^\dagger &\leftrightarrow d_{1, 2}^\dagger + d_{1, 2}, \end{aligned} \quad (18)$$

and, of course, $J_K \leftrightarrow J_{\Delta}$. The correspondence rules in equations (18) complete the mapping procedure between the lattice two-channel superconducting-Kondo Hamiltonian $H_{\text{Eff}} + H_K$ and the model Hamiltonian for a junction of three quantum Ising chains. A remarkable feature of our mapping procedure is that it relies on the

construction of the spin densities for the two independent channels as in equations (16) and (17). As extensively discussed in reference [36], constructing the spin densities in this way implies that, if a site j contains a total spin-1/2 of the \mathbf{S}_j -operator, than it must be a singlet of the \mathbf{T}_j -operator, with corresponding spin equal to 0, and vice versa. In the “classical” two-channel Kondo problem, this is a crucial point to build an effective theory for the system at the 2CK-fixed point which, in this regularization scheme, is pushed all the way down to strong coupling, such as in the 1CK-problem [36,40]. In the following, we will make use of this properties to get insights of the nature of the fixed point toward which our system is attracted along the Kondo renormalization group trajectory.

4 Perturbative renormalization group analysis of the Kondo interaction

In this section, we derive the perturbative renormalization group (RG) equations for the running coupling J_Δ . As stated above, for the time being, we disregard the zero-mode Majorana modes in the expansion of $a_{1,\lambda}^\dagger + a_{1,\lambda}$: we will come back to a discussion of their effects in the next section. To work out the perturbative renormalization of J_Δ , we resort to the imaginary time path-integral formalism, by introducing the Euclidean bulk action for the chains, S_{Chain} , given by:

$$S_{\text{Chain}} = \int d\tau \left\{ \sum_{\lambda=1}^3 \sum_{j=1}^{\ell} a_{j,\lambda}^\dagger(\tau) \partial_\tau a_{j,\lambda}(\tau) + H_{\text{Chain}}(\tau) \right\}, \quad (19)$$

as well as the boundary action S_Δ , which is given by:

$$S_\Delta = J_\Delta \int d\tau \sum_{\lambda=1}^3 \mathcal{T}^\lambda(\tau) \Sigma_1^\lambda(\tau). \quad (20)$$

Using H_{Chain} as noninteracting Hamiltonian, in the corresponding interaction representation one may present the partition function for the junction, \mathcal{Z} , as:

$$\mathcal{Z} = \mathcal{Z}_0 \langle \mathbf{T}_\tau \exp[-S_\Delta] \rangle, \quad (21)$$

with \mathcal{Z}_0 being the partition function for the system at $J_\Delta = 0$, S_Δ being the boundary action in the interaction representation, and \mathbf{T}_τ being the imaginary time ordering operator. Following the standard poor man’s recipe to recover the RG equation [1,3], we now resort to the frequency domain and explicitly cutoff the integration over frequencies at a scale D , so that S_Δ can be rewritten as:

$$S_\Delta = \int_{-D}^D \frac{d\Omega}{2\pi} \sum_{\lambda=1}^3 \mathcal{T}^\lambda(\Omega) \Sigma_1^\lambda(-\Omega), \quad (22)$$

with $\mathcal{T}^\lambda(\Omega)$ and $\Sigma_1^\lambda(\Omega)$ being the Fourier transform of respectively $\mathcal{T}^\lambda(\tau)$ and $\Sigma_1^\lambda(\tau)$. To derive the RG equations for the running coupling, we rescale the cutoff from D to

D/κ , with $0 < \kappa - 1 \ll 1$ and, accordingly, we split the integral in equation (22) into an integral over $[-D/\kappa, D/\kappa]$ plus integrals over values of Ω within $[D/\kappa, D]$ and within $[-D, -D/\kappa]$. Leaving aside the two latter integral, as they just provide a correction to the total free energy. We therefore obtain, in analogy to [28]

$$S_\Delta \rightarrow \int_{-D/\kappa}^{D/\kappa} \frac{d\Omega}{2\pi} \sum_{\lambda=1}^3 \mathcal{T}^\lambda(\Omega) \Sigma_1^\lambda(-\Omega) = \frac{1}{\kappa} \int_{-D}^D \frac{d\Omega}{2\pi} \sum_{\lambda=1}^3 \mathcal{T}^\lambda\left(\frac{\Omega}{\kappa}\right) \Sigma_1^\lambda\left(-\frac{\Omega}{\kappa}\right), \quad (23)$$

which, since S_Δ must be scale invariant, implies [28] $\sum_{\lambda=1}^3 \mathcal{T}^\lambda\left(\frac{\Omega}{\kappa}\right) \Sigma_1^\lambda\left(-\frac{\Omega}{\kappa}\right) = \kappa \sum_{\lambda=1}^3 \mathcal{T}^\lambda(\Omega) \Sigma_1^\lambda(-\Omega)$. Therefore, J_Δ takes no corrections to first order in the boundary coupling. At variance, to second order one finds a nonzero correction, arising from summing over intermediate states with energies within $[D/\kappa, D]$ and within $[-D, -D/\kappa]$. Performing the integration, one eventually obtains that, to leading order in J_Δ (corresponding to one-loop order in the expansion of the action in S_Δ), S_Δ is corrected according to $S_\Delta \rightarrow S_\Delta + \delta S_\Delta^{(2)}$, with [28]

$$\delta S_\Delta^{(2)} = J_\Delta^2 \int_{-D}^D \sum_{\lambda=1}^3 \frac{d\Omega}{2\pi} \mathcal{T}^\lambda(\Omega) \Sigma_1^\lambda(-\Omega) \times [\Gamma(D) + \Gamma(-D)] D(1 - \kappa^{-1}). \quad (24)$$

