

1 Article

# 2 Transmission Electron Microscopy of a CMSX-4 Ni- 3 base Superalloy Produced by Selective Electron Beam 4 Melting

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11 **Abstract:** In the present work we characterize the microstructures of superalloy specimens, which  
12 were produced using selective electron beam melting (SEBM) additive manufacturing. The  
13 materials were produced using a CMSX-4 powder. We briefly describe two SEBM processing  
14 strategies, which result in higher and lower effective cooling rates. We use orientation imaging  
15 scanning electron microscopy (SEM), scanning transmission electron microscopy (STEM) and  
16 conventional high resolution transmission electron microscopy to investigate SEBM  
17 microstructures. Our results suggest that SEBM processing results in near equilibrium  
18 microstructures, as far as  $\gamma'$ -volume fractions, the formation of small amounts of TCP phases and  
19 the partitioning behavior of the alloy elements are concerned. As expected, higher cooling rates  
20 result in smaller dendrite spacings, which are two orders of magnitude smaller than observed  
21 during conventional SX casting. During SEBM processing, columnar grains grow in  $\langle 100 \rangle$   
22 directions, which are rotated with respect to each other. There are coarse  $\gamma/\gamma'$ -microstructures in  
23 high angle boundary regions. Dislocation networks form low angle boundaries. A striking feature  
24 of the as processed SEBM specimens is their high dislocation density. From a fundamental point of  
25 view, this opens new possibilities for the investigation of elementary dislocation processes which  
26 accompany solidification.

27 **Keywords:** Ni-base superalloy CMSX-4; selective electron beam melting (SEBM); evolution of  
28 microstructure; transmission electron microscopy (TEM); ingrown dislocations

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## 30 1. Introduction

31 Ni-base single crystal superalloys are cast materials, which are used to make blades for gas  
32 turbines in aero engines and power plants [1-3]. In the last decades, directionally solidified and single  
33 crystal superalloys (DS and SX) and their processing techniques were continuously improved and  
34 this led to the high performance of today's gas turbines. Turbine blades operate in the creep range  
35 where they have to withstand mechanical loads in the 1000°C temperature range. In the present work,  
36 we consider the superalloy CMSX-4. This second generation superalloy contains refractory alloy  
37 elements like W, Ta and Re. These elements provide good creep strength because their atomic  
38 mobility is low which retards dislocation climb in the  $\gamma$  and in the  $\gamma'$  phase [4]. It is well known and  
39 it has been recently shown for the single crystal superalloy ERBO-1 (CMSX-4 derivate) [5] that cast  
40 Ni-base superalloys are prone to segregation during solidification. There are distinct differences  
41 between former dendrites and interdendritic regions. As a result, cast SX are characterized by a  
42 microstructural and chemical heterogeneity on the length scale of the dendrite spacing (average  
43 value: 500  $\mu\text{m}$  [5]). The complex multiple step homogenization heat treatments which are used in

44 industry do not fully re-establish microstructural and chemical homogeneity [4,5]. Another matter of  
 45 concern in this context is the formation of cast micro pores during solidification of single crystal  
 46 superalloys [6,7]. These form between secondary dendrite arms and line up along primary dendrites  
 47 [6,7]. They can represent initiation sites for creep and fatigue cracks [6,8]. Both,  
 48 chemical/microstructural heterogeneity and cast porosity can be reduced by refining the  
 49 microstructure. It was realized early on that high solidification rates are desirable in order to refine  
 50 microstructures and improve mechanical properties. This has led to the development of techniques  
 51 like liquid metal cooling [9] and continuing efforts in this direction to further improve SX cast  
 52 technology. However, industrial efforts in the cast sector will always represent a compromise  
 53 between homogeneity/micro pore presence and cost effectiveness, i.e., the duration of  
 54 homogenization times at elevated temperatures will always be limited.

55 A process which does not suffer from this drawback is selective electron beam melting (SEBM)  
 56 [4,10-14], which can produce complex shapes [10]. SEBM is characterized by a layered build  
 57 architecture offering novel possibilities for component design [4,10-14]. SEBM represents a powder  
 58 bed additive manufacturing technology which has a high potential to process superalloys in the  
 59 future. SEBM is characterized by high solidification rates and high thermal gradients. Therefore, the  
 60 scale of segregation and the primary dendrite arm spacings can be two orders of magnitude smaller  
 61 as compared to cast SX. This refinement of critical microstructural features represents an advantage  
 62 of SEBM for superalloy processing. The topic is presently receiving attention in the literature [e.g. 4,  
 63 12]. So far, microstructural characterization of SEBM fabricated Ni-base superalloys was mainly  
 64 performed using scanning electron microscopy [4,10-14] and results obtained by transmission  
 65 electron microscopy are scarce [12]. In the present study, we build up on earlier work [4,13,14] and  
 66 use orientation imaging scanning electron microscopy (SEM) and diffraction contrast transmission  
 67 electron microscopy (TEM) to study the microstructures of two SEBM material states, which were  
 68 produced applying two sets of processing parameters which promote a coarser and a finer  
 69 microstructure, respectively. The objective of the present work is to identify these differences and to  
 70 discuss the results in the light of previous work reported in the literature.

## 71 2. Experimental

### 72 2.1. Material

73 In the present work, we assess microstructures produced by SEBM. The SEBM processing route  
 74 requires powders which are obtained by gas atomizing of CMSX-4 [4]. CMSX-4 bar stocks were  
 75 provided by Cannon Muskegon. The material was atomized with Argon using an electrode  
 76 induction-melting gas atomization (EIGA) process by TLS Technik Spezialpulver KG. The CMSX-4  
 77 powder had a bulk density of 56 % and a flow time of  $21.3 \pm 0.3$  s (50 g powder) through a 2.54 mm  
 78 notch was determined. Powder particle sizes range between 45 and 105  $\mu\text{m}$ . The chemical  
 79 composition of the powder was measured using induction coupled plasma atomic emission  
 80 spectroscopy. The composition is presented in Table 1.

81 **Table 1.** Chemical composition of CMSX 4 powder (induction coupled plasma atomic emission  
 82 spectroscopy data) in wt.%.

Element	Al	Co	Cr	Hf	Mo	Re	Ta	Ti	W	Ni
Composition	5.70	9.80	6.50	0.08	0.62	2.80	6.40	0.97	6.40	bal.

### 83 2.2. SEBM processing

84 Two bulk samples (sample A:  $15 \times 15 \times 10$  mm<sup>3</sup>; sample B:  $5 \times 5 \times 20$  mm<sup>3</sup>) were investigated in the  
 85 present study. They were fabricated in an ARCAM A2 electron beam melting system. The process is  
 86 schematically illustrated in Figure 1. The system operates at 60 kV accelerating voltage in a  $10^{-3}$  mbar

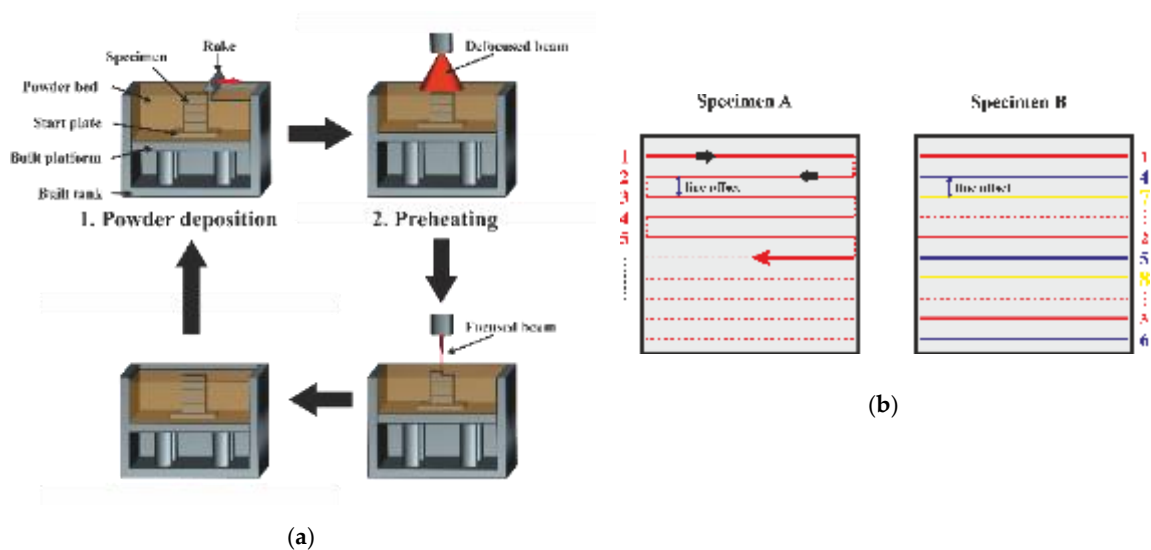
87 helium atmosphere. The SEBM specimens were build up in 50 $\mu$ m layers on an IN 718 starter plate  
 88 kept at the build temperature  $T_B = 1150$  K. The SEBM processing parameters for the two different  
 89 processing strategies are listed in Table 2. As illustrated in Figure 1a, first a 50  $\mu$ m powder layer is  
 90 deposited. The powder is subsequently preheated by a scanning procedure with a strongly defocused  
 91 electron beam to an appropriate temperature. During the following melting step, the focused electron  
 92 beam (beam diameter approximately 400  $\mu$ m) scans across the specimen surface and consolidates the  
 93 powder particles to denser material. Finally, the working level is lowered by 50  $\mu$ m, before the next  
 94 powder layer is deposited. This process is repeated until the sample has reached its targeted  
 95 dimensions.