The function $\Gamma(\Omega)$ in equation (24) is defined to be the Fourier-Matsubara transform of $\Gamma(\tau) = G(\tau)g(\tau)$, with $g(\tau) = \text{sgn}(\tau)$ being the σ -fermion Green’s function $g(\tau) = \langle \mathbf{T}_\tau [\sigma(\tau)\sigma(0)] \rangle$ and $G(\tau)$ being the imaginary time ordered Green’s function (effectively independent of λ , due to the equivalence between the three chains)

$$G(\tau) = -\langle \mathbf{T}_\tau \{ [a_{1,\lambda}^\dagger(\tau) + a_{1,\lambda}(\tau)] [a_{1,\lambda}^\dagger(0) + a_{1,\lambda}(0)] \} \rangle. \quad (25)$$

From equations (24) and (25) one may eventually derive the RG equations for the running coupling $J_\Delta(D)$ in the form

$$\frac{dJ_\Delta(D)}{d \ln \left(\frac{D}{D_0} \right)} = J_\Delta^2(D) \rho(D), \quad (26)$$

with, in the specific system we are focusing on, $\rho(D)$ being given by:

$$\rho(D) = \frac{16}{\pi J^2} \int_{\Delta_w}^W d\epsilon \left[\frac{D^2}{(\epsilon^2 + D^2)^2} \right] \sqrt{(\epsilon^2 - \Delta_w^2)(W^2 - \epsilon^2)}. \quad (27)$$

In equation (27), we used Δ_w to denote the single-fermion excitation gap, as discussed in Appendix, while $W = \frac{J}{2} + |h|$ is the energy at the band edge in the single-fermion spectrum and D_0 is a $\mathcal{O}(W)$ high-energy reference cutoff. On integrating equation (27), one may therefore infer whether the system crosses over towards the Kondo regime, despite the presence of a nonzero gap Δ_w in the spectrum, and, if that is the case, what is the corresponding (Kondo) temperature scale at which the crossover

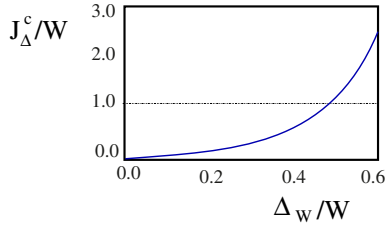


Fig. 1. The critical coupling $J_{\Delta}^c(\Delta_w)$ as defined in equation (28), as a function of Δ_w for $0 \leq \Delta_w \leq 0.6$ (in units of the bandwidth W). As discussed in the text, the region in which Kondo regime can take place corresponds to values of $J_{\Delta}^c/W < 1$, that is, the part of the plot lying below the dashed line, corresponding to $J_{\Delta}^c/W = 1$.

takes place. To analyze the onset of the Kondo regime, we follow the technique highlighted in [8]. Specifically, we introduce the (Δ_w -dependent) “critical coupling” $J_{\Delta}^c(\Delta_w)$, defined as:

$$J_{\Delta}^c(\Delta_w) = \left\{ \int_0^{D_0} \rho(x) \frac{dx}{x} \right\}^{-1} \quad (28)$$

(note that, in the definition of J_{Δ}^c , we stressed the dependence on the gap Δ_w . This is a basic feature of our “off-critical” model, which makes the main difference between the case we investigate here and the critical limit, extensively discussed in Refs. [26,28]). Having introduced the critical coupling, the solution to equation (26) can be rewritten as

$$J_{\Delta}(D) = \frac{J_{\Delta}(D_0)J_{\Delta}^c(\Delta_w)}{J_{\Delta}(D_0) - J_{\Delta}^c(\Delta_w) + J_{\Delta}(D_0)J_{\Delta}^c(\Delta_w) \int_0^D \rho(x) \frac{dx}{x}}. \quad (29)$$

Within standard poor man’s approach to Kondo problem, the onset of the Kondo regime is signaled by the appearance of a scale D_K at which $J_{\Delta}(D)$ diverges. At $D = D_K$, therefore, the denominator of the expression at the right-hand side of equation (29) must be equal to 0, which is only possible if $J_{\Delta}(D_0) \geq J_{\Delta}^c(\Delta_w)$. This observation implies that Kondo effect does definitely not take place whenever $J_{\Delta}^c(\Delta_w)/W > 1$. At variance, for $J_{\Delta}^c(\Delta_w)/W < 1$ the crossover to Kondo regime can take place within an appropriate range of values of $J_{\Delta}(D_0)$, provided the Kondo crossover scale D_K , though substantially lower than W , is still $> \Delta_w$, so to have a nonzero fermion density screening the isolated magnetic impurity at the scale D_K [12–15]. In Figure 1, we plot $J_{\Delta}^c(\Delta_w)/W$ as a function of Δ_w . The dashed horizontal line marks the set of points corresponding to $J_{\Delta}^c/W = 1$. Consistently with what we discuss before, we expect that Kondo regime is fully suppressed by the gap in the single-fermion spectrum throughout all the region with $J_{\Delta}^c/W > 1$, that is, for $\Delta_w > \Delta_w^* \approx 0.5W$. To check the consistency between the RG flow of the running coupling and equation (29), we numerically compute $J_{\Delta}(D)$ vs. D by integrating equation (26) for different values of Δ_w . We report the corresponding curves in Figure 2a: specifically, we find that, for $\Delta_w = 0.3$ (that is, much lower than Δ_w^*),

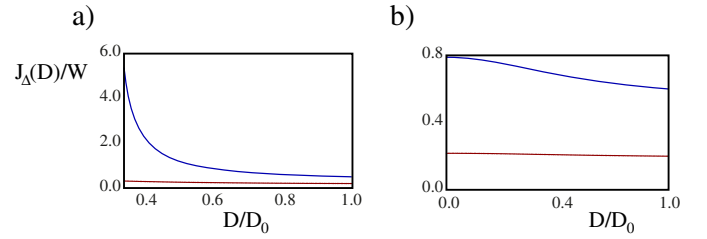


Fig. 2. Renormalization Group flow of $J_{\Delta}(D)$ vs. D for different values of the gap Δ_w : (a) the curves are obtained at $\Delta_w/W = 0.3$ and for $J_{\Delta}(D_0)/W = 0.6$ (blue solid curve) and $J_{\Delta}(D_0)/W = 0.2$ (red dot-dashed curve). In this case $\Delta_w < \Delta_w^*$ and $J_{\Delta}^c(\Delta_w)/W = 0.5$: accordingly, $J_{\Delta}(D)$ flows towards strong coupling for $J_{\Delta}(D_0)/W = 0.6$ ($> J_{\Delta}^c(\Delta_w)$), while it is barely renormalized by the interaction when $J_{\Delta}(D_0)/W = 0.2$ ($< J_{\Delta}^c(\Delta_w)$); (b) the curves are obtained at $\Delta_w/W = 0.6$ and for $J_{\Delta}(D_0)/W = 0.6$ (blue solid curve) and $J_{\Delta}(D_0)/W = 0.2$ (red dot-dashed curve). Since now $\Delta_w > \Delta_w^*$, in neither case $J_{\Delta}(D)$ flows towards the strongly coupled regime.

$J_{\Delta}(D)$ either flows towards the strongly coupled regime, or not, according to whether $J_{\Delta}(D_0) > J_{\Delta}^c(\Delta_w)$ (≈ 0.51), or $J_{\Delta}(D_0) < J_{\Delta}^c(\Delta_w)$. At variance, as it clearly appears in Figure 2b, for $\Delta_w > \Delta_w^*$, $J_{\Delta}(D)$ is barely renormalized by the Kondo interaction and shows no evidence of non-perturbative flow towards strong coupling. Once the conditions under which the onset of the Kondo regime take place, it becomes important to infer the dependence of the corresponding Kondo temperature scale T_K on both $J_{\Delta}(D_0)$ and Δ_w . By definition, one sets $T_K = D_K/k_B$, where k_B is the Boltzmann constant and D_K is the scale at which the denominator of equation (29) becomes equal to 0. Then, D_K is formally given by the equation

$$1 + J_{\Delta}(D_0) \left[\frac{32}{\pi \left(1 + \frac{\Delta_w^2}{W^2} \right)} \right] \times \int_{\frac{\Delta_w}{W}}^1 du \sqrt{u^2 - \frac{\Delta_w^2}{W^2}} \sqrt{1 - u^2} \left[\frac{1}{u^2 + 1} - \frac{1}{u^2 + \frac{D_K^2}{W^2}} \right] = 0. \quad (30)$$

As stated before, in order for the Kondo regime to take place, it is important that the condition $D_K/\Delta_w \gg 1$ is satisfied. Within such an hypothesis, we can therefore simply approximate the factor $\sqrt{u^2 - \frac{\Delta_w^2}{W^2}}$ in equation (30) with u . In addition, as Kondo physics is mostly a low-energy effect, we may also approximate $\sqrt{1 - u^2}$ simply with 1. As a result, we eventually obtain

$$T_K[J_{\Delta}(D_0); \Delta_w] \approx D_0 \exp \left[-\frac{\pi (W^2 + \Delta_w^2)}{32WJ_{\Delta}(D_0)} \right], \quad (31)$$

with the cutoff $D_0 \sim W$. As $\Delta_w \rightarrow 0$, equation (31) gives back the result for the Ising limit, once the proper correspondence between the various parameters has been traced out [28]. As a general result, equation (31) encodes a remarkable “Kondo temperature