96 Two different beam scan strategies were applied for specimens A and B, as schematically  
 97 illustrated in Figure 1b. In the case of sample A, the beam scans 15 mm in the forward direction, then,  
 98 with 0.1 mm line offset, it moves 15 mm back. Then, after another 0.1 mm line offset it moves forward  
 99 again. In SEBM technology, this type of beam movement is referred to as hatching. The beam moves  
 100 in a snake-like back and forth manner. When the electron beam has scanned over the whole  
 101 specimen surface, one powder layer has been molten and solidified. In the next layers, the hatching  
 102 direction is altered by 90°. This also applies to the SEBM procedure of specimen B. However, in the  
 103 case of specimen B, electron beam scanning is controlled in a different manner. The distance between  
 104 the first and the second line (shown in red and numbered 1 and 2 in Figure 1b) is now 0.5 mm. The  
 105 third line (red line numbered 3) is 0.5 mm distance from line 2. In our schematic figure, the bottom  
 106 of the specimen is reached after the third step (in the real SEBM processing of specimen B, 10 steps  
 107 are required). The next series of electron beam scan lines (blue lines 4 to 6) follows exactly the same  
 108 pattern as the first series (red lines 1 to 3), however it is offset by 0.1 mm. Five such series are required  
 109 to establish the same line offset of 0.1 mm as in the process used for specimen A. In the field of  
 110 additive manufacturing, these two procedures are referred to as line order 1 (specimen A) and line  
 111 order 5 (specimen B).

112 **Table 2.** Parameters used for SEBM processing of specimens A and B.

Specimen	Volume / mm <sup>3</sup>	T <sub>B</sub> / K	Power / W	Scan speed / (mm/s)	Line offset / mm	Line order / -
A	2250	1150	480	2400	0.1	1
B	500	1150	300	500	0.1	5

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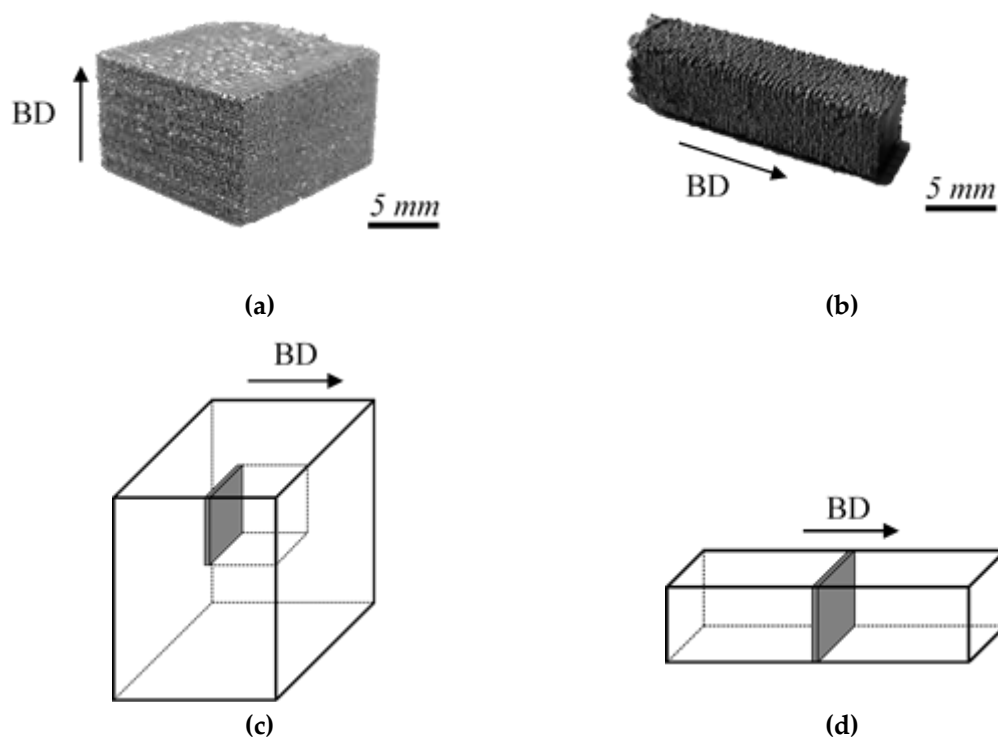


114 **Figure 1.** Schematic illustration of SEBM procedure. (a) SEBM processing steps. (b) Two types of scan  
 115 strategies referred to as line order 1 (specimen A) and 5 (specimen B). Subsequent processing steps.

116 Figure 2a and b show photographs of specimens A and B, respectively. Both specimens have  
 117 rough surfaces since contour melting was not applied. The SEBM build directions (BD) are indicated  
 118 by arrows.

### 119 2.3. Microstructural characterization

120 Figure 2c and d schematically illustrates where cross sections for microstructural investigation  
 121 were taken out from the two SEBM specimens A and B. After the TEM specimens were cut out using  
 122 an Accutom 50 precision cutting machine, thin electron transparent foils were prepared by grinding  
 123 (down to a mesh size of 4  $\mu\text{m}$ ) followed by double jet electropolishing in a Struers TenuPol 5. Good  
 124 thinning conditions were obtained using an electrolyte consisting of 75% methanol, 15% perchloric  
 125 acid and 10% glycerol at 12V, 15°C and a flow rate of 35. These thin foils were used for TEM and  
 126 subsequent SEM investigations. From the electropolished thin foil regions of constant thickness were  
 127 cut out for local chemical analysis using a focused ion beam (FIB) system. Ion milling was performed  
 128 using a dual beam FIB of type Quanta 200 3D from FEI. A TEM of type Tecnai Supertwin F20  
 129 equipped with an X-ray energy dispersive spectrometer (EDS) and a high angle annular dark field  
 130 (HAADF) detector operating at 200 kV was used. SEM investigations were performed using two  
 131 SEMs, one of type FEI Quanta 650 (operating at 20 kV) and another of type JEOL JSM-6490 (also  
 132 operating at 20 kV). The Quanta 650 was used for taking overview images. The JSM-6490 was used  
 133 for electron backscatter diffraction work. If not stated otherwise, microstructural images shown in  
 134 this study represent cross sections which were taken perpendicular to the SEBM build direction  
 135 (solidification direction). Scanning transmission electron microscopy (STEM) and stereo STEM were  
 136 performed as described in [15]. The stereo STEM method was used to provide anaglyphs which show  
 137 the microstructures of high and low angle boundaries. A simple line intersection method was used  
 138 to tentatively determine  $\gamma'$  sizes and volume fractions from STEM images. Tentative values for  
 139 dendrite spacings were obtained based on counting the numbers of dendrites in projected TEM foil  
 140 areas.



141 **Figure 2.** SEBM specimens investigated in the present work. The arrows indicate the build direction  
 142 (BD) of the SEBM blocks. (a) Specimen A. (b) Specimen B. (c, d) Schematic illustration of locations

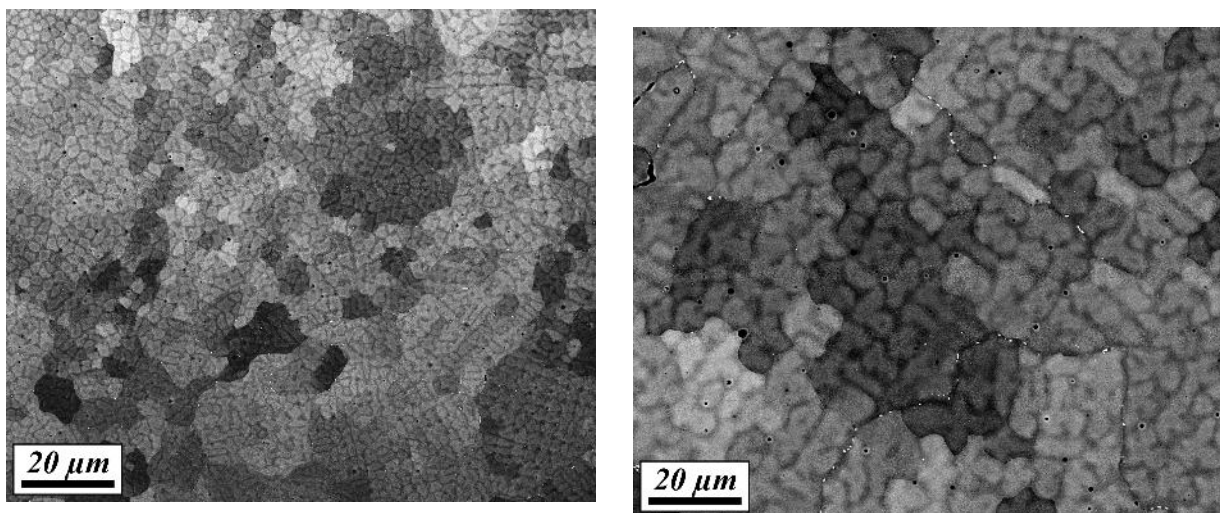
143 where specimens for microstructural characterization were taken out: (c) specimen taken out from  
144 sample A. (d) specimen taken out from specimen B.