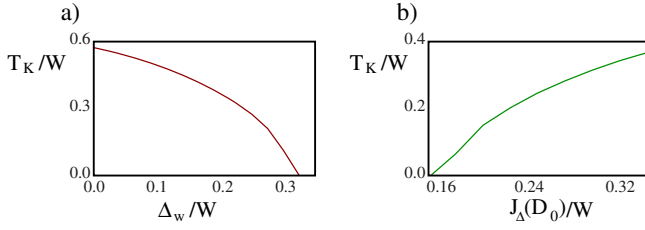


Fig. 3. Full plot of the Kondo temperature as a function of Δ_w at fixed $J_\Delta(D_0)$, and of $J_\Delta(D_0)$ at fixed Δ_w : (a) T_K/W vs. Δ_w/W at $J_\Delta(D_0)/W = 0.35$; (b) T_K/W vs. $J_\Delta(D_0)/W$ at $\Delta_w/W = 0.2$.

renormalization”, namely, on increasing Δ_w , one sees a reduction of T_K , which is consistent with the expected competition between Kondo physics and gapped spectrum [12–15]. Specifically, equation (31) is expected to apply to the regime in which a nonzero Δ_w does not suppress Kondo effect, that is, for $D_K/\Delta_w \gg 1$. The suppression of Kondo effect with increasing Δ_w can instead be numerically derived, by using the integrated RG flow in equation (29) to estimate T_K vs. Δ_w at fixed $J_\Delta(D_0)$ and T_K vs. $J_\Delta(D_0)$ at fixed Δ_w . As a result, one obtains plots such as the ones we show in Figure 3 at the system parameters chosen as discussed in the caption. In particular, the suppression of Kondo effect either on increasing Δ_w at fixed $J_\Delta(D_0)$, or on decreasing $J_\Delta(D_0)$ at fixed Δ_w is evidenced by the fact that the curve $T_K[J_\Delta(D_0); \Delta_w]$ becomes constantly zero above (below) a critical value of Δ_w ($J_\Delta(D_0)$) at fixed $J_\Delta(D_0)$ (Δ_w).

5 Majorana modes and onset of the Kondo regime

In this section we extend the perturbative RG analysis to additional terms in H_Δ which arise due to emergence of Majorana modes (MM)s at the endpoints of the chain. In particular, we show that, under the required assumptions for recovering Kondo effect, these terms do not affect the onset of Kondo physics. As a starting point, we note that, on including the zero-mode MM in the mode expansion of $a_{1,\lambda} + a_{1,\lambda}^\dagger$, equation (10) for Σ_1^λ is modified to

$$\Sigma_1^\lambda = \rho^2 \mathcal{R}^\lambda + \rho \omega_1^\lambda + \bar{\Sigma}_1^\lambda, \quad (32)$$

with $\bar{\Sigma}_1^\lambda$ contributed by nonzero modes and given by the mode expansion in equation (10), $\rho = \frac{\sqrt{2J^2 - 8h^2}}{J} = 2\sqrt{2} \frac{\sqrt{\Delta_w W}}{J}$, and

$$\begin{aligned} \mathcal{R}^\lambda &= -\frac{i}{2} \sum_{\lambda', \lambda''} \epsilon^{\lambda, \lambda', \lambda''} \Gamma_{0,L}^{\lambda'} \Gamma_{0,L}^{\lambda''} \\ \omega_1^\lambda &= -i \sum_{\lambda', \lambda''} \epsilon^{\lambda, \lambda', \lambda''} \Gamma_{0,L}^{\lambda''} \\ &\quad \times \left[\sum_{\epsilon} \left(\frac{\hbar \sin(k)}{\epsilon_k} \right) [\Gamma_{\epsilon_k, \lambda'} + \Gamma_{\epsilon_k, \lambda'}^\dagger] \right]. \end{aligned} \quad (33)$$

On inserting equation (32) into the expression of H_Δ , one eventually finds

$$H_\Delta = H_\Delta^{(0)} + H_\Delta^{(1)} + \bar{H}_\Delta, \quad (34)$$

with

$$\begin{aligned} H_\Delta^{(0)} &= J_0 \sum_{\lambda=1}^3 \mathcal{T}^\lambda \mathcal{R}^\lambda \\ H_\Delta^{(1)} &= J_1 \sum_{\lambda=1}^3 \mathcal{T}^\lambda \omega_1^\lambda \\ \bar{H}_\Delta &= \bar{J} \sum_{\lambda=1}^3 \mathcal{T}^\lambda \bar{\Sigma}_1^\lambda. \end{aligned} \quad (35)$$