145 From the processing condition listed in Table 2 and the image cut up plans shown in Figure 2, it  
146 is clear that we compare different type of materials (different scan strategies and thus different  
147 cooling rates, different TEM foil positions). But it is clear that the material in the TEM foil which was  
148 taken from the surface region of specimen A has experienced a faster cooling rate than the material  
149 of the TEM foil which was taken from specimen B. In the present work, we use TEM to compare two  
150 material states resulting from slow (specimen B) and fast cooling (specimen A).

### 151 3. Results

#### 152 3.1. Grain size and grain orientation

153 In Figure 3, we present SEM micrographs which were taken using electron back scatter contrast.  
154 The SEM images shown in Figures 3a and b clearly prove, that SEBM specimen A (fast cooling) has a  
155 finer microstructure than specimen B (slower cooling). Figure 3 also suggests that smaller groups of  
156 dendrites form with specific orientations which differ from each other (light and dark grey contrasts).  
157 This is confirmed in the EBSD images presented in Figure 4. Figures 4a and d show inverse pole  
158 figures (IPF maps) for both SEBM pieces, which provide color coded orientation information. The  
159 color code indicates that red represents a [001] direction. It can be clearly seen that the predominant  
160 color in Figures 4a and d is red. This allows us to conclude that the SEBM growth direction of  
161 individual grains is close to [001], independent of the line scan strategy and independent of the  
162 geometry of the manufactured piece. Figures 4b and e show image quality maps (IQ maps). These  
163 images show dark local contrast where crystal defects are present and indicate the presence of  
164 internal boundaries. The IQ maps in Figures 4b and e (calculated from the same data which are used  
165 to obtain the IPF maps in Figures 4a and d) also clearly indicate the presence of internal boundaries.  
166 They are helpful in the present case, where all grains appear in a similar red color in the IPF maps.  
167 Figures 4c and f show EBSD results, which combine IPF- and IQ-information.



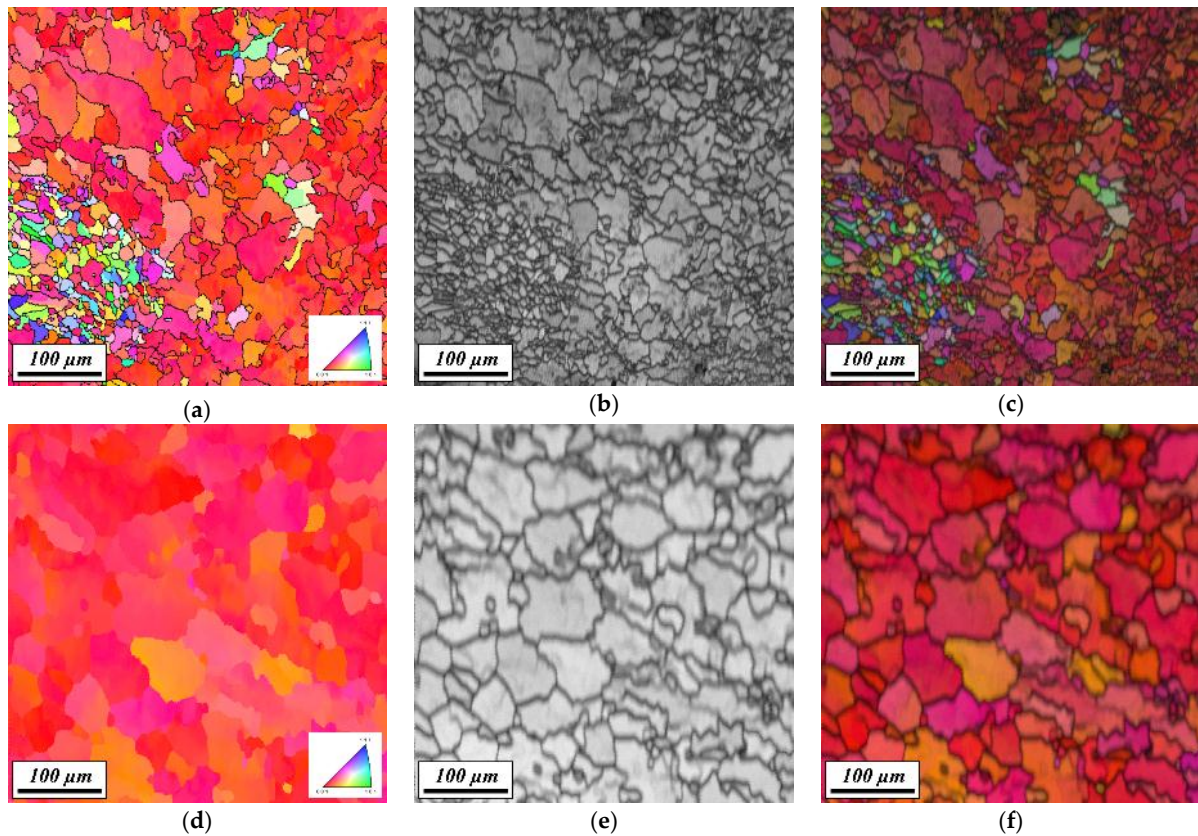
(a)

(b)

168

**Figure 3.** SEM-BSE images. (a) SEBM specimen A. (b) SEBM specimen B.

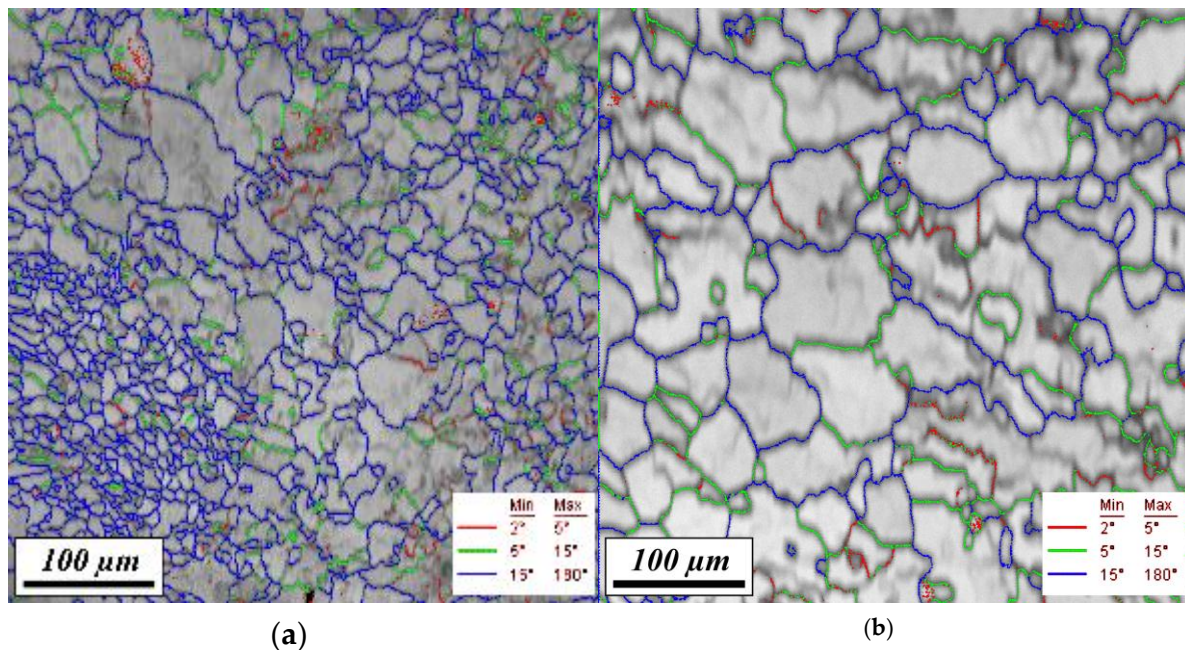




169 **Figure 4.** EBSD results. (a) to (c): SEBM specimen A. (d) to (f) SEBM specimen B. (a), (d): IPF-maps,  
 170 (b), (e) IQ-maps. (c), (f): Combined IPF/IQ-maps.

171 EBSD data can be analyzed to determine tilt axis/tilt angle pairs, which can be used to describe  
 172 the misorientation between two crystallites, Figure 5 [16,17]. Figure 5 shows images, where grain  
 173 boundary misorientations are superimposed onto the IQ maps shown in Figures 4b and e. In Figure  
 174 5 we differentiate between three colour coded angular groups. Misorientations smaller than 2 degrees  
 175 are not highlighted. Low angle misorientations between 2 and 5 degrees are marked in red. Green  
 176 lines indicate misorientations between 5 and 15 degrees. Misorientations larger than 15 degrees are  
 177 shown in blue.

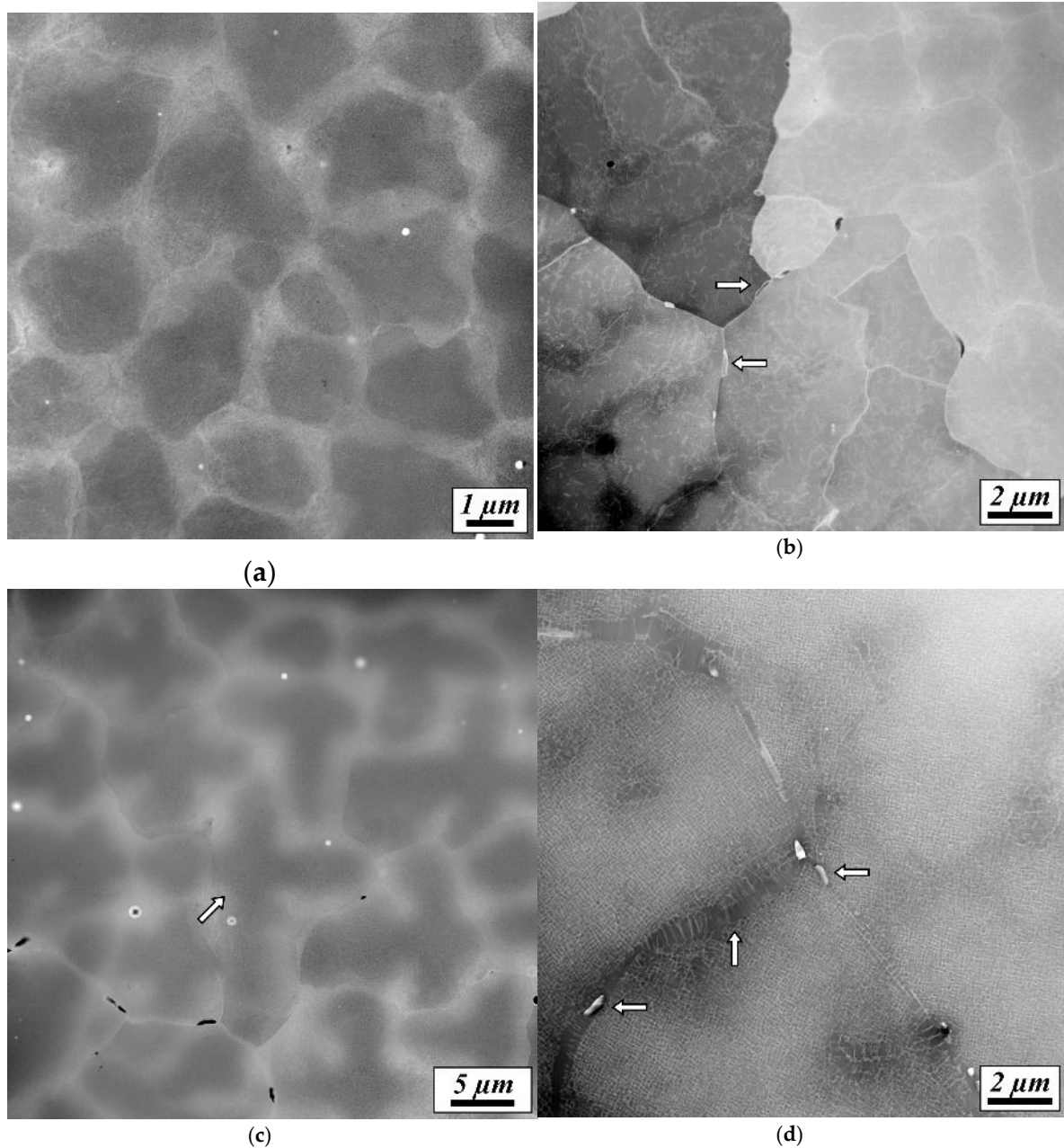
178 Figure 5 clearly shows that in both microstructures a considerable part of the columnar grains  
 179 show misorientations which are larger than  $2^\circ$  and therefore do not qualify as low angle grain  
 180 boundaries.



181 **Figure 5.** Misorientations between adjacent grains. Three misorientation classes are highlighted as  
 182 indicated. Grain boundaries with misorientations smaller than 2° are not highlighted. (a) SEBM  
 183 specimen A. (b) SEBM specimen B.

### 184 3.2. TEM results

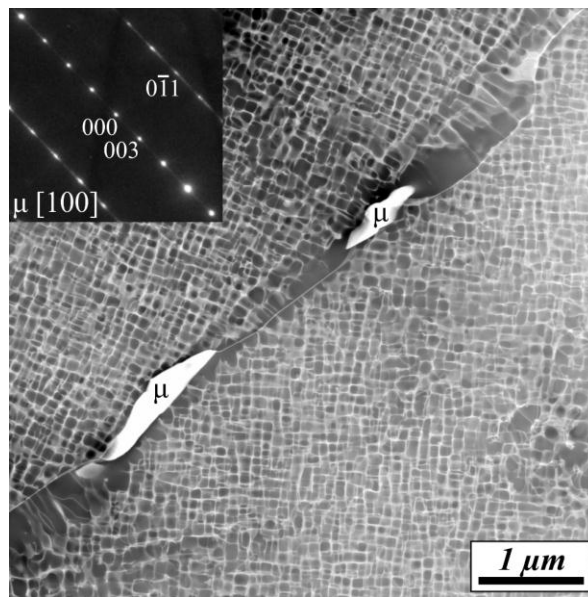
185 In Figure 6, we present TEM images which were obtained for the two material states (SEBM  
 186 specimen A: Figures 6a and b; SEBM specimen B: Figures 6c and d) using multiple beam contrast in  
 187 the STEM-HAADF mode. Figures 6a and c were taken at lower magnifications ( $\mu$ -STEM). Figures 6b  
 188 and d represent the microstructures at higher magnifications. Note that the magnification of Figure  
 189 6a (specimen A) is higher than that for specimen B in Figure 6c. The TEM results confirm the SEM  
 190 findings reported above. The microstructure of specimen A is much finer than that of specimen B. A  
 191 comparison between Figures 6a (SEBM specimen A) and 6c (SEBM specimen B) reveals additional  
 192 distinct differences. In specimen A, no secondary dendrite arms can be distinguished. In contrast,  
 193 specimen B shows the typical cross like appearance, which characterizes dendrites cut perpendicular  
 194 to their growth direction (one highlighted with a white arrow). The micrographs presented in Figures  
 195 6b and d reveal that there are in both specimens small bright particles. We later show that these are  
 196  $\mu$  phase particles. They appear at the boundaries which separate regions of homogeneous  
 197 crystallographic orientation (two highlighted by small horizontal white arrows for each material state).  
 198 Their volume fractions are always small, but they are larger in specimen B than in specimen  
 199 A. Moreover, in specimen B, one finds large  $\gamma'$  regions (two highlighted by a vertical arrow in Figure  
 200 6d). These regions were identified by diffraction as  $\gamma'$  (not shown here). The magnifications the  
 201 micrographs presented in Figure 6 was chosen so in order to show similar number of dendrites  
 202 (Figure 6a and c) and to show the presence of  $\mu$  phase between regions of heterogeneous  
 203 crystallographic orientations (Figure 6b and d). Specimen B shows a lower dislocation density; in fact,  
 204 it is difficult to distinguish dislocations in Figure 6d. We show Figure 6d to demonstrate columnar  
 205 regions.



206 **Figure 6.** STEM-HAADF images showing the microstructures of materials states A and B. (a) Higher  
 207 magnification image of SEBM material A. (b) SEBM material A at a lower magnification. (c) Low  
 208 magnification image of SEBM material B. (d) SEBM material B at a higher magnification.