J_0 , J_1 and \bar{J} in equation (35) are three in principle independent running couplings which, at the bare level, are respectively given by $J_0 = \rho^2 J_\Delta$, $J_1 = \rho J_\Delta$, and $\bar{J} = J_\Delta$. \bar{H}_Δ in equation (35) is basically the same operator as one gets in the absence of MMs. We have performed the full perturbative RG analysis of the corresponding running coupling strength $\bar{J}(D)$ in the previous section and have concluded that, under appropriate conditions on Δ_w and on $J(D_0)$, the system can develop Kondo effect, corresponding to a marginally relevant rise of $\bar{J}(D)$, as D is lowered from D_0 towards D_K . $H_\Delta^{(0)}$ takes the form of a “RKKY”-like coupling between two topological spin-1/2 operators, \mathcal{T} determined by the Klein factors σ^λ , and \mathcal{R} , determined by the MMs $\Gamma_{0,L}^\lambda$ as from equation (33). Based on dimensional counting arguments for boundary interaction terms [43], one expects that, on lowering D , the corresponding running coupling $J_0(D)$ scales as $J_0(D) = J_0(D_0) \frac{D_0}{D}$ and, similarly, that $J_1(D)$ scales as $J_1(D) = J_1(D_0) \left(\frac{D_0}{D}\right)^{\frac{1}{2}}$. Apparently, on lowering D , this implies a rise of both $J_0(D)$ and $J_1(D)$ faster than $\bar{J}(D)$. However, one has to recall that, by definition, the scaling must be terminated at the scale $D = D_K$. At such a scale, one obtains

$$J_0(D_K) = \rho^2 J_\Delta \frac{D_0}{D_K} \sim \frac{W^2}{J^2} \frac{\Delta_w}{D_K} J_\Delta. \quad (36)$$

Within the magnetically ordered phase, the condition $|2h| < J$ implies $|W|/J \leq 1$. Moreover, our assumption on the onset of the Kondo regime implies $\Delta_w/D_K \ll 1$, which eventually yields $J_0(D_K) \ll J_\Delta$. By means of a similar argument, one readily concludes that $J_1(D_K) \ll J_\Delta$, as well. As a result, all the way down to $D = D_K$, $H_\Delta^{(0)}$ and $H_\Delta^{(1)}$ merely provide a perturbative, small additional boundary interaction, which we neglect, against the relevant Kondo-like interaction \bar{H}_Δ . It would be interesting to analyze whether it is possible to modify the Hamiltonian H_Δ so to eventually make the RKKY-interaction to be relevant, in the magnetically ordered phase. In fact, this would provide a tool to monitor the emergence of MMs in terms of pertinent modifications in the boundary phase diagram associated to H_Δ (suppression of Kondo effect).

This, however, lies outside the scope of this work, and we plan to discuss it in a future publication. We thus conclude that, at least down to the scale $D = D_K$, the MMs do not provide sensible modification to the Kondo RG flow of the boundary coupling $J_\Delta(D)$, which makes the discussion of the previous section to be equally valid for the paramagnetic, as well as for the ferromagnetic phase of the spin chains.

6 Description of the strongly coupled Kondo fixed point

In the previous sections we have shown that Kondo effect in our system is recovered whenever, at a given value of Δ_w , one has $J_\Delta(D_0) > J_\Delta^c(\Delta_w)$, implying a flow of the boundary interaction towards the strongly coupled Kondo fixed point (KFP). In this section, we provide a description of the system at the KFP. To do so, we combine the formal description of the KFP in the gapless case developed in references [36,40] with a pertinently modified version of the projection variational approach used to study the single-channel KFP with superconducting leads [15]. The starting point is the observation that the topological spin \mathcal{T} is only coupled to the total spin density at the site $j = 1$. As a consequence of the properties of the two spin-1/2 operators \mathbf{S}_j and \mathbf{T}_j introduced in Section 3, $j = 1$ hosts total spin-1/2 of \mathbf{S}_1 and total spin-0 of \mathbf{T}_1 , or vice versa. As a result, when coupled to both spins by means of the 2CK-like interaction in equation (17), \mathcal{T} can give rise to either a total 0-spin spin singlet, or to a total spin-1 spin triplet state. In the noninteracting limit $J_\Delta = 0$, the actual groundstate of the system is an equally-weighted mixture of singlet- and triplet-states. As J_Δ is turned on, we expect that, the larger is J_Δ , the higher is the relative weight of the singlet states versus the triplet states [15]. To formally ground this observation, we define the operator $\mathcal{P}_g = \mathbf{I} + g \sum_{\lambda=1}^3 \mathcal{T}^\lambda \Sigma_1^\lambda$. For $g = -4$, \mathcal{P}_g fully projects out the localized triplet. To set the ‘‘optimal’’ value of g at a given J_Δ , one employs a variational procedure, consisting in evaluating the average value of the total Hamiltonian onto the projected out state at fixed g , $\mathcal{E}[J_\Delta; \Delta_w; g]$, and, at a given J_Δ , in choosing g so to minimize $\mathcal{E}[J_\Delta; \Delta_w; g]$. This determines a curve $g(J_\Delta)$, from which one can infer what is the optimal state as $J_\Delta \rightarrow \infty$ (KFP). We define the projected state $|\Psi\rangle_g$ as:

$$|\Psi\rangle_g = \frac{\mathcal{P}_g |\text{GS}; \uparrow\rangle}{\sqrt{\langle \text{GS}; \uparrow | \mathcal{P}_g^2 | \text{GS}; \uparrow \rangle}}, \quad (37)$$

with $|\text{GS}; \uparrow\rangle = |\text{GS}\rangle \otimes |\uparrow\rangle$ and $|\text{GS}\rangle$ being the groundstate of the chain Hamiltonian in equation (11), while $|\uparrow\rangle$ being one of the two eigestates of \mathcal{T}^z (we expect our final result not to sensibly depend on the choice of the initial state to project out, which enables us to arbitrarily choose the initial state). It is simple, now, to prove that one gets

$$\langle \text{GS}; \uparrow | \mathcal{P}_g^2 | \text{GS}; \uparrow \rangle = 1 + \frac{3g^2}{16}. \quad (38)$$