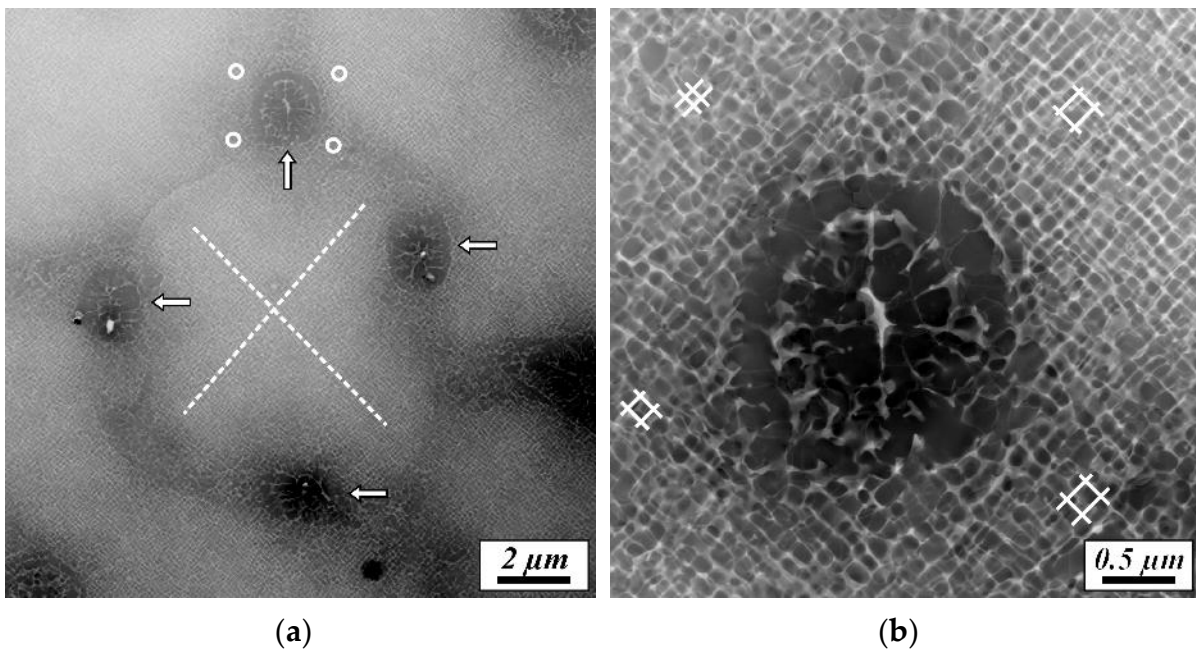
209 Figure 7 shows a STEM micrograph of small particles highlighted by white horizontal arrows in  
 210 Figures 6b and d at a higher magnification. The particles appear in a microstructural environment  
 211 which mainly consist of a dark  $\gamma'$  phase region which extends along the boundaries. The fact that this  
 212 dark region is  $\gamma'$  phase was proved by SAD (not shown here). The small brighter particles were  
 213 identified as  $\mu$ -phase particles using selected area electron diffraction (SAED). The inset in the upper  
 214 left of Figure 7 represents an indexed SAED pattern of the  $\mu$ -phase particle in the lower left of Figure  
 215 7. This figure suggests that the particles line up along the boundary, always in contact with the dark  
 216  $\gamma'$  phase.





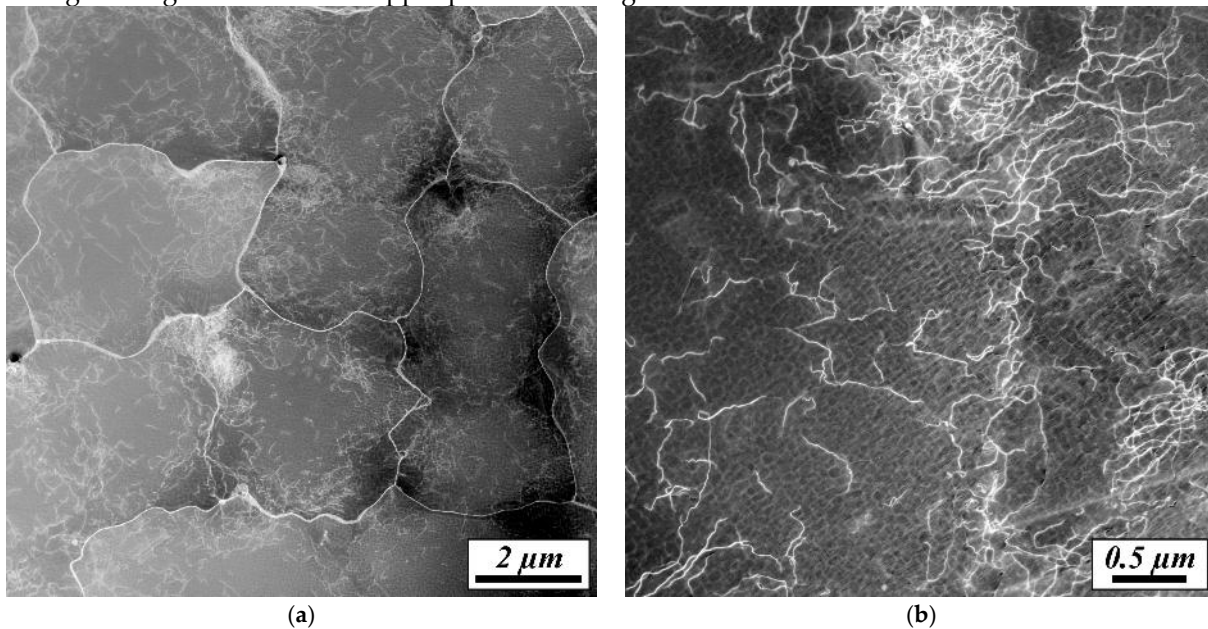
217 **Figure 7.** STEM-HAADF micrograph from SEBD sample B showing a dark grain boundary  $\gamma'$  phase  
 218 and  $\mu$ -phase particles. The SAED pattern in the upper left, which can be indexed for  $\mu$ -phase, was  
 219 taken from the particle in the lower left (for details see text).

220 In Figure 8, we show STEM micrographs of specimen B. Figure 8a shows a central dendrite  
 221 (directions of secondary dendrite arms schematically indicated by a dashed cross) is surrounded by  
 222 four interdendritic regions which show globular microstructures (highlighted by four white arrows).  
 223 The region highlighted with a white vertical arrow pointing up is shown at a higher magnification in  
 224 Figure 8b. The globular features of the microstructure in the center of the image can be clearly  
 225 distinguished from the surrounding  $\gamma/\gamma'$  microstructure. The directions of the  $\gamma$ -channel networks  
 226 around the globular region are indicated by four fine white reference grids in Figure 8b. The four  
 227 grids in Figure 8b are all parallel and show that the orientations of the  $\gamma$ -channel networks which  
 228 surrounds the globular regions are similar.



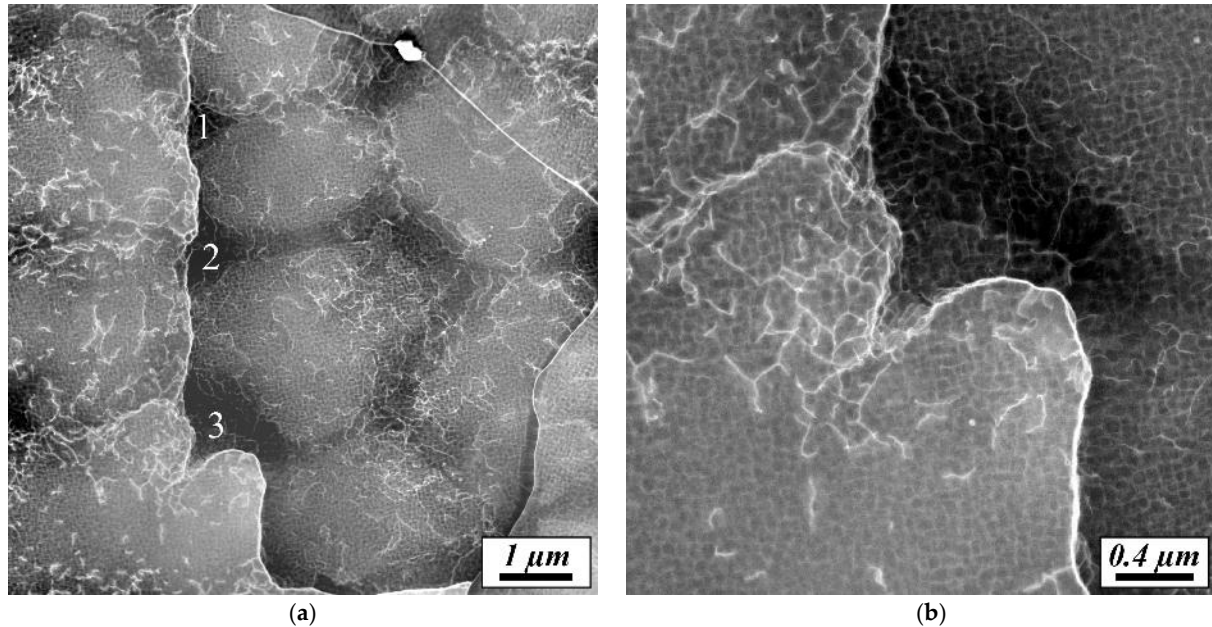
229 **Figure 8.** STEM-HAADF microstructures in specimen B. (a) Central dendrite surrounded by  
 230 interdendritic regions with globular microstructures. (b) Globular region highlighted by vertical  
 231 white arrow in Figure 8a at higher magnification.

232 So far, we have discussed the presence of dendrites, interdendritic regions and of  $\mu$ -phase  
 233 particles. We now focus on the presence of dislocations and subgrain boundaries in the  
 234 microstructures of the SEBM materials. Figure 9 shows STEM micrographs which were taken from  
 235 specimen A at a similar magnification as the image shown in Figure 6b. The image was taken in  
 236 multiple beam contrast with beam direction close to [001] which allows to image the maximum  
 237 number of dislocations for different microscopic crystallographic slip systems for an fcc crystal. In  
 238 Figure 9, a thinner region of the TEM foil was investigated than in Figure 6 to provide much better  
 239 dislocation contrast. Dislocation segments appear as small white lines in Figure 9a. The micrograph  
 240 shown in Figure 9a (multiple beam diffraction contrast) shows that there is an overall high density of  
 241 dislocations. The dislocations form subgrain boundaries, which are located between dendrites.  
 242 However, not all adjacent dendrites are separated by subgrain boundaries. Figure 9a shows that there  
 243 are ingrown nests of dislocations, several are highlighted by white arrows. These appear to be located  
 244 in interdendritic regions. In the upper part of Figure 9b we show one ingrown nest of dislocations at  
 245 a higher magnification in the upper part of the image.

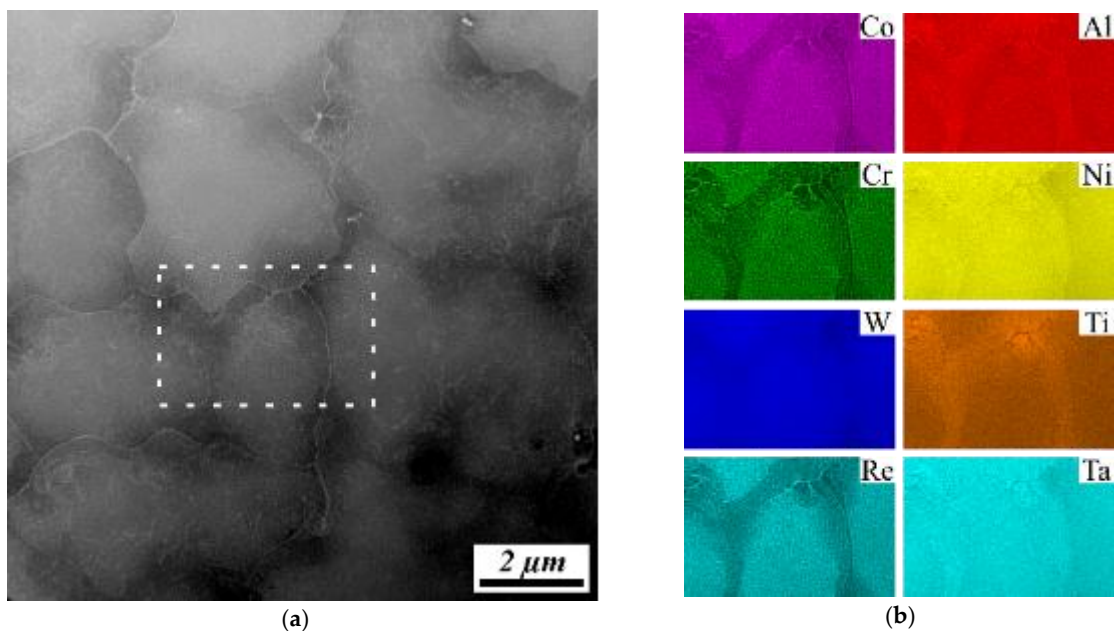


246 **Figure 9.** STEM-HAADF micrographs of the dislocation substructure in SEBM specimen A. (a)  
 247 Overall high dislocation density, subgrain boundary network and ingrown nests of dislocations. (b)  
 248 Ingrown dislocation nest in an interdendritic region at higher magnification.

249 It is interesting to look at two STEM images shown in Figures 10a and b (multiple beam contrast).  
 250 Parallel to the left border of Figure 10a runs a micro grain boundary which extends over several  $\mu\text{m}$   
 251 and shows a strong bright contrast. The misorientation angle was determined as lower than  $3^\circ$   
 252 evaluating the Kikuchi line diffraction patterns on both sides of the boundary. Three locations along  
 253 the boundary are marked with 1, 2 and 3. At these three locations, dislocations from the left side of  
 254 the interface are in direct contact with the boundary. At location three, there is a distinct recess, which  
 255 is shown at a higher magnification in Figure 10b. It appears as if dislocations were frozen in while  
 256 building the subgrain boundary. Figure 10a suggests, that this apparent recovery process minimizes  
 257 the overall strain energy is completed at locations 1 and 2, while it was still ongoing at location 3.



258 **Figure 10.** STEM-HAADF micrographs of the dislocation substructure in SEBM specimen A. (a) Small  
 259 angle boundary which separates two groups of dendrites. The orientation between the two regions  
 260 left and right of the subgrain boundary is below 3°. (b) The recess in the lower part of the boundary  
 261 in Figure 10a at higher magnification.

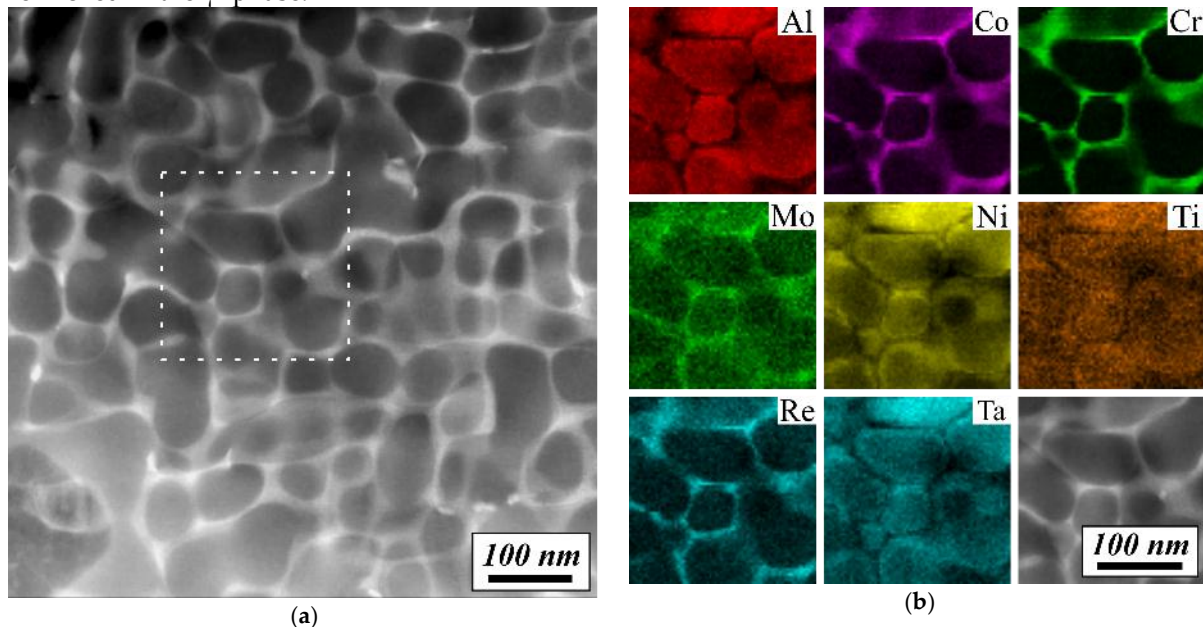


262 **Figure 11.** Element distribution in the SEBM microstructure of specimen A. (a) STEM-HAADF image  
 263 of a microstructural region which contains dendrites and interdendritic regions (2 μm scale); multiple  
 264 beam condition. (b) Corresponding element distribution maps, color coded as indicated.

265 In Figures 11a and b we show a microstructural region with dendrites and interdendritic regions  
 266 together with qualitative element distribution maps as measured using EDX. The results presented  
 267 in Figure 11 suggest, that the segregation tendencies in the fine scale SEBM microstructure are similar  
 268 as those which are observed in conventional SX cast alloys. Especially, Co and Re show a tendency  
 269 to partition to the dendrites, while Al and Ti are enriched in the interdendritic regions.