Moreover, one also obtains

$$\langle \text{GS}; \uparrow | \mathcal{P}_g H_{\text{Chains}} \mathcal{P}_g | \text{GS}; \uparrow \rangle = E_{\text{GS}} \left\{ 1 + \frac{3g^2}{16} \right\} + 3g^2 \Psi_1[\Delta_w] \Psi_2[\Delta_w], \quad (39)$$

with

$$\begin{aligned} \Psi_1[\Delta_w] &= \left[\frac{1}{\pi(1 + \Delta_w)^2} \right] \int_{\Delta_w}^W \frac{d\epsilon}{\epsilon} \sqrt{\epsilon^2 - \Delta_w^2} \sqrt{W^2 - \epsilon^2} \\ \Psi_2[\Delta_w] &= \left[\frac{1}{\pi(1 + \Delta_w)^2} \right] \int_{\Delta_w}^W d\epsilon \sqrt{\epsilon^2 - \Delta_w^2} \sqrt{W^2 - \epsilon^2}, \end{aligned} \quad (40)$$

and $E_{\text{GS}} = \langle \text{GS} | H_{\text{Chain}} | \text{GS} \rangle$. In order to find out the last contribution to the averaged energy, we need the following identities

$$\begin{aligned} \left[\sum_{\lambda=1}^3 \Sigma_1^\lambda \mathcal{T}^\lambda \right]^2 &= \frac{3}{16} - \frac{1}{4} \sum_{\lambda=1}^3 \Sigma_1^\lambda \mathcal{T}^\lambda \\ \left[\sum_{\lambda=1}^3 \Sigma_1^\lambda \mathcal{T}^\lambda \right]^3 &= -\frac{3}{64} + \frac{1}{4} \sum_{\lambda=1}^3 \Sigma_1^\lambda \mathcal{T}^\lambda. \end{aligned} \quad (41)$$

Therefore, we obtain

$$\langle \text{GS}; \uparrow | \mathcal{P}_g H_\Delta \mathcal{P}_g | \text{GS}; \uparrow \rangle = \left[\frac{3g}{8} - \frac{3g^2}{64} \right] J_\Delta, \quad (42)$$

so that we eventually get

$$\mathcal{E}[J_\Delta; \Delta_w; g] = E_{\text{GS}} + \frac{3g^2 \Psi_1[\Delta_w] \Psi_2[\Delta_w] + \frac{3}{8}g(1 - \frac{g}{4}) J_\Delta}{1 + \frac{3g^2}{16}}. \quad (43)$$

The condition $\partial_g \mathcal{E}[J_\Delta; \Delta_w; g] = 0$ is satisfied by either setting $g = g_1[J_\Delta; \Delta_w]$, or $g = g_2[J_\Delta; \Delta_w]$, with

$$\begin{aligned} g_1[J_\Delta; \Delta_w] &= \frac{2\mathcal{H}[\Delta_w] - J_\Delta - 2\sqrt{\mathcal{H}^2[\Delta_w] - \mathcal{H}[\Delta_w]J_\Delta + J_\Delta^2}}{3J_\Delta/4} \\ g_2[J_\Delta; \Delta_w] &= \frac{2\mathcal{H}[\Delta_w] - J_\Delta + 2\sqrt{\mathcal{H}^2[\Delta_w] - \mathcal{H}[\Delta_w]J_\Delta + J_\Delta^2}}{3J_\Delta/4}, \end{aligned} \quad (44)$$

and $\mathcal{H}[\Delta_w] = 16\Psi_1[\Delta_w]\Psi_2[\Delta_w]$. In Figure 4, we plot $\mathcal{H}[\Delta_w]/W$ vs. Δ_w/W . We see that $\mathcal{H}[\Delta_w]$ keeps finite at not-too-large values of Δ_w . Therefore, we may readily compute $g_j^* = \lim_{J_\Delta \rightarrow \infty} g_j[J_\Delta; \Delta_w]$ from equations (44), obtaining $g_1^* = -4, g_2^* = \frac{4}{3}$. The latter value corresponds to projecting out the singlet and, therefore, it maximizes \mathcal{E} . Therefore, we take for good the former value which, as expected, corresponds to fully projecting out the triplet and to having a localized singlet at the effective magnetic impurity. We therefore conclude that having a nonzero Δ_w does not spoil Nozière’s picture of the system’s groundstate as a localized spin singlet at the impurity. As a result, we may readily describe the system’s groundstate as a twofold degenerate singlet, formed by \mathcal{T} and either one between \mathbf{S}_1 and \mathbf{T}_1 which can be simply described within our approach as discussed in references [36,40].