270 In Figure 12 we show the  $\gamma/\gamma'$ -microstructure from a dendritic region of SEBM specimen A. It  
 271 can be clearly seen that  $\gamma'$ -particle sizes are in between 50 and 100 nm, Figure 12a. The small particles

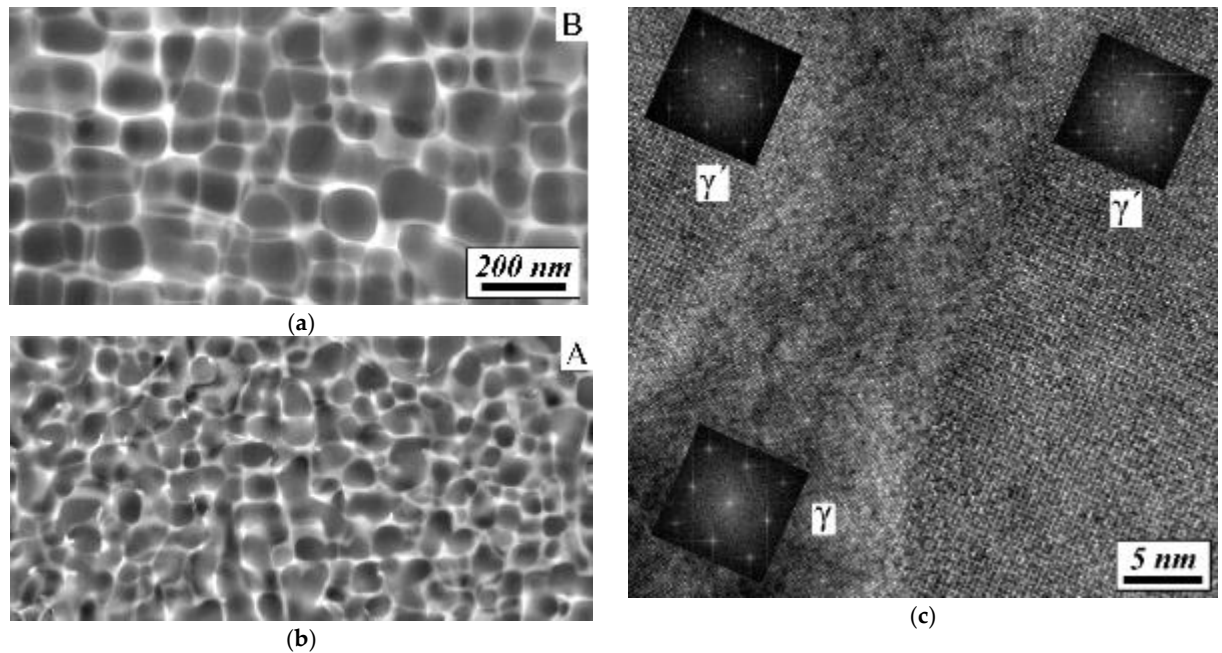
272 do not exhibit the regular cuboidal shape, which characterizes larger  $\gamma'$ -particles in conventional SX  
 273 cast alloys [5]. However, using a line intersection method, one can estimate the  $\gamma'$ -volume fraction in  
 274 Figure 12a as close to 70 %. The partitioning tendencies are shown in the element distribution maps  
 275 presented in Figure 12b. Similar to what was observed on the length scale of the solidification  
 276 microstructure, the partitioning behavior in the  $\gamma/\gamma'$  microstructure also follows the trends which are  
 277 known from conventional SX cast materials. Especially, Re partitions to the  $\gamma$  channels and Al is  
 278 enriched in the  $\gamma'$  phase.



279 **Figure 12.** Distribution of alloy elements in the SEBM microstructure of specimen A. (a) STEM-  
 280 HAADF image of the  $\gamma/\gamma'$ -microstructure (200 nm scale); multiple beam condition, beam close to [001]  
 281 crystallographic direction. (b) Corresponding element distribution maps, color coded as indicated.

282 For comparison we show STEM micrographs of the  $\gamma/\gamma'$  microstructures in SEBM specimens A  
 283 and B, Figure 13a and b. In all cases HR TEM shows that the  $\gamma'$  particles are coherently precipitated  
 284 in the  $\gamma$  matrix. Figure 13 shows three TEM images. The  $\gamma'$  particles of specimen A (fast cooling) in  
 285 Figure 13a are significantly smaller than the  $\gamma'$  particles in Figure 13b, with both specimens showing  
 286 a similar  $\gamma'$  volume fraction close to 70%. It appears that the large  $\gamma'$  particle size of specimen B is  
 287 associated with more pronounced cuboidal particle shape than in the case of specimen A. Figure 13c  
 288 shows a high resolution TEM image of two  $\gamma'$  particles and the  $\gamma$  region in-between. The three inset  
 289 FFT (fast Fourier transformation) patterns document that the three phases show the same orientation.  
 290 It is also shown that the  $\gamma/\gamma'$  interfaces are not flat.

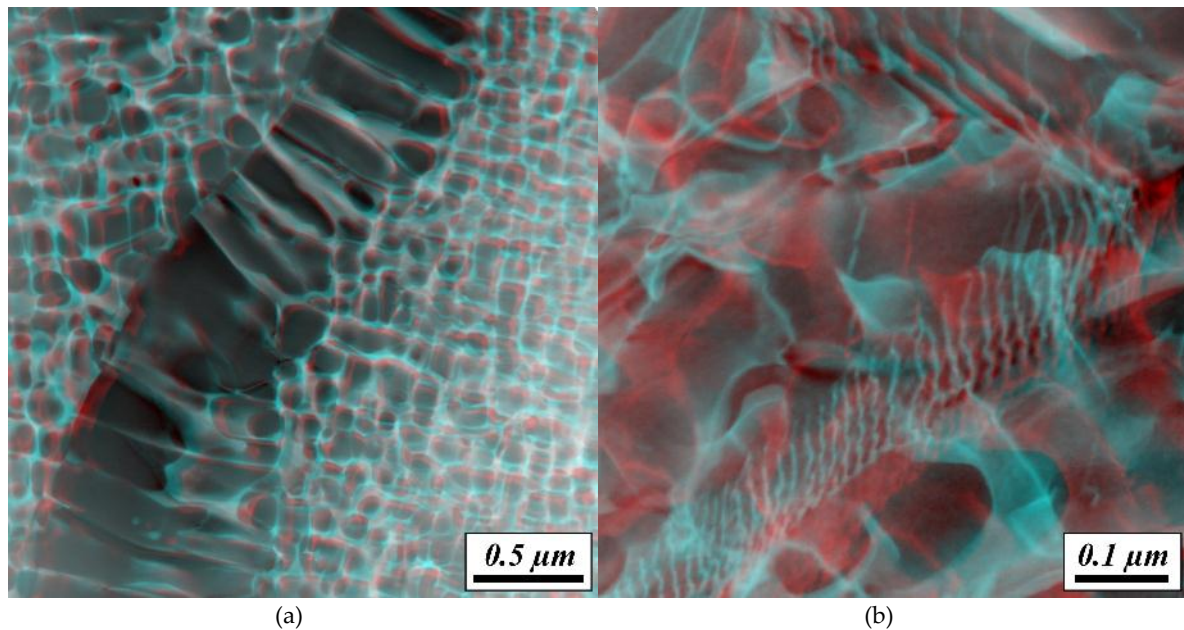





291 **Figure 13.** STEM-HAADF images documenting  $\gamma/\gamma'$  microstructures in SEBM specimens A and B at  
 292 higher magnifications. (a) Smaller  $\gamma'$  particles in specimen A. (b) Larger  $\gamma'$  particles in specimen B. (c)  
 293 HRTEM micrograph together with calculated FFT (fast Fourier transformation) patterns showing a  
 294 thin  $\gamma$  channel in between two coherent  $\gamma'$  particles.

295 In Figure 14 we present results from stereo TEM analysis from grain boundaries in SEBM  
 296 specimen B. Figures 14a and b show anaglyphs which provide a 3D impression when viewed with  
 297 colored glasses as indicated (left eye: red glass, right eye: cyan glass). Figure 14a shows a  $\gamma'$  phase  
 298 grain boundary region which separates two grains. The two microstructural regions on the left and  
 299 on the right of the boundary seem to be bridged by coarse  $\gamma'$  particles, which are separated by thin  $\gamma$   
 300 channels. The image suggests that the  $\gamma'$  volume fraction in the grain boundary region is higher than  
 301 in the neighboring grains. At small angle grain boundaries this type of grain boundary microstructure  
 302 is not observed, Figure 14b. Instead one can clearly resolve low angle boundaries which are build up  
 303 from dislocations. Tilt experiments allow to distinguish three types of dislocation networks in the  
 304 three boundary regions. In Figure 14b one family of dislocations of a subgrain boundary network is  
 305 in good contrast for the selected  $\mathbf{g}$  vector. Further work is required to investigate the morphologies  
 306 of these interesting subgrain boundary microstructures.





307 **Figure 14.** Anaglyphs showing grain boundary microstructures in SEBM specimen B. (a) High angle  
 308 grain boundary with coarse  $\gamma/\gamma'$  microstructure. (b) Low angle grain boundaries representing  
 309 dislocation networks. For details see text. 