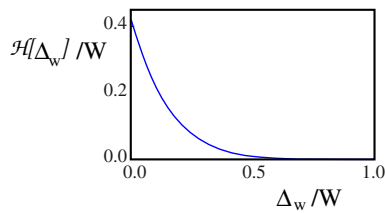


Fig. 4. Plot of the function $\mathcal{H}[\Delta_w]$ vs. Δ_w (both quantities are measured in units of W).

7 Discussion and conclusions

In this paper we rigorously prove that a junction of three off-critical quantum Ising chains can be regarded as a quantum spin chain realization of the two-channel spin-1/2 overscreened Kondo effect with two superconducting leads. By making a combined use of a pertinently adapted version of poor man's perturbative RG approach to the Kondo problem [1,3] and of the variational approach to the strong coupling fixed point based on progressively projecting out from the Hilbert space states different from a localized singlet at the impurity site [15,40], we show that, on lowering the reference energy scale D , the system flows all the way down to 2CK-fixed point.

Our result paves the way to the possibility of realizing and studying in a controlled setting 2CK-effect with superconducting lead, so far never considered in a solid-state quantum dot device. In fact, our proposed device appears to be within the reach of nowadays technology in nanostructures and could be engineered by means, for instance, of a pertinent Josephson junction network [27]. To detect the effect in the quantum spin chain system, one may look, for instance, at the scaling with D of the local magnetization at the endpoints of the chains (such as discussed in Ref. [28]). Alternatively, in the Josephson junction network realization of the system one can in principle detect the effect by means of an appropriate dc Josephson current measurement [27].

Besides the theoretical interest, our results are potentially relevant for what concerns quantum entanglement properties of the system, which suggests a possible further development of our research towards quantum computation related issues. Finally, it would be interesting to study how the effect is modified by e.g. the introduction of disordered in the chains, by inhomogeneities in the boundary couplings, etc. Such topics, though interesting, lie nevertheless beyond the scope of this work and we will possibly reserve them for a further publication.

Author contribution statement

D. Giuliano designed the project and drafted the paper. Apart for that, all the authors equally contributed to the paper.

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Appendix: Fermionization and explicit solution of a single quantum Ising chain with open boundary conditions

In this Appendix, we review the Jordan-Wigner fermionization procedure applied to a single QIC with open boundary conditions and eventually present the exact solution of the model Hamiltonian in terms of Jordan-Wigner fermions. The Hamiltonian for a single chain is given by:

$$H_1 = -J \sum_{j=1}^{\ell-1} S_{j+1}^x S_j^x + h \sum_{j=1}^{\ell} S_j^z. \quad (\text{A.1})$$

The Jordan-Wigner transformation [35] allows us for trading the bosonic Hamiltonian H_1 for a fully fermionic one, by introducing a set of spinless lattice fermions $\{a_j, a_j^\dagger\}$, obeying the basic anticommutation relations $\{a_j, a_{j'}^\dagger\} = \delta_{j,j'}$. The relations between the bosonic spins and the fermionic operators are determined so to preserve the correct (anti)commutation relations. They are therefore given by:

$$\begin{aligned} S_j^+ &= a_j^\dagger e^{i\pi \sum_{r=1}^{j-1} a_r^\dagger a_r} \\ S_j^- &= a_j e^{i\pi \sum_{r=1}^{j-1} a_r^\dagger a_r} \\ S_j^z &= a_j^\dagger a_j - \frac{1}{2}, \end{aligned} \quad (\text{A.2})$$

with

$$\begin{aligned} S_j^x &= \frac{1}{2} [S_j^+ + S_j^-] \\ S_j^y &= \frac{-i}{2} [S_j^+ - S_j^-]. \end{aligned} \quad (\text{A.3})$$

On inserting equations (A.2) into the Hamiltonian in equation (A.1), we readily resort to the fully fermionized version of H_1 , given by:

$$\begin{aligned} H_1 &= -\frac{J}{4} \sum_{j=1}^{\ell-1} \{a_j^\dagger a_{j+1} + a_{j+1}^\dagger a_j\} \\ &\quad - \frac{J}{4} \sum_{j=1}^{\ell-1} \{a_j a_{j+1} + a_{j+1}^\dagger a_j^\dagger\} + h \sum_{j=1}^{\ell} a_j^\dagger a_j. \end{aligned} \quad (\text{A.4})$$

The Hamiltonian in equation (A.4) is Kitaev's model Hamiltonian for a one-dimensional p-wave superconductor [44], with the various parameter (in the notation of Ref. [44]) chosen as $w = \Delta = \frac{J}{4}$, $\mu = -h$. To explicitly determine the energy eigenmodes of H_1 , Γ_ϵ , we assume that they take the form

$$\begin{aligned} \Gamma_\epsilon &= \sum_{j=1}^{\ell} \{[u_j^\epsilon]^* a_j + [v_j^\epsilon]^* a_j^\dagger\} \\ \Gamma_\epsilon^\dagger &= \sum_{j=1}^{\ell} \{v_j^\epsilon a_j + u_j^\epsilon a_j^\dagger\}, \end{aligned} \quad (\text{A.5})$$

with $u_j^\epsilon, v_j^\epsilon$ being the lattice version of the quasiparticle wavefunction solving the Bogoliubov-de Gennes (BDG) equations for a superconductor [45]. Imposing the commutation relation $[\Gamma_\epsilon, H_1] = \epsilon\Gamma_\epsilon$, one therefore obtains the BDG equations for $(u_j^\epsilon, v_j^\epsilon)$, in the form

$$\begin{aligned} \epsilon u_j^\epsilon &= -\frac{J}{4}\{u_{j+1}^\epsilon + u_{j-1}^\epsilon\} + \frac{J}{4}\{v_{j+1}^\epsilon - v_{j-1}^\epsilon\} + hu_j^\epsilon \\ \epsilon v_j^\epsilon &= \frac{J}{4}\{v_{j+1}^\epsilon + v_{j-1}^\epsilon\} - \frac{J}{4}\{u_{j+1}^\epsilon - u_{j-1}^\epsilon\} - hv_j^\epsilon, \end{aligned} \quad (\text{A.6})$$

for $1 < j < \ell$, supplemented with the boundary conditions at $j = 1, \ell$ given by (see [28] for a detailed discussion of the implementation of open boundary conditions within the fermionic description of open quantum spin chains)

$$u_0^\epsilon + v_0^\epsilon = u_{\ell+1}^\epsilon - v_{\ell+1}^\epsilon = 0. \quad (\text{A.7})$$

In solving equations (A.6) in combination with the boundary conditions in equation (A.7), we look for solutions of the form

$$\begin{bmatrix} u_j^\epsilon \\ v_j^\epsilon \end{bmatrix} = \begin{bmatrix} u_k \\ v_k \end{bmatrix} e^{ikj}. \quad (\text{A.8})$$

At a given k , we then find two independent solutions at energy $\pm\epsilon_k$, with $\epsilon_k = \sqrt{\frac{J^2}{4} + h^2 - Jh \cos(k)}$, and the two solutions respectively given by:

$$\begin{bmatrix} u_j^\epsilon \\ v_j^\epsilon \end{bmatrix}_+ = \sqrt{\frac{2}{\ell+1}} \begin{bmatrix} \cos\left(\frac{\varphi_k}{2}\right) \sin\left[kj + \frac{\varphi_k}{2}\right] \\ -\sin\left(\frac{\varphi_k}{2}\right) \cos\left[kj + \frac{\varphi_k}{2}\right] \end{bmatrix}, \quad (\text{A.9})$$

for the positive energy solution, with the allowed values of k determined by the secular equation

$$\sin[k(\ell+1) + \varphi_k] = 0, \quad (\text{A.10})$$

and

$$\begin{bmatrix} u_j^\epsilon \\ v_j^\epsilon \end{bmatrix}_- = \sqrt{\frac{2}{\ell+1}} \begin{bmatrix} \sin\left(\frac{\varphi_k}{2}\right) \cos\left[kj + \frac{\varphi_k}{2}\right] \\ -\cos\left(\frac{\varphi_k}{2}\right) \sin\left[kj + \frac{\varphi_k}{2}\right] \end{bmatrix}, \quad (\text{A.11})$$

for the negative-energy solution, with

$$\cos(\varphi_k) = -\frac{\frac{J}{2} \cos(k) - h}{\epsilon_k}, \quad \sin(\varphi_k) = \frac{\frac{J}{2} \sin(k)}{\epsilon_k}, \quad (\text{A.12})$$

with the allowed values of k again given by equation (A.10). On rewriting the dispersion relation as

$$\epsilon_k = \sqrt{\left(\frac{J}{2} \mp h\right)^2 + Jh [\cos(k) \pm 1]}, \quad (\text{A.13})$$

we see that the system presents a single-fermion excitation gap $\Delta_w = |\frac{J}{2} - |h||$, with quantum phase transitions at the quantum critical points $\frac{J}{2} = \pm h$ and the gap Δ_w correspondingly closing at $k = \pi$ or at $k = 0$. The junction of quantum-critical Ising chains has been largely discussed by Tsvetlik [26,29]. In the main text of this paper we instead focused onto the off-critical regime, with a fully

gapped JW fermion excitation spectrum for the single chains. When the off-critical chain lies in the magnetically ordered phase, corresponding to the topological superconducting phase of Kitaev Hamiltonian (that is, within the parameter range $\frac{|2h|}{J} < 1$), additional low-energy sub-gap modes arise, which, in the long-chain limit ($\ell \rightarrow \infty$) evolve into the localized zero-Majorana modes at the endpoints of the chain [44]. Here, as we are only interested in the boundary physics at the $j = 1$ -boundary, we consider only the solution corresponding to the localized mode near the left-hand endpoint of the chain, with exponentially decaying wavefunction given by:

$$\begin{bmatrix} u_j^0 \\ v_j^0 \end{bmatrix}_L = \begin{bmatrix} \sqrt{\frac{J^2 - 4h^2}{2\sqrt{2}h}} \\ -1 \end{bmatrix} \begin{bmatrix} 1 \\ -1 \end{bmatrix} \left(\frac{2h}{J}\right)^j. \quad (\text{A.14})$$

As expected, the solution in equation (A.14) becomes non-normalizable as $|\frac{2h}{J}| \geq 1$ and, therefore, it can no more be accepted as physically meaningful.

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