#### 310 4. Discussion

311 The results of the present study confirm that SEBM allows to create superalloy microstructures,  
 312 which show many of the features which characterize conventionally cast Ni-base single crystal  
 313 superalloys [e.g. 5]. One can find prior dendritic and interdendritic regions, Figures 8 and 12 and [14].  
 314 These show the well-known  $\gamma/\gamma'$  microstructure, Figures 12 and 14. The  $\gamma'$  volume fractions are close  
 315 to 70% and the partitioning of alloy elements between D and ID regions (large scale heterogeneity,  
 316 Figure 11),  $\gamma$  channels and  $\gamma'$ -particles (small scale heterogeneity) corresponds to what is known from  
 317 conventionally cast Ni-base superalloys [e.g. 5]. They are in reasonable agreement with what are  
 318 expected from thermodynamic calculations [18]. In Table 3, we compare some average  
 319 microstructural parameters of a cast Ni-base superalloy with the results for the SEBM material which  
 320 were produced using CMSX 4 type powder. The parameters listed in Table 3 show that while  
 321 equilibrium volume fractions in SEBM and conventionally processed materials are similar, SEBM  
 322 microstructures are much finer. SEBM establishes a microstructure, which is as close to equilibrium  
 323 as the microstructure of a cast SX material. In the SEBM specimens, dendrite spacings are two orders  
 324 of magnitude smaller than in a cast and heat treated SX materials. As can be seen from Table 3, SEBM  
 325 processing also results in much smaller  $\gamma'$  particle sizes and  $\gamma$  channel widths. It is well known, that  
 326 dendrite spacings depend on the cooling rate during solidification while  $\gamma'$  particle sizes and  $\gamma$   
 327 channel widths are also affected by the subsequent heat treatment (during heat treatment of SX  
 328 materials respectively during temperature exposure during SEBM processing).

329 **Table 3.** Comparison of microstructural parameters (average values) of cast CMSX-4 single crystal  
 330 and SEBM CMSX-4.

Parameter	CMSX-4 (SX)	SEBM - A	SEBM - B
$\gamma'$ volume fraction	77 % (ID)	77 % (ID)	72% (ID)
$\gamma'$ size	442 nm [19]	$53 \pm 17$ nm	$82 \pm 28$ nm
$\gamma$ channel width	65 nm [19]	$16 \pm 8$ nm	$32 \pm 23$ nm

dendrite spacing	519 $\mu\text{m}$ [5]	2.1 $\mu\text{m}$ , Figure 5	7.3 $\mu\text{m}$ , Figure 5
partitioning of Al	to ID/ $\gamma'$ [5]	to ID/ $\gamma'$ , Figure 11	not determined
partitioning of Re	to D/ $\gamma$ [5]	to D/ $\gamma$ , Figure 11	not determined
dislocation density	7·10 <sup>12</sup> [20]	very high, Figures 9 and 10; *	low, Figure 6; *

331 \* Both higher than in cast alloy.

332 The smaller dendrite spacings in specimen A and B as compared to the microstructure of a  
 333 conventional superalloy [5] are obviously related to much higher cooling rates during SEBM  
 334 processing. The goal of the present work was to explain which microstructure parameters can be  
 335 observed in SEBM materials. Since there presently is no well-established SEBM procedure, we use  
 336 two SEBM materials which we expected to have different microstructures. Our microstructural  
 337 results allow to conclude that the different SEBM process strategies used for processing specimens A  
 338 and B result in faster effective cooling rates in specimen A, related to differences in scan strategies  
 339 and of geometry/TEM specimen locations. Further work is required to systematically study how  
 340 microstructure change with specific SEBM parameters. The temperature time history which the  
 341 materials experience during SEBM processing depends on a number of parameters. One important  
 342 parameter to be considered is the build temperature,  $T_b$ , which was 1150 K in both cases. Two other  
 343 key parameters are the beam energy and the scanning speed, Table 3. The ratios of these two  
 344 parameters yields line energies of as 0.2 J/mm and 0.6 J/mm for specimens A and B, respectively. The  
 345 higher energy input in specimen B partly rationalizes lower effective cooling rates. Another factor is  
 346 the smaller size of specimen B. Moreover, both scanning procedures described in Figure 1b are  
 347 associated with re-melting processes, which differ in nature when comparing the SEBM strategies of  
 348 specimens A and B. And last but not least it matters, where the TEM foil was taken from the SEBM  
 349 specimen. Figure 2c and d show that the TEM foil from specimen A was taken from a near surface  
 350 region where cooling is faster than in the center of the specimen. In contrast, the TEM foil for  
 351 specimen B stems from the center of the specimen. This qualitative assessment allows to conclude  
 352 that SEBM specimen B experienced a lower effective solidification rate than specimen A, and  
 353 therefore shows a coarser solidification microstructure. However, it is by no means easy to provide a  
 354 quantitative description of the time temperature history in all locations of the SEBM specimens.

355 The larger  $\gamma'$  particle sizes and  $\gamma$  channel widths in our reference cast SX (Table 3, [19]) are simply  
 356 related to a more intense temperature exposure during the post cast solution/precipitation heat  
 357 treatment of the SX alloy. It has been shown, that similar  $\gamma'$  particle sizes and  $\gamma$  channel widths can  
 358 be obtained in SEBM materials by appropriate solution/precipitation heat treatments which follow  
 359 SEBM processing [4].

360 One striking feature of SEBM specimen A is its high dislocation density, Figures 5 and 6. From  
 361 a fundamental point of view, this opens new possibility to shed some light onto two areas which are  
 362 difficult to investigate in conventionally cast SX. First, in conventionally cast SX, one cannot easily  
 363 locate ingrown nests of dislocations. Dislocations can only be analyzed in the TEM, where a typical  
 364 width of a thin foil region is 20  $\mu\text{m}$ , while spacings between interdendritic regions where dislocation  
 365 nests are located [21] are much larger. In contrast, TEM specimens taken from SEBM materials allow  
 366 to produce foils, where one can find several ingrown nests of dislocations in one micrograph, Figure  
 367 9. Second, TEM investigations of SEBM specimen can help to study the elementary dislocation  
 368 processes which govern the formation of small angle grain boundaries, Figure 10. Further work is  
 369 required to exploit these possibilities. From a technological point of view, this high dislocation  
 370 density may well raise concerns, because it reflects the presence of high internal stresses, which can  
 371 give rise to the formation of cracks as has been discussed in the literature [14].

## 372 5. Summary and Conclusions

373 In the present work, we use advanced scanning and (scanning) transmission electron  
374 microscopy, to study the microstructures of two specimens, which were prepared by selective  
375 electron beam melting (SEBM) using a CMSX-4 powder. From the results obtained in the present  
376 work the following conclusions can be drawn:

377 (1) Two microstructural results suggest that Ni-base superalloys produced by SEBM have  
378 microstructures close to thermodynamic equilibrium. Their  $\gamma'$  volume fractions are similar to those  
379 which are observed after conventional SX casting and post-cast heat treatment. The partitioning  
380 behavior of alloy elements to dendritic/interdendritic regions (large scale heterogeneity) and to  $\gamma'$   
381 particles/ $\gamma$  channels (small scale heterogeneity) is similar.

382 (2) The as-build SEBM microstructure shows all features which characterize the conventional  
383 solidification microstructures (dendrites, interdendritic regions...). However, dendrite spacings are  
384 two orders of magnitude smaller than observed after SX casting. The smaller scale is beneficial,  
385 because it shortens the diffusion distances which are required for homogenization during solution  
386 heat treatments.

387 (3) The as-build SEBM microstructures feature elongated grains which grow into the build  
388 direction of the SEBM process. All grains show close to  $\langle 100 \rangle$  growth directions. EBSD results show,  
389 that the columnar grains can be separated by low and high angle grain boundaries. On high angle  
390 grain boundaries one finds coarse  $\gamma/\gamma'$  microstructures (frequently) and TCP-phase particles ( $\mu$  phase  
391 type, occasionally). High angle grain boundaries result from rotations around the  $\langle 100 \rangle$  build  
392 direction.

393 (4) The results obtained in the present study show that SEBM microstructures strongly depend  
394 on a number of parameters, which all combine to yield an effective cooling rate. SEBM specimens  
395 which experience higher effective cooling rates show finer solidification microstructures, i.e. smaller  
396 dendrite spacings. Effective cooling rates are governed by the SEBM line energy, the scan strategy  
397 and the build temperature.

398 (5) A striking feature of as processed SEBM specimens which were subjected to high cooling  
399 rates, is a high dislocation density. From a fundamental point of view this opens new possibilities for  
400 the investigation of elementary dislocation processes in the microstructure of Ni-base superalloys.  
401 From a technological point of view this indicates the presence of high internal stresses which may  
402 well give rise to the formation of cracks.

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#### 407 **Author Contributions**

408 ABP and CS performed the TEM investigation. MR and CK manufactured the SEBM samples.  
409 AK carried out the EBSD study. ABP and GE interpreted the data and wrote the paper.

#### 410 **Conflicts of Interest**

411 The authors declare no conflict of interest.

